



# DuPage Water Commission

600 E. Butterfield Road, Elmhurst, IL 60126-4642  
(630) 834-0100 Fax: (630) 834-0120

## AGENDA

### DUPAGE WATER COMMISSION

**THURSDAY, SEPTEMBER 15, 2016  
6:30 P.M.**

**600 EAST BUTTERFIELD ROAD  
ELMHURST, IL 60126**

- I. Call to Order and Pledge of Allegiance
- II. Roll Call  
(Majority of the Commissioners then in Office—minimum 7)
- III. Public Comments (limited to 3 minutes per person)
- IV. Approval of Minutes  
(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**RECOMMENDED MOTION: To approve the Minutes of the August 18, 2016 Regular Meeting of the DuPage Water Commission (Voice Vote).**

- V. Treasurer's Report – August 2016  
(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**RECOMMENDED MOTION: To accept the August 2016 Treasurer's Report (Voice Vote).**

- VI. Committee Reports
  - A. Finance Committee
    1. Report of 9/15/16 Finance Committee
    2. Auditor Services

(Affirmative Majority of the Appointed Commissioners, containing the votes of at least 1/3 of the County Appointed Commissioners and 40% of the Municipal Appointed Commissioners 3 County + 3 Muni+1=7)

**RECOMMENDED MOTION: To Approve a one year Renewal of the Audit Services Agreement between the DuPage Water Commission and Sikich LLC for an amount not to exceed \$32,000.00 (Roll Call).**

3. Actions on Other Items Listed on 9/15/16 Finance Committee Agenda

All visitors must present a valid driver's license or other government-issued photo identification, sign in at the reception area and wear a visitor badge while at the DuPage Pumping Station.

## B. Administration Committee

1. Report of 9/15/16 Administration Committee
2. Actions on Other Items Listed on 9/15/16 Administration Committee Agenda

## C. Engineering &amp; Construction Committee

1. Report of 9/15/16 Engineering & Construction Committee
2. Resolution No. R-27-16: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 – at the September 15, 2016 DuPage Water Commission Meeting (**McWilliams Electric Co., Inc. at an estimated cost of \$3,550.00**)  
(Concurrence of a Majority of the Appointed Commissioners - 7)
3. Resolution No. R-28-16: A Resolution Directing Advertisement for Bids on a Contract for 36-Month Maintenance, Parts and Repair Service for Large Electric Motors (**no cost**)  
(Concurrence of a Majority of the Appointed Commissioners - 7)
4. Resolution No. R-29-16: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the September 15, 2016 DuPage Water Commission Meeting (**Windy City Electric Co. at an estimated cost of \$21,880.00**)  
(Concurrence of a Majority of the Appointed Commissioners - 7)
5. Resolution No. R-30-16: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the September 15, 2016 DuPage Water Commission Meeting (**McWilliams Electric Co., Inc. at an estimated cost of \$600.00**)  
(Concurrence of a Majority of the Appointed Commissioners - 7)
6. Resolution No. R-31-16: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the September 15, 2016 DuPage Water Commission Meeting (**Windy City Electric Co. at an estimated cost of \$7,985.00**)  
(Concurrence of a Majority of the Appointed Commissioners - 7)
7. Resolution No. R-32-16: A Resolution Suspending Purchasing Procedures and Authorizing the General manager to enter into a Contract with Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17) (**Era-Valdivia Contractors, Inc. - \$X,XXX,XXX.00**)

(TO SUSPEND PURCHASING PROCEDURES: 2/3 Majority of those Commissioners Present, provided there is a quorum)

(Affirmative Majority of the Appointed Commissioners, containing the votes of at least 1/3 of the County Appointed Commissioners and 40% of the Municipal Appointed Commissioners—3 County + 3 Muni+1=7)

**RECOMMENDED MOTION: To adopt item numbers 2 through 7 under the Engineering & Construction Report section of the Agenda in a single group pursuant to the Omnibus Vote Procedures (Roll Call).**

8. Actions on Other Items Listed on 9/15/16 Engineering & Construction Committee Agenda

VII. Accounts Payable

(Affirmative Majority of the Appointed Commissioners, containing the votes of at least 1/3 of the County Appointed Commissioners and 40% of the Municipal Appointed Commissioners—3 County + 3 Muni+1=7)

**RECOMMENDED MOTION: To approve the Accounts Payable in the amount of \$10,021,220.88 subject to submission of all contractually required documentation, for invoices that have been received (Roll Call).**

**RECOMMENDED MOTION: To approve the Accounts Payable in the amount of \$1,499,475.00 subject to submission of all contractually required documentation, for invoices that have not yet been received but have been estimated (Roll Call).**

VIII. Chairman's Report

IX. Omnibus Vote Requiring Majority Vote

X. Omnibus Vote Requiring Super-Majority or Special Majority Vote

XI. Old Business

XII. New Business

XIII. Executive Session

(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**RECOMMENDED MOTION: To go into Executive Session to discuss security procedures pursuant to 5 ILCS 120/2(c)(8), to discuss matters related to personnel pursuant to 5 ILCS 120/2(c)(1) and (2), to discuss acquisition of real estate pursuant to 5 ILCS 120/2(c)(5), to discuss pending, probable, or imminent litigation pursuant to 5 ILCS 120/2(c)(11), and/or to discuss minutes of closed meetings pursuant to 5 ILCS 120/2(c)(21) (Roll Call).**

**RECOMMENDED MOTION: To come out of Executive Session (Voice Vote).**

XIV. Adjournment

(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**MINUTES OF A MEETING OF THE  
DuPAGE WATER COMMISSION  
HELD ON THURSDAY, AUGUST 18, 2016  
600 E. BUTTERFIELD ROAD  
ELMHURST, ILLINOIS**

The meeting was called to order by Chairman Zay at 6:30 P.M.

Commissioners in attendance: J. Broda, L. Crawford, R. Furstenau, R. Gans, D. Loftus, D. Novotny, J. Pruyne, D. Russo, F. Saverino, M. Scheck, P. Suess, and J. Zay

Commissioners Absent: J. Fennell

Also in attendance: Treasurer W. Fates, J. Spatz, C. Johnson, J. Rodriguez, T. McGhee, R. C. Bostick, F. Frelka, J. Schori, M. Weed, E. Kazmierczak, R. Jones of Gorski & Good, and J. Savio of Sikich LLP

**PUBLIC COMMENT**

None

**APPROVAL OF MINUTES**

Commissioner Saverino moved to approve the Minutes of the June 16, 2016 Regular Meeting of the DuPage Water Commission. Seconded by Commissioner Broda and unanimously approved by a Voice Vote.

All voted aye. Motion carried.

**TREASURER'S REPORT'S**

Treasurer Fates presented the June 2016 and the July 2016 Treasurer's Reports, both reports consisting of 13 pages, noting that pages 1, 2 and 3 contained a brief summary of each report.

June 2016

Treasurer Fates pointed out the \$142.7 million of cash and investments on page 4, which reflected an increase of about \$1.9 million from the previous month. Treasurer Fates also pointed out the schedule of investments on pages 5 through 11 totaling \$133.4 million and the market yield on the total portfolio showed 1.06% basis points which increased from the prior month. On page 12, the statement of cash flows showed an increase in cash and investments by about \$6.4 million and operating activities increased cash by approximately \$1.4 million, roughly \$5.9 million of sales tax was received. On page 13, the monthly cash/operating report showed that the Commission had met or exceeded all of its reserve requirements.

## Minutes of the 8/18/16 Commission Meeting

### July 2016

Treasurer Fates pointed out the \$147.1 million of cash and investments on page 4, which reflected an increase of about \$4.4 million from the previous month. Treasurer Fates also pointed out the schedule of investments on pages 5 through 11 totaling \$136.5 million and the market yield on the total portfolio showed 1.04% basis points which decreased from the prior month. On page 12, the statement of cash flows showed an increase in cash and investments by about \$10.8 million and operating activities increased cash by approximately \$3.1 million, and roughly \$8.8 million of sales tax was received. On page 13, the monthly cash/operating report showed that the Commission had met or exceeded all of its reserve requirements.

Commissioner Russo moved to accept the June 2016 and the July 2016 Treasurer's Reports. Seconded by Commissioner Gans and unanimously approved by a Voice Vote.

All voted aye. Motion carried.

### **COMMITTEE REPORTS**

#### **Finance Committee – Reported by Commissioner Suess**

Commissioner Suess reported that the Finance Committee had reviewed and recommended for approval all action items listed on the Finance Committee Agenda.

With regards to the 2016 Annual Audit Report, Commissioner Suess asked Sikich LLP representative Jim Savio to present the 2016 Annual Audit Report. Mr. Savio provided a favorable summary of the report pointing out that the Commission had no audit adjustments, no past adjustments, no material weaknesses, no significant deficiencies, no deficiencies, and also issued an unmodified opinion to the Commission.

General Manager Spatz referred to the Net Pension Liability on page 33 of the report and noted that the schedule of changes was through December 31, 2015 and did not include the additional Illinois Municipal Retirement Fund (IMRF) contribution that the Commission had made in April of 2016. Per a conversation between IMRF, General Manager Spatz and Financial Administrator Peterson, the Commission is currently 98.7% funded. The Commission plans on making an additional contribution in December of 2016 which would fully fund the IMRF pension fund under the new GASB 68 standards.

Commissioner Furstenau questioned and General Manager Spatz explained that should an additional payment be made in December of 2016 then the Commission would be fully funded. However, that could change after that time period based upon the rate of return that IMRF would receive in the future. Chairman Zay stated that the Financial Administrator distributed a chart which reflects IMRF's historical annual rates of return.

There being no further comments/questions regarding the 2016 Annual Audit Report, Commissioner Suess moved to accept the draft audit report for the fiscal year ending April 30, 2016, to direct the auditors to print the final report, and to direct staff to distribute the FY2016 audit report to the Commission's customers and other interested parties. Seconded by Commissioner Russo and unanimously approved by a Voice Vote.

## Minutes of the 8/18/16 Commission Meeting

All voted aye. Motion carried.

Commissioner Suess concluded his report by thanking General Manager Spatz and Financial Administrator Peterson for their hard work on the audit.

### **Administration Committee – Reported by Commissioner Crawford**

Commissioner Crawford reported that the Administration Committee had reviewed and recommended for approval all action items listed on the Administration Committee Agenda. After providing a brief summary, Commissioner Crawford moved to Authorize the Execution of a Consulting Agreement with the Rory Group, LLC. for a one year period in an amount not to exceed \$18,000.00. Seconded by Commissioner Russo.

Commissioner Furstenau asked for an explanation as to what the Rory Group was currently handling for the Commission. General Manager Spatz noted that the Rory Group has been assisting the Commission with various items involving the City of Chicago, such as (1) helping to facilitate the title and property transfer of the Lexington Pumping Station to the City of Chicago; (2) helping to facilitate an agreement with the City of Chicago as to proper co-insurance for the Lexington Pumping Station as part to the title transfer; (3) helping to facilitate a supply contract amendment between the City of Chicago and the DuPage Water Commission to include price safeguards such as consumer price index or 5% whichever is less. Chairman Zay added that the Rory Group continues to have a good working relationship with the City of Chicago and felt that they would continue to be an asset in completing these tasks.

Discussion ensued regarding the City of Chicago's new proposed water and sewer utility tax. General Manager Spatz noted that he had confirmed with the Commissioner of the Chicago Department of Water Management that this tax would only apply to the City of Chicago residents and businesses.

With no further discussion, the motion was unanimously approved by a Roll Call Vote.

Ayes: J. Broda, L. Crawford, R. Furstenau, R. Gans, D. Loftus, D. Novotny, J. Pruyne, D. Russo, F. Saverino, M. Scheck, P. Suess, and J. Zay

Nays: None

Absent: J. Fennell

### **Engineering & Construction Committee – Reported by Commissioner Loftus**

Commissioner Loftus reported that the Engineering & Construction Committee had reviewed and recommended for approval all action items listed on the Engineering & Construction Agenda. After providing a brief summary, Commissioner Loftus moved to adopt item numbers 2 through 9 under the Engineering & Construction Report section of the Agenda in a single group pursuant to the Omnibus Vote Procedures. Seconded by Commissioner Furstenau and unanimously approved by a Roll Call Vote.

Ayes: J. Broda, L. Crawford, R. Furstenau, R. Gans, D. Loftus, D. Novotny, J. Pruyne, D. Russo, F. Saverino, M. Scheck, P. Suess, and J. Zay

Nays: None

Absent: J. Fennell

## Minutes of the 8/18/16 Commission Meeting

- Item 2: Resolution No. R-20-16: A Resolution Suspending the Purchasing Procedures and Authorizing the General Manager to Purchase a 36-month Extended Service Coverage Agreement for the Commission's Emergency Generators at the August 18, 2016 DuPage Water Commission Meeting. (Patten Power Systems in the total amount of \$50,050.00)
- Item 3: Resolution No. R-21-16: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the August 18, 2016, DuPage Water Commission Meeting (McWilliams Electric Co., Inc. at an estimated cost of \$17,700.00)
- Item 4: Resolution No. R-22-16: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the August 18, 2016, DuPage Water Commission Meeting. (McWilliams Electric Co., Inc. at an estimated cost of \$1,500.00)
- Item 5: Resolution No. R-23-16: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Contract QR-10/13 at the August 18, 2016, DuPage Water Commission Meeting (Rossi Contractors, Inc., at an estimated amount of \$1,900.00)
- Item 6: Resolution No. R-24-16: A Resolution Awarding a Contract to Provide Vacuum Excavation and Thermite Welding Services (Corrpro Companies Inc., in a unit price contract estimated at \$70,795.00)
- Item 7: Resolution No. R-25-16: A Resolution Awarding a Contract for Masonry Rehabilitation and Window Replacement Work at the DuPage Pumping Station (Mertes Contracting Corp. – \$286,445.00)
- Item 8: Resolution No. R-26-16: A Resolution Suspending the Purchasing Procedures and Authorizing the Execution of a Consulting Agreement with IT Savvy, LLC for Network Management Services (\$6,701.00/per month)
- Item 9: Request For Board Action – To authorize an increase in cost under Quick Response Electrical Contract QRE-7.003. (Windy City Electric Co. in an increased amount from \$40,000.00 to 49,337.49)

### **ACCOUNTS PAYABLE**

Commissioner Loftus moved to approve the Accounts Payable in the amount of \$9,769,999.73 (June 2016, disbursements made with concurrence of Commission's Chairman) and \$10,531,329.16 (July 2016) subject to submission of all contractually required documentation, for invoices that have been received and moved to approve the Accounts Payable in the amount of \$1,309,475.00 (June 2016, disbursements made with concurrence of Commission's Chairman) and \$752,525.00 (July 2016) subject to submission of all contractually required documentation, for invoices that have not yet been received but have been estimated. Seconded by Commissioner Broda and unanimously approved by a Roll Call Vote.

Minutes of the 8/18/16 Commission Meeting

Ayes: J. Broda, L. Crawford, R. Furstenau, R. Gans, D. Loftus, D. Novotny, J. Prun, D. Russo, F. Saverino, M. Scheck, P. Suess, and J. Zay

Nays: None

Absent: J. Fennell

**CHAIRMAN'S REPORT**

Chairman Zay began by reminding the Board that the Commission had collected the last of the sales tax and with the sales tax being gone, the Commission may have an opportunity to bring in additional money by expanding its base. Chairman Zay noted that he has had discussions with other towns that may be interested in connecting to the Commission's pipeline. Discussion ensued regarding capacity limits, allotments, and buy-in costs. Chairman Zay concluded his report by noting that should the Board be interested in pursuing this, then the Commission would need to hold discussions with its Charter Customers and also conduct a thorough evaluation.

**OMNIBUS VOTE REQUIRING MAJORITY VOTE**

None

**OMNIBUS VOTE REQUIRING SUPER-MAJORITY OR SPECIAL MAJORITY VOTE**

None

**OLD BUSINESS**

None

**NEW BUSINESS**

None

**EXECUTIVE SESSION**

None

Commissioner Gans moved to adjourn the meeting at 7:06 P.M. Seconded by Commissioner Scheck and unanimously approved by a Voice Vote.

All voted aye. Motion carried.



## DUPAGE WATER COMMISSION INTEROFFICE MEMORANDUM

**TO:** Chairman and Commissioners  
**FROM:** Bill Fates, Treasurer  
**DATE:** September 7, 2016  
**SUBJECT:** TREASURER'S REPORT – AUGUST 31, 2016

I am pleased to report that I have reviewed and approved all journal entries and bank reconciliations for the month of August. I have also reviewed the monthly financial statements and budget status reports, and found them to be in order.

### **Summary of Cash & Investments (Page 4)**

1. Cash and investments totaled \$152.2 million at August 31<sup>st</sup>, an increase of \$5.1 million over the previous month.
2. The balance in the BMO Harris checking account was \$15.5 million at August 31<sup>st</sup>, an increase of approximately \$4.9 million compared to the \$10.6 million reported last month. The increase was primarily due to the timing of payments from customers.
3. The BMO Harris money market accounts had \$15.0 million at month-end, unchanged from the preceding month.
4. During the month of August, the IIIT money market accounts decreased by \$2.4 million from the prior month.
5. In August, our holdings of U.S. Agency investments increased by approximately \$11.0 million and U.S. Treasury investments decreased by approximately \$10.9 million.
6. The current holdings of cash and investments are in compliance with the approved investment policy.
7. During the first four months of the year, the Commission's cash and investments increased a total of \$15.9 million.
  - The Operating & Maintenance Account increased by \$8.3 million, for an ending balance of \$30.5 million.
  - The General Account decreased by \$3.0 million, for an ending balance of \$13.0 million.
  - The Sales Tax Account remained relatively unchanged at \$2.1 million.
  - The Capital Reserve Fund increased \$111,126 for a balance of \$29.7 million.

- The Operating Reserve Account increased \$10.4 million for a balance of \$66.8 million. During the month, the final \$1.5 million of an approved \$10 million transfer of funds from the General Account to the Operating Reserve was completed.
- The Long-Term Capital Reserve Account increased by \$75,108 for a balance of \$10.2 million.

The following table presents a summary of the changes in cash position by account.

**Cash and Investments by Account**

Account	Balance 4/30/2016	Balance 08/31/2016	Increase (Decrease)
Operations & Maintenance	\$22,137,284	\$30,460,341	\$8,323,057
General Account	15,977,169	13,013,636	(2,963,533)
Sales Tax	2,131,597	2,134,797	3,200
Operating Reserve	56,389,449	66,753,736	10,364,287
Capital Reserve	29,541,577	29,652,703	111,126
Long-Term Cap. Reserve	10,113,085	10,188,193	75,108
<b>Total Cash &amp; Investments</b>	<b>\$136,290,161</b>	<b>\$152,203,406</b>	<b>\$15,913,245</b>

**Schedule of Investments (Pages 5-11)**

1. The average yield to maturity on the Commission's investments was 1.06%, an increase of 0.02% over previous month's rate of 1.04%.
2. The portfolio is showing estimated unrealized gains of \$406,100 at August 31, 2016, compared to \$537,500 in unrealized gains at April 30, 2016.
3. The amortized cost of our investments was \$136.7 million at August 31<sup>st</sup>, an increase of \$0.2 million over the prior month.

**Statement of Cash Flows (Page 12)**

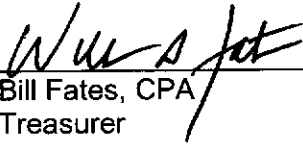
1. The statement of cash flows shows a breakdown of the \$15.9 million increase in cash and investments since the beginning of the fiscal year.
2. Operating activities increased cash by \$4.7 million.
3. \$12.1 million of sales tax revenue was received.
4. Capital Assets purchased totaled \$1.6 million year-to-date.
5. Cash flow from investment activity generated \$653,712.

**Reserve Analysis (Page 13)**

1. The reserve analysis report shows the commission has met or exceeded all reserve targets at August 31<sup>st</sup>.
2. The Operating and Maintenance Account was \$19.4 million over its target balance of \$11.1 million.

3. The Operating Reserve account is \$27.2 million over its minimum target of \$39.6 million due to additional transfers made during the current and prior year to increase the balance beyond its minimum per the Board.

Respectfully submitted,

  
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Bill Fates, CPA  
Treasurer

DU PAGE WATER COMMISSION  
 TREASURER'S REPORT  
 SUMMARY OF CASH AND INVESTMENTS  
 August 31, 2016

FUNDS CONSIST OF:	August 31, 2016	July 31, 2016	INCR. - (DECR.)
PETTY CASH	1,200.00	1,200.00	0.00
CASH AT HARRIS BANK	15,488,502.92	10,605,222.57	4,883,280.35
<b>TOTAL CASH</b>	<b>15,489,702.92</b>	<b>10,606,422.57</b>	<b>4,883,280.35</b>
IIIT MONEY MARKET FUNDS	1,099,931.43	3,469,847.47	(2,369,916.04)
BMO HARRIS MONEY MARKET FUNDS	14,970,638.31	14,963,984.65	6,653.66
U. S. TREASURY INVESTMENTS	28,938,774.70	39,853,926.49	(10,915,151.79)
U. S. AGENCY INVESTMENTS	60,157,035.87	49,183,186.90	10,973,848.97
MUNICIPAL BONDS	7,105,848.51	6,063,988.94	1,041,859.57
COMMERCIAL PAPER	13,566,911.29	12,013,411.12	1,553,500.17
ASSET BACKED SEC/COLLATERALIZED MORTGAGE OBLIG CERTIFICATES OF DEPOSIT	7,154,562.85	7,228,116.20	(73,553.35)
	3,720,000.00	3,720,000.00	0.00
<b>TOTAL INVESTMENTS</b>	<b>136,713,702.96</b>	<b>136,496,461.77</b>	<b>217,241.19</b>
<b>TOTAL CASH AND INVESTMENTS</b>	<b>152,203,405.88</b>	<b>147,102,884.34</b>	<b>5,100,521.54</b>
	August 31, 2016	July 31, 2016	% CHANGE
IIIT MONEY MARKET FUNDS	0.8%	2.6%	-68.3%
BMO HARRIS MONEY MARKET FUNDS	11.0%	11.0%	0.0%
U. S. TREASURY INVESTMENTS	21.2%	29.2%	-27.4%
U. S. AGENCY INVESTMENTS	44.0%	36.0%	22.3%
MUNICIPAL BONDS	5.2%	4.4%	17.2%
COMMERCIAL PAPER	9.9%	8.8%	12.9%
ASSET BACKED SEC/COLLATERALIZED MORTGAGE OBLIG CERTIFICATES OF DEPOSIT	5.2%	5.3%	-1.0%
	2.7%	2.7%	0.0%
<b>TOTAL INVESTMENTS</b>	<b>100.0%</b>	<b>100.0%</b>	<b>0.2%</b>

Note 1 - Investments are carried at amortized cost.

DU PAGE WATER COMMISSION  
INVESTMENTS  
(Unaudited)  
August 31, 2016

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 08/31/16
Water Fund Oper. & Maint. Acct. (01-121103)										
BMO Harris - Money Market	0.530%	08/31/16	09/01/16	1	0.530%	\$ 14,970,638.31	\$ 14,970,638.31	\$ -	\$ 14,970,638.31	-
Water Fund General Account (01-121700)										
IIIT - Money Market	0.030%	08/31/16	09/01/16	1	0.030%	814,092.89	814,092.89	-	814,092.89	-
ING (US) Funding LLC CP	0.000%	05/19/16	11/14/16	75	0.900%	2,000,000.00	1,996,300.00	5,050.00	1,991,250.00	-
Credit Agricole CIB NY CP	0.000%	08/05/16	12/06/16	97	0.970%	1,750,000.00	1,745,473.33	1,131.66	1,744,341.67	-
BNP Paribas NY Branch CP	0.000%	08/09/16	12/08/16	99	0.960%	500,000.00	498,693.34	293.34	498,400.00	-
Bank of Tokyo Mitsubishi CP	0.000%	08/05/16	12/08/16	99	0.890%	1,750,000.00	1,745,760.14	1,038.33	1,744,721.81	-
BNP Paribas NY Branch CP	0.000%	08/15/16	12/13/16	104	0.960%	1,250,000.00	1,246,566.66	566.66	1,246,000.00	-
Cooperatieve Rabobank UA CP	0.000%	08/15/16	12/14/16	105	0.900%	1,250,000.00	1,246,750.00	500.00	1,246,250.00	-
CD-Bank of Ruston, LA	0.000%	04/21/16	10/28/16	58	0.700%	248,000.00	248,000.00	-	248,000.00	632.57
CD-Shattuck National Bank, OK	0.000%	04/15/16	10/31/16	61	0.650%	248,000.00	248,000.00	-	248,000.00	613.88
CD-Fieldpoint Private Bank & Trust, CT	0.000%	04/15/16	10/31/16	61	0.700%	248,000.00	248,000.00	-	248,000.00	661.11
CD-Farmers Bank & Trust, KS	0.000%	04/15/16	10/31/16	61	0.600%	248,000.00	248,000.00	-	248,000.00	698.88
CD-Privatebank & Trust Co	0.000%	04/15/16	10/31/16	61	0.600%	248,000.00	248,000.00	-	248,000.00	566.67
CD-Bank of China, NY	0.000%	04/15/16	10/31/16	61	0.750%	248,000.00	248,000.00	-	248,000.00	566.67
CD-Bank of the Ozarks, AR	0.000%	04/15/16	10/31/16	61	0.740%	248,000.00	248,000.00	-	248,000.00	708.33
CD-Financial Federal Savings Bank, TN	0.000%	04/15/16	10/31/16	61	0.600%	248,000.00	248,000.00	-	248,000.00	566.67
CD-Pacific Western Bank	0.000%	04/15/16	10/31/16	61	0.700%	248,000.00	248,000.00	-	248,000.00	661.11
CD-Bank Leumi Usa, NY	0.000%	04/15/16	10/31/16	61	0.600%	248,000.00	248,000.00	-	248,000.00	566.67
CD-Citizens Bank of Finley, ND	0.000%	04/18/16	10/31/16	61	0.700%	248,000.00	248,000.00	-	248,000.00	646.84
CD-Community West Bank, CA	0.000%	04/18/16	10/31/16	61	0.600%	248,000.00	248,000.00	-	248,000.00	554.44
CD-Bank of the Valley, NE	0.000%	04/20/16	10/31/16	61	0.650%	248,000.00	248,000.00	-	248,000.00	591.80
CD-Freedom Bank of Virginia, VA	0.000%	05/18/16	11/14/16	75	0.650%	248,000.00	248,000.00	-	248,000.00	576.17
CD-Royal Business Bank, CA	0.000%	05/18/16	11/14/16	75	0.750%	248,000.00	248,000.00	-	248,000.00	540.16
Weighted Avg Maturity			86		0.817%	\$ 13,034,092.89	\$ 13,013,636.36	\$ 8,579.99	\$ 13,005,056.37	\$ 9,151.97

DU PAGE WATER COMMISSION  
 INVESTMENTS  
 (Unaudited)  
 August 31, 2016

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 08/31/16
Sales Tax Funds (01-123000)										
IIIT - Money Market	0.030%	08/31/16	09/01/16	1	0.030%	36,380.29	36,380.29	-	36,380.29	-
Toyota Motor Credit Corp CP	0.000%	08/17/16	10/17/16	47	0.590%	2,100,000.00	2,098,416.83	481.83	2,097,935.00	-
	Weighted Avg Maturity		46		0.580%	\$ 2,136,380.29	\$ 2,134,797.12	\$ 481.83	\$ 2,134,315.29	\$ -

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 August 31, 2016

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 08/31/16
Water Fund Operating Reserve (01-121800)										
IIIT - Money Market	0.030%	08/31/16	09/01/16	1	0.030%	160,163.48	160,163.48	-	160,163.48	-
US Treasury Notes	0.625%	03/24/16	08/31/17	365	0.790%	2,850,000.00	2,845,273.19	2,064.21	2,843,208.98	49.21
US Treasury Notes	0.625%	10/31/12	09/30/17	395	0.750%	100,000.00	99,870.40	503.22	99,367.18	262.98
US Treasury Notes	0.625%	10/31/12	09/30/17	395	0.750%	300,000.00	299,611.19	1,509.63	298,101.56	788.93
US Treasury Notes	0.750%	11/01/12	10/31/17	426	0.740%	25,000.00	25,003.47	42.52	24,960.95	63.18
US Treasury Notes	0.625%	11/05/12	10/31/17	426	0.740%	100,000.00	100,013.86	170.06	99,843.80	252.72
US Treasury Notes	0.750%	03/08/13	02/28/18	546	0.850%	1,400,000.00	1,397,930.48	4,711.73	1,393,218.75	29.01
US Treasury Notes	1.250%	03/24/16	10/31/18	791	0.970%	375,000.00	377,221.76	(444.26)	377,666.02	1,579.48
US Treasury Notes	1.000%	05/29/15	11/30/19	1,186	1.440%	1,050,000.00	1,035,273.33	5,535.05	1,029,738.28	2,668.03
US Treasury Notes	1.000%	08/25/15	11/30/19	1,186	1.340%	1,500,000.00	1,483,889.64	4,924.80	1,478,964.84	3,811.48
US Treasury Notes	1.250%	09/03/15	02/29/20	1,277	1.470%	100,000.00	99,261.77	203.18	99,058.59	3.45
US Treasury Notes	1.250%	10/08/15	02/29/20	1,277	1.320%	1,000,000.00	997,750.61	563.11	997,187.50	34.53
US Treasury Notes	1.250%	11/05/15	02/29/20	1,277	1.550%	1,050,000.00	1,039,283.04	2,408.04	1,036,875.00	36.26
US Treasury Notes	2.000%	12/04/15	07/31/20	1,430	1.580%	1,075,000.00	1,092,145.30	(3,136.93)	1,095,282.23	1,869.57
US Treasury Notes	2.125%	12/30/15	08/31/20	1,461	1.740%	575,000.00	583,575.15	(1,375.05)	584,950.20	33.75
US Treasury Notes	1.750%	02/03/16	10/31/20	1,522	1.350%	740,000.00	752,086.15	(1,615.41)	753,701.56	4,363.59
US Treasury Notes	2.625%	05/03/16	11/15/20	1,537	1.210%	2,400,000.00	2,538,574.39	(10,300.61)	2,548,875.00	18,660.33
US Treasury Notes	2.000%	03/24/16	11/30/20	1,552	1.380%	2,000,000.00	2,050,918.86	(5,096.77)	2,056,015.63	10,163.93
US Treasury Notes	2.375%	03/31/16	12/31/20	1,583	1.280%	775,000.00	810,577.94	(3,353.70)	813,931.64	3,151.07
US Treasury Notes	2.000%	07/06/16	02/28/21	1,642	0.920%	775,000.00	811,711.49	(1,190.85)	812,902.34	42.82
US Treasury Notes	1.250%	06/27/16	03/31/21	1,673	0.980%	1,265,000.00	1,280,532.49	(576.50)	1,281,108.99	6,653.35
Inter-American Development Bank	1.000%	04/12/16	05/13/19	985	1.100%	790,000.00	787,923.64	293.64	787,630.00	3,050.28
Intl Bank of Recons and Dev Notes (World Bank)	1.625%	03/14/16	03/09/21	1,651	1.730%	925,000.00	920,991.74	394.74	920,597.00	7,181.60
CA ST Taxable GO Bonds	1.750%	10/22/13	11/01/17	427	1.660%	700,000.00	700,747.55	(1,751.45)	702,499.00	4,083.33
MS ST Taxable GO Bonds	1.472%	02/18/15	10/01/18	761	1.470%	150,000.00	150,000.00	-	150,000.00	920.00
NYC, NY Taxable GO Bonds	1.650%	03/31/15	10/01/18	761	1.650%	565,000.00	565,000.00	-	565,000.00	3,884.38
CT ST Taxable GO Bonds	1.974%	03/25/15	03/15/19	926	1.970%	300,000.00	300,000.00	-	300,000.00	2,730.70
UNIV OF CAL Taxable Rev Bonds	2.003%	03/25/15	05/15/19	987	2.000%	135,000.00	135,000.00	-	135,000.00	796.19
FL ST Board Admin Fin Corp Taxable Rev Bonds	2.163%	03/08/16	07/01/19	1,034	2.160%	925,000.00	925,000.00	-	925,000.00	3,334.63
CT ST Taxable GO Bonds	1.300%	08/03/16	08/15/19	1,079	1.230%	710,000.00	711,513.98	(19.62)	711,533.60	358.94
MS ST Taxable GO Bonds	1.679%	02/18/15	10/01/19	1,126	1.680%	310,000.00	310,000.00	-	310,000.00	2,168.71
NY Trans Fin Auth, NY Txb Rev Bonds	2.750%	04/23/15	02/01/20	1,249	1.880%	375,000.00	385,736.08	(4,080.17)	389,816.25	859.38
NY Trans Fin Auth, NY Txb Rev Bonds	1.500%	07/14/16	05/01/21	1,704	1.500%	600,000.00	600,000.00	-	600,000.00	825.00

DU PAGE WATER COMMISSION  
 INVESTMENTS  
 (Unaudited)  
 August 31, 2016

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE	YIELD TO MATURITY (COST)		PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 08/31/16
Water Fund Operating Reserve (01-121800) Continued...										
FNMA Pool #AJ3174	3.500%	02/17/16	10/01/26	3,683	2.270%	432,675.58	459,521.79	(196.01)	459,717.80	1,261.97
FNMA Pool #AT3221	3.500%	06/17/16	03/01/27	3,834	2.270%	528,656.16	562,027.58	-	562,027.58	1,541.91
FNMA Pool #AS4197	3.500%	07/16/15	01/01/30	4,871	2.620%	313,983.31	330,391.59	(2,038.24)	332,429.83	915.78
FHLMC Pool #U49048	3.000%	03/17/16	08/01/30	5,083	2.350%	457,653.93	476,725.23	(1,022.57)	477,747.80	1,144.13
FNMA Pool #AL7738	3.500%	02/17/16	11/01/30	5,175	2.550%	482,898.54	512,073.03	(2,138.47)	514,211.50	1,408.45
FNMA Series 2015-M1 ASQ2	1.626%	01/30/15	02/01/18	519	1.260%	184,988.08	185,703.55	(1,133.03)	186,836.58	250.66
FNMA Series 2015-M7 ASQ2	1.550%	04/30/15	04/01/18	578	0.830%	175,000.00	175,822.62	(926.75)	176,749.37	226.04
FNMA Series 2015-M15 ASQ2	1.899%	11/30/15	01/01/19	853	1.200%	195,000.00	196,390.08	(559.90)	196,949.98	308.44
Fannie Mae Series 2015-M13 ASQ2	1.646%	10/30/15	09/01/19	1,096	1.080%	425,000.00	428,202.73	(1,053.18)	429,255.91	582.96
Fannie Mae Series 2016-M1 ASQ2	2.132%	01/29/16	02/01/21	1,615	1.680%	925,000.00	932,986.37	(1,247.35)	934,233.72	1,643.42
FNMA Series 2010-18 LV	4.500%	07/21/15	04/01/21	1,674	2.030%	328,858.95	328,858.95	(22,814.60)	351,673.55	1,233.22
Freddie Mac Global Notes	1.000%	08/13/14	06/29/17	302	0.970%	165,000.00	165,045.82	(111.59)	165,157.41	284.17
Freddie Mac Global Notes	1.000%	08/13/14	06/29/17	302	0.960%	635,000.00	635,216.14	(526.81)	635,742.95	1,093.61
Freddie Mac Global Notes	1.000%	07/31/12	07/28/17	331	0.860%	95,000.00	95,117.68	(446.43)	95,564.11	87.08
Freddie Mac Global Notes	1.000%	07/31/12	07/28/17	331	0.860%	125,000.00	125,154.84	(587.41)	125,742.25	114.58
Freddie Mac Global Notes	1.000%	03/20/15	07/28/17	331	0.850%	205,000.00	205,270.56	(426.44)	205,697.00	187.92
Fannie Mae Global Notes	0.875%	09/06/12	08/28/17	362	0.820%	200,000.00	200,100.83	178.83	199,922.00	14.58
Fannie Mae Global Notes	0.875%	09/06/12	08/28/17	362	0.820%	475,000.00	475,239.48	424.73	474,814.75	34.64
Fannie Mae Global Notes	0.875%	11/27/13	10/26/17	421	1.080%	1,300,000.00	1,297,016.37	6,961.37	1,290,055.00	3,949.65
Tennessee Valley Authority Notes	6.250%	08/19/15	12/15/17	471	1.010%	720,000.00	768,189.51	(38,193.21)	806,382.72	9,500.00
FHLB Notes	0.875%	02/18/16	03/19/18	565	0.890%	2,300,000.00	2,298,466.63	179.63	2,299,287.00	9,056.25
FNMA Notes	0.875%	03/04/18	03/28/18	574	0.970%	1,100,000.00	1,098,318.53	518.53	1,097,800.00	4,090.63
FHLMC Reference Note	0.750%	06/23/16	04/09/18	586	0.850%	1,500,000.00	1,497,701.39	266.39	1,497,435.00	4,500.00
Fannie Mae Global Notes	0.875%	05/24/13	05/21/18	628	1.190%	500,000.00	497,326.22	4,901.22	492,425.00	1,215.28
Fannie Mae Global Notes	0.875%	05/22/13	05/21/18	628	1.050%	1,000,000.00	997,035.20	5,485.20	991,550.00	2,430.56
Federal Home Loans Banks Agency	0.875%	05/26/16	06/29/18	667	0.990%	1,100,000.00	1,097,676.22	327.22	1,097,349.00	1,657.64
Federal Home Loans Banks Agency	0.875%	06/23/16	06/29/18	667	0.860%	1,200,000.00	1,200,304.96	(31.04)	1,200,336.00	1,808.33
FHLB Notes	0.625%	08/11/16	08/07/18	706	0.820%	635,000.00	632,675.47	63.07	632,612.40	264.58
FHLB Notes	0.625%	08/08/16	08/07/18	706	0.840%	1,250,000.00	1,244,747.45	172.45	1,244,575.00	520.83
FHLB Global Note	0.875%	08/25/16	10/01/18	761	0.910%	1,650,000.00	1,648,885.01	7.01	1,648,878.00	200.52
FNMA Benchmark Note	1.125%	09/01/15	10/19/18	779	1.180%	2,300,000.00	2,297,443.55	1,169.55	2,296,274.00	9,487.50
Fannie Mae Global Notes	1.625%	10/23/14	11/27/18	818	1.390%	990,000.00	995,209.94	(4,195.06)	999,405.00	4,200.63
FNMA Benchmark Note	1.375%	01/08/16	01/28/19	880	1.180%	275,000.00	274,864.51	35.01	274,829.50	346.61
FNMA Benchmark Note	1.000%	02/23/16	02/26/19	909	1.080%	1,100,000.00	1,097,848.47	444.47	1,097,404.00	152.78
FNMA Benchmark Note	1.000%	08/05/16	02/26/19	909	0.900%	2,255,000.00	2,260,565.95	(139.20)	2,260,705.15	313.19
Freddie Mac Notes	1.125%	08/12/16	04/15/19	957	0.900%	1,025,000.00	1,031,069.80	(100.70)	1,031,170.50	5,125.00
Freddie Mac Notes	1.125%	03/24/16	04/15/19	957	1.150%	2,400,000.00	2,398,519.03	247.03	2,398,272.00	12,000.00
FNMA Notes	1.750%	06/30/16	06/20/19	1,023	0.800%	1,000,000.00	1,026,146.36	(1,563.64)	1,027,710.00	3,451.39
FHLB Global Note	1.125%	06/02/16	06/21/19	1,024	1.140%	1,050,000.00	1,049,592.44	33.44	1,049,559.00	2,296.88
FHLMC Reference Note	0.875%	07/20/16	07/19/19	1,052	0.960%	1,500,000.00	1,496,185.17	145.17	1,496,040.00	1,494.79
FNMA Benchmark Note	0.875%	07/29/16	08/02/19	1,066	0.930%	1,600,000.00	1,597,383.34	71.34	1,597,312.00	1,127.78
FHLB Global Note	0.875%	08/03/16	08/05/19	1,069	0.940%	1,100,000.00	1,097,940.14	52.14	1,097,888.00	721.88
FNMA Benchmark Note	1.750%	12/03/14	12/06/19	1,182	1.610%	475,000.00	477,018.58	(1,045.17)	478,063.75	2,193.58
FHLB Global Note	1.125%	08/02/16	07/14/21	1,778	1.210%	1,000,000.00	996,219.00	59.00	996,160.00	1,468.75
FHLB Global Note	1.125%	07/14/16	07/14/21	1,778	1.250%	1,525,000.00	1,515,957.04	230.56	1,515,726.48	2,239.84
Weighted Avg Maturity			1,103		1.187%	\$ 66,299,878.03	\$ 66,753,736.13	\$ (68,140.83)	\$ 66,821,876.96	\$ 182,859.24



DU PAGE WATER COMMISSION  
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(Unaudited)  
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FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 08/31/16
Water Fund L-T Water Capital Reserve (01-121900)										
IIT - Money Market (PFM Asset Management)	0.030%	08/31/16	09/01/16	1	0.030%	47,871.32	47,871.32	-	47,871.32	-
US Treasury Notes	0.625%	03/28/16	08/31/17	365	0.790%	485,000.00	484,202.83	339.55	483,863.28	8.37
US Treasury Notes	0.625%	05/15/14	11/30/17	456	1.030%	425,000.00	422,856.90	3,883.27	418,973.63	674.95
US Treasury Notes	0.750%	12/31/13	02/28/18	546	1.380%	485,000.00	480,518.19	7,756.86	472,761.33	10.05
US Treasury Notes	0.625%	05/13/13	04/30/18	607	0.820%	105,000.00	104,657.30	666.29	103,991.01	221.13
US Treasury Notes	0.625%	05/15/13	04/30/18	607	0.820%	180,000.00	179,419.39	1,127.98	178,291.41	379.08
US Treasury Notes	1.125%	04/24/15	04/30/20	1,338	1.350%	300,000.00	297,608.66	854.75	296,753.91	1,137.23
US Treasury Notes	1.750%	02/03/16	10/31/20	1,522	1.350%	250,000.00	254,083.16	(545.75)	254,628.91	1,474.18
US Treasury Notes	2.000%	03/28/16	11/30/20	1,552	1.380%	265,000.00	271,780.78	(661.99)	272,442.77	1,346.72
US Treasury Notes	2.000%	03/28/16	10/31/21	1,887	1.520%	275,000.00	281,499.80	(514.85)	282,014.65	1,853.26
US Treasury Notes	1.875%	01/12/15	11/30/21	1,917	1.780%	260,000.00	261,297.05	(378.73)	261,675.78	1,238.73
US Treasury Notes	1.500%	12/30/15	01/31/22	1,979	2.040%	75,000.00	72,938.94	241.67	72,697.27	97.83
US Treasury Notes	1.500%	09/03/15	01/31/22	1,979	1.870%	350,000.00	343,381.84	1,147.46	342,234.38	456.52
US Treasury Notes	1.625%	02/26/15	08/15/22	2,175	1.820%	175,000.00	173,111.69	449.58	172,662.11	131.37
US Treasury Notes	1.625%	12/04/15	11/15/22	2,267	1.930%	200,000.00	196,502.63	393.25	196,109.38	962.64
US Treasury Notes	1.750%	04/24/15	05/15/23	2,448	1.790%	390,000.00	388,975.42	194.17	388,781.25	2,021.54
US Treasury Notes	2.750%	03/28/16	11/15/23	2,632	1.720%	150,000.00	160,397.27	(577.34)	160,974.61	1,221.81
US Treasury Notes	2.750%	10/09/15	11/15/23	2,632	1.910%	155,000.00	163,758.30	(1,007.91)	164,766.21	1,262.53
US Treasury Notes	2.750%	05/26/16	11/15/23	2,632	1.650%	275,000.00	295,423.69	(673.97)	296,097.66	2,239.98
US Treasury Notes	2.375%	03/28/16	08/15/24	2,906	1.810%	200,000.00	208,266.66	(413.03)	208,679.69	219.43
US Treasury Notes	2.125%	05/03/16	05/15/25	3,179	1.760%	150,000.00	154,408.61	(149.98)	154,558.59	944.12
US Treasury Notes	2.250%	07/06/16	11/15/25	3,363	1.320%	105,000.00	113,483.94	(129.34)	113,613.28	699.76
US Treasury Notes	2.250%	06/27/16	11/15/25	3,363	1.450%	115,000.00	122,897.35	(139.17)	123,036.52	766.41
Inter-American Development Bank	1.000%	04/12/16	05/13/19	985	1.100%	140,000.00	139,632.04	52.04	139,580.00	540.56
Intl Bank of Recons and Dev Notes (World Bank)	1.625%	03/14/16	03/09/21	1,651	1.730%	150,000.00	149,350.01	64.01	149,286.00	1,164.58
Asian Development Bank Note	1.625%	03/16/16	03/16/21	1,658	1.640%	150,000.00	149,894.70	10.20	149,884.50	1,117.19
NYC, NY Taxable GO Bonds	1.650%	03/31/15	10/01/18	761	1.650%	95,000.00	95,000.00	-	95,000.00	653.13
FL ST Board Admin Fin Corp Taxable Rev Bonds	2.163%	03/08/16	07/01/19	1,034	2.160%	150,000.00	150,000.00	-	150,000.00	540.75
MS ST Taxable GO Bonds	1.679%	02/18/15	10/01/19	1,126	1.680%	40,000.00	40,000.00	-	40,000.00	279.83
NY Trans Fin Auth, NY Txbi Rev Bonds	2.750%	04/23/15	02/01/20	1,249	1.880%	75,000.00	77,147.22	(816.03)	77,963.25	171.88
CT ST Taxable GO Bonds	2.294%	03/25/15	03/15/20	1,292	2.290%	70,000.00	70,000.00	-	70,000.00	740.45
UNIV OF CAL Taxable Rev Bonds	2.253%	03/25/15	05/15/20	1,353	2.250%	50,000.00	50,000.00	-	50,000.00	331.69
NY Trans Fin Auth, NY Txbi Rev Bonds	1.500%	07/14/16	05/01/21	1,704	1.500%	100,000.00	100,000.00	-	100,000.00	137.50
FNMA Pool #AJ3174	3.500%	02/17/16	10/01/26	3,683	2.270%	69,303.03	73,603.07	(31.39)	73,634.46	202.13
FNMA Pool #AT3221	3.500%	06/17/16	03/01/27	3,834	2.270%	96,607.22	102,705.55	-	102,705.55	281.77
FNMA Pool #AS4197	3.500%	07/16/15	01/01/30	4,871	2.620%	77,572.36	81,626.17	(503.57)	82,129.74	226.25
FHLMC Pool #U49048	3.000%	03/17/16	08/01/30	5,083	2.350%	68,648.08	71,508.77	(153.38)	71,662.15	171.62
FNMA Pool #AL7738	3.500%	02/17/16	11/01/30	5,175	2.550%	79,701.71	84,516.92	(352.96)	84,869.88	232.46

DU PAGE WATER COMMISSION  
INVESTMENTS  
(Unaudited)  
August 31, 2016

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 08/31/16
Water Fund L-T Water Capital Reserve (01-121900) Continued...										
FNMA Series 2015-M15 ASQ2	1.899%	11/30/15	01/01/19	853	1.200%	45,000.00	45,320.79	(129.21)	45,450.00	71.18
Fannie Mae Series 2015-M13 ASQ2	1.646%	10/30/15	09/01/19	1,096	1.080%	80,000.00	80,602.86	(198.25)	80,801.11	109.73
Fannie Mae Series 2016-M1 ASQ2	2.132%	01/29/16	02/01/21	1,815	1.680%	175,000.00	176,510.93	(235.99)	176,746.92	310.92
FNMA SERIES 2015	2.013%	03/31/15	07/01/22	2,130	1.710%	21,763.34	21,932.11	(48.47)	21,980.58	36.51
Freddie Mac Global Notes	1.000%	08/13/14	06/29/17	302	0.960%	260,000.00	260,088.50	(215.70)	260,304.20	447.78
Fannie Mae Global Notes	0.875%	05/15/14	05/21/18	628	1.300%	425,000.00	421,910.35	4,012.10	417,898.25	1,032.99
FHLB Notes	0.625%	08/11/16	08/07/18	706	0.820%	235,000.00	234,139.74	23.34	234,116.40	97.92
Fannie Mae Global Notes	1.625%	12/31/13	11/27/18	818	1.780%	475,000.00	473,417.16	1,808.66	471,608.50	2,015.45
Fannie Mae Benchmark Notes	1.875%	05/15/14	02/19/19	902	1.550%	400,000.00	403,135.77	(2,808.23)	405,944.00	250.00
FHLB Global Note	1.125%	06/02/16	06/21/19	1,024	1.140%	375,000.00	374,854.44	11.94	374,842.50	820.31
FNMA Benchmark Notes	1.500%	10/19/15	11/30/20	1,552	1.520%	325,000.00	324,746.02	48.27	324,697.75	1,232.29
FHLB Global Note	1.125%	07/13/16	07/14/21	1,778	1.230%	250,000.00	248,798.80	31.30	248,767.50	367.19
FNMA Notes	2.125%	04/26/16	04/24/26	3,523	2.210%	210,000.00	208,409.00	51.20	208,357.80	1,549.48
		Weighted Avg Maturity	1,569		1.507%	\$ 10,106,467.06	\$ 10,188,192.64	\$ 12,482.65	\$ 10,175,709.99	\$ 34,501.18
Capital Reserve (01-122000)										
IIIT - Money Market (PFM Asset Management)	0.030%	08/31/16	09/01/16	1	0.030%	41,423.45	41,423.45	-	41,423.45	-
US Treasury Notes	0.625%	04/23/15	11/30/17	456	0.780%	1,100,000.00	1,097,934.55	2,231.42	1,095,703.13	1,746.93
US Treasury Notes	0.875%	12/31/14	01/31/18	518	1.160%	1,000,000.00	996,062.34	4,577.96	991,484.38	760.87
US Treasury Notes	1.250%	05/03/16	11/30/18	821	0.830%	705,000.00	711,511.05	(824.49)	712,435.54	2,239.24
US Treasury Notes	1.500%	12/04/15	12/31/18	852	1.220%	975,000.00	981,291.85	(1,972.80)	983,264.65	2,503.74
Intl Bank of Recons and Dev Notes (World Bank)	0.875%	04/12/16	07/19/18	687	0.950%	600,000.00	599,108.33	170.33	598,938.00	612.50
Inter-American Development Bank	1.000%	04/12/16	05/13/19	985	1.100%	420,000.00	418,896.11	156.11	418,740.00	1,621.67
UNIV OF CAL Taxable Rev Bonds	0.934%	03/25/15	05/15/17	257	0.930%	175,000.00	175,000.00	-	175,000.00	481.27
MS ST Taxable GO Bonds	1.090%	02/18/15	10/01/17	396	1.090%	35,000.00	35,000.00	-	35,000.00	158.96
Regional Trans Auth, IL Rev Bonds	1.250%	04/26/16	05/04/18	611	1.250%	600,000.00	600,000.00	-	600,000.00	2,437.50
FL ST Board Admin Fin Corp Taxable Rev Bonds	2.163%	03/08/16	07/01/19	1,034	2.160%	600,000.00	600,000.00	-	600,000.00	2,163.00
CT ST Taxable GO Bonds	1.300%	08/03/16	08/15/19	1,079	1.230%	330,000.00	330,703.68	(9.12)	330,712.80	166.83

DU PAGE WATER COMMISSION  
 INVESTMENTS  
 (Unaudited)  
 August 31, 2016

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 08/31/16
Capital Reserve (01-122000) Continued...										
FNMA SERIES 2015 M1	1.626%	01/30/15	02/01/18	519	1.260%	92,494.04	92,851.77	(566.52)	93,418.29	125.33
FNMA SERIES 2015 M7	1.550%	04/30/15	04/01/18	578	1.260%	105,000.00	105,493.57	(556.05)	106,049.62	135.63
FNMA SERIES 2015 M3	0.392%	02/27/15	06/01/18	639	0.390%	68,619.30	68,619.30	17.93	68,601.37	37.29
FNMA SERIES 2015 M3	0.385%	03/31/15	09/25/18	755	0.380%	64,890.44	64,890.44	16.60	64,873.84	34.72
FNMA SERIES 2015 M3	0.356%	05/29/15	11/25/18	816	0.360%	51,406.54	51,406.54	12.19	51,394.35	25.79
FNMA Series 2015-M15 ASQ2	1.899%	11/30/15	01/01/19	853	1.200%	130,000.00	130,926.73	(373.26)	131,299.99	205.63
FNMA Series 2016-M9 ASQ2	1.785%	06/09/16	06/01/19	1,004	1.050%	230,000.00	232,144.95	(154.94)	232,299.89	342.13
Fannie Mae Series 2015-M13 ASQ2	1.648%	10/30/15	09/01/19	1,096	1.080%	275,000.00	277,072.35	(681.47)	277,753.82	377.21
Freddie Mac Series 4459 NB	4.500%	06/11/15	01/01/20	1,218	0.770%	151,293.90	156,283.01	(2,339.18)	158,622.19	567.35
FNMA Series 2015-M12 FA	0.540%	09/30/15	04/25/20	1,333	0.540%	417,642.24	417,642.24	150.35	417,491.89	268.72
FNMA Series 2010-18 LV	4.500%	07/21/15	04/01/21	1,674	2.030%	230,201.26	230,201.26	(15,970.22)	246,171.48	863.25
Tennessee Valley Authority Notes	6.250%	08/19/15	12/15/17	471	1.010%	480,000.00	512,126.34	(25,462.14)	537,588.48	6,333.33
FHLB Notes	0.875%	02/18/16	03/19/18	565	0.890%	1,475,000.00	1,474,657.95	115.20	1,474,542.75	5,807.81
FNMA Notes	0.875%	03/04/16	03/28/18	574	0.970%	1,475,000.00	1,472,745.30	695.30	1,472,050.00	5,485.16
FHLMC Reference Note	0.750%	04/06/16	04/09/18	586	0.830%	725,000.00	724,087.40	225.65	723,861.75	2,175.00
Federal Home Loan Banks Agcy	0.875%	08/11/16	06/29/18	667	0.850%	450,000.00	450,166.32	(4.68)	450,171.00	678.13
Federal Home Loan Banks Agcy	0.875%	05/26/16	06/29/18	667	0.990%	785,000.00	783,341.66	233.51	783,108.15	1,182.95
FHLB Notes	0.625%	07/07/16	08/07/18	706	0.730%	750,000.00	748,549.16	109.16	748,440.00	312.50
FHLB Notes	0.625%	08/10/16	08/07/18	706	0.810%	870,000.00	866,967.68	82.28	866,885.40	362.50
FHLB Global Note	0.875%	08/25/16	10/01/18	761	0.910%	750,000.00	749,493.19	3.19	749,490.00	91.15
FNMA Benchmark Note	1.125%	09/01/15	10/19/18	779	1.180%	1,475,000.00	1,473,360.54	750.04	1,472,610.50	6,084.38
FNMA Benchmark Note	1.375%	01/08/16	01/28/19	880	1.400%	1,255,000.00	1,254,381.69	159.79	1,254,221.90	1,581.82
FNMA Benchmark Note	1.000%	02/23/16	02/26/19	909	1.080%	1,475,000.00	1,472,114.99	595.99	1,471,519.00	204.86
Freddie Mac Notes	1.125%	05/26/16	04/15/19	957	1.100%	900,000.00	900,636.45	(56.55)	900,693.00	4,500.00
Freddie Mac Notes	1.125%	03/31/16	04/15/19	957	1.050%	950,000.00	951,956.36	(304.64)	952,261.00	4,750.00
FHLB Global Note	1.125%	06/27/16	06/21/19	1,024	0.820%	1,350,000.00	1,361,535.43	(700.27)	1,362,235.70	2,953.13
FHLMC Reference Note	0.875%	07/19/16	07/19/19	1,052	0.960%	650,000.00	648,486.05	59.05	648,427.00	647.74
FNMA Benchmark Note	0.875%	07/29/16	08/02/19	1,066	0.930%	750,000.00	748,773.44	33.44	748,740.00	528.65
FNMA Notes	0.875%	08/31/16	08/28/19	1,092	1.050%	1,675,000.00	1,672,387.00	-	1,672,387.00	-
Bank of Tokyo Mitsubishi CP	0.000%	04/01/16	10/04/16	34	0.890%	600,000.00	599,510.50	2,225.00	597,285.50	-
JP Morgan Securities CP	0.000%	04/01/16	12/27/16	118	1.040%	600,000.00	597,991.50	2,575.00	595,416.50	-
BNP Paribas NY CP	0.000%	04/08/16	01/03/17	125	1.040%	600,000.00	597,871.33	2,506.33	595,365.00	-
Credit Agricole CIB NY CP	0.000%	08/09/16	02/03/17	156	1.280%	600,000.00	596,719.16	465.66	596,253.50	-
Bank of Montreal Chicago	0.000%	06/16/16	03/03/17	184	1.040%	600,000.00	596,858.50	1,321.83	595,536.67	-
Weighted Avg Maturity			741		1.035%	\$ 29,637,971.17	\$ 29,669,181.46	\$ (30,587.02)	\$ 29,699,768.48	\$ 61,554.67
TOTAL ALL FUNDS					1.061%	\$ 136,185,427.75	\$ 136,730,182.02	\$ (77,183.38)	\$ 136,807,365.40	\$ 288,067.06
Less: Net Unsettled Trades							(16,479.06)			
							\$ 136,713,702.96			
August 31, 2016					90 DAY US TREASURY YIELD			3,523	\$ 210,000.00	
					3 month US Treasury Bill Index	0.25%				
					0-3 Year US Treasury Index	0.68%				
					1-3 Year US Treasury Index	0.79%				
					1-5 Year US Treasury Index	0.91%				
					1-10 Year US Treasury Index	1.06%				

DUPAGE WATER COMMISSION  
ELMHURST, ILLINOIS  
TREASURER'S REPORT  
STATEMENT OF CASH FLOWS  
For the Period from May 1, 2016 to August 31, 2016

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CASH FLOWS FROM OPERATING ACTIVITIES

Cash received from customers	\$ 44,494,875
Cash payments to suppliers	(38,799,954)
Cash payments to employees	(1,017,979)
Net cash from operating activities	4,676,942

CASH FLOWS FROM NONCAPITAL  
FINANCING ACTIVITIES

Cash received from sales taxes	12,124,235
Cash Received from water quality loans	49,043
Cash payments for net pension activity	0
Net cash from noncapital financing activities	12,173,278

CASH FLOWS FROM CAPITAL AND  
RELATED FINANCING ACTIVITIES

Interest paid	(43)
Principal Paid	(3,240)
Construction and purchase of capital assets	(1,587,404)
Net cash from capital and related financing activities	(1,590,687)

CASH FLOWS FROM INVESTING ACTIVITIES

Investment income	653,712
Net cash from investing activities	653,712

Net Increase (Decrease) in cash and investments 15,913,245

CASH AND INVESTMENTS, MAY 1, 2016 136,290,161

CASH AND INVESTMENTS, AUG 31, 2016 \$ 152,203,406

August 31, 2016  
 TREASURER'S REPORT  
 DPWC MONTHLY CASH/OPERATING REPORT



	8/31/2016		
	YEAR END TARGETED Reserve or Monthly Cash Amount-Needed	Amount On Hand	Amount Over - (Under) Target
	A	B	C
<b>TABLE 1</b>			
<b>RESERVE ANALYSIS</b>			
A. Operating Reserve <i># of days per current fiscal year management budget</i>	\$ 39,602,480 120	\$ 66,753,736 202	\$ 27,151,256
B. Capital Reserve	\$ 29,361,790	\$ 29,652,702	\$ 290,912
C. Long Term Water Capital Reserve	\$ 9,925,000	\$ 10,188,193	\$ 263,193
D. O+M Account (1)	\$ 11,094,747	\$ 30,460,341	\$ 19,365,594
E. Current Construction Obligation and Customer Construction Escrows	\$ 168,999	\$ 168,999	\$ -
<b>TOTAL SUMMARY CASH + RESERVE ANALYSIS</b>	<b>\$ 90,153,016</b>	<b>\$ 137,223,971</b>	<b>\$ 47,070,956</b>

<b>TABLE 2</b>	
<b>OTHER CASH</b>	
F. General Fund	\$ 13,013,636
G. Sales Tax	\$ 1,965,798
<b>TOTAL TABLE 2-OTHER CASH</b>	<b>\$ 14,979,434</b>
<b>TOTAL MONTH END FUNDS CASH BALANCE-Table1+2</b>	<b>\$ 152,203,406</b>

Note 1: The O&M Account target varies from month to month. The cash balance should be enough to cover the current months operating cash outflows.



DATE: September 6, 2016

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Finance Committee	<b>ORIGINATING DEPARTMENT</b>	Finance
<b>ITEM</b>	To Approve the Renewal of the Audit Services Agreement between the DuPage Water Commission and Sikich LLC for an amount not to exceed \$32,000	<b>APPROVAL</b>	 
Account Number: 01-60-626000			
<p>This request would authorize the General Manager to extend the agreement one additional year with Sikich LLC for audit services at the DuPage Water Commission for an amount not to exceed \$32,000.</p> <p>The Commission solicited sealed proposals for audit services at the DuPage Water Commission by direct invitation to local and national accounting firms back in November 2014. Of the nine companies that held copies of the Request for Proposals (RFP) document, five proposals were received. Of the five proposals received, the proposal of Sikich LLC was found to be the lowest responsible bidder and most favorable to the interests of the Commission.</p> <p>The initial request authorized the General Manager to engage in a two year, with an optional third year, agreement with Sikich LLC for audit services in December 2014. The Commission would like to exercise that option for the third year.</p> <p>The Commission has been very satisfied with the services from Sikich.</p>			
<b>MOTION:</b> To retain the services of Sikich LLC for a one year term.			

DATE: September 8, 2016

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Engineering & Construction Committee	<b>ORIGINATING DEPARTMENT</b>	Instrumentation/ Remote Facilities
<b>ITEM</b>	A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the September 15, 2016, DuPage Water Commission Meeting  Resolution No. R-27-16	<b>APPROVAL</b>	 

Account Numbers: 01-60-663300

The Commission entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co. for quick response electrical work as needed through the issuance of Work Authorization Orders. Resolution No. R-27-16 would approve the following Work Authorization Order under the Quick Response Electrical Contracts:

**Work Authorization Order No. 008:** This Work Authorization is to McWilliams Electric Co. Inc., and the work completed, prior to board approval and was for the relocation of ComEd power feed to Remotely Operated Valve (ROV2A) in Bensenville. ComEd is moving many of the power poles along Church Road to make room for Bensenville to install a bike path. The power pole that feeds ROV2A is one that was moved.

QRE Contractor	Estimated Cost
McWilliams Electric Co. Inc.	\$3,550.00
Windy City Electric Co.	\$4,165.00

The work will be billed at time and material, the cost is estimated to be \$3,550.00.

**MOTION:** To adopt Resolution No. R-27-16.

## DUPAGE WATER COMMISSION

## RESOLUTION NO. R-27-16

A RESOLUTION APPROVING AND RATIFYING  
CERTAIN WORK AUTHORIZATION ORDERS  
UNDER QUICK RESPONSE ELECTRICAL CONTRACT QRE-7/15  
AT THE SEPTEMBER 15, 2016, DUPAGE WATER COMMISSION MEETING

WHEREAS, the DuPage Water Commission (the "Commission") entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co., for quick response electrical work related to the Commission's Waterworks System (said agreements being hereinafter collectively referred to as "Contract QRE-7/15"); and

WHEREAS, Contract QRE-7/15 is designed to allow the Commission to direct one or more or all of the quick response electrical contractors to perform emergency electrical work, including without limitation electrical work that the Commission is unable to perform through its own personnel and with its own equipment, as needed through the issuance of Work Authorization Orders; and

WHEREAS, the need for such emergency electrical work could not have been reasonably foreseen at the time the contracts were signed;

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: The foregoing recitals are by this reference incorporated herein and made a part hereof as findings of the Board of Commissioners of the DuPage Water Commission.



SECTION TWO: The Work Authorization Orders attached hereto and by this reference incorporated herein and made a part hereof as Exhibit 1 shall be and hereby are approved and, if already issued, ratified because the Board of Commissioners of the DuPage Water Commission has determined, based upon the representations of staff, that the circumstances said to necessitate the Work Authorization Orders were not reasonably foreseeable at the time the contracts were signed, the Work Authorization Orders are germane to the original contracts as signed, and/or the Work Authorization Orders are in the best interest of the DuPage Water Commission and authorized by law.

SECTION THREE: This Resolution shall constitute the written determination required by Section 33E-9 of the Criminal Code of 1961 and shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED this \_\_\_\_ day of \_\_\_\_\_, 2016

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk

Exhibit 1

**WORK AUTHORIZATION ORDER**

**SHEET 1 OF 2**

**CONTRACT QRE-7/15: QUICK RESPONSE ELECTRICAL CONTRACT**

**PROJECT: QRE-7.008**

**LOCATION:**

Remotely Operated Valve (ROV2A) on Church Rd between Red Oak St. and Crest Ave,  
Bensenville

**CONTRACTOR:**

McWilliams Electric Co. Inc.

**DESCRIPTION OF WORK:**

Relocate 120/240VAC power feed from original ComEd power pole to new ComEd  
power pole

**REASON FOR WORK:**

ComEd relocated power pole for Bensenville to install a Bike Path

**MINIMUM RESPONSE TIME:**

None

**COMMISSION-SUPPLIED MATERIALS, EQUIPMENT  
AND SUPPLIES TO BE INCORPORATED INTO THE WORK:**

None

**THE WORK ORDERED PURSUANT TO THIS WORK AUTHORIZATION ORDER**

IS  IS NOT PRIORITY EMERGENCY WORK

**SUBMITTALS REQUESTED:**

None

**SUPPLEMENTARY NOTIFICATION OF POTENTIALLY HAZARDOUS CONDITIONS:**

None

**SUPPLEMENTARY CONTRACT SPECIFICATIONS AND DRAWINGS:**

Contract drawings available upon request.

**DUPAGE WATER COMMISSION**

By: \_\_\_\_\_  
Signature of Authorized  
Representative

DATE: \_\_\_\_\_

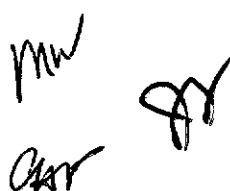
**CONTRACTOR RECEIPT ACKNOWLEDGED AND DESIGNATION OF SAFETY REPRESENTATIVE:**

By: \_\_\_\_\_  
Signature of Authorized  
Representative

Safety Rep: \_\_\_\_\_  
Name and 24-Hr Phone No.

DATE: \_\_\_\_\_

# REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b> Engineering & Construction Committee	<b>ORIGINATING DEPARTMENT</b> Operations
<b>ITEM</b> A Resolution Directing Advertisement for Bids on a Contract for 36-Month Maintenance, Parts and Repair Service for Large Electric Motors  Resolution No. R-28-16	<b>APPROVAL</b>  
<p>Account Number: 01-60-662100</p> <p>R-28-16 appears on the agenda seeking authorization for advertisement for bids on a Contract for 36-Month Maintenance, Parts and Repair Service for Large Electric Motors, five (5) horsepower and larger electric motors including ancillary equipment, and would establish all requirements necessary for the bidding, for the awarding of the contract, and for the approval of the contractor's bonds, all as required by state statute.</p> <p>While the primary focus of the contract would be the Commission's High Lift Pump Motors consisting of two (2) 800 horsepower and seven (7) 1750 horsepower electric motors, from time to time Staff is in need of repairs to smaller motors when it's economically feasible to repair rather than replace.</p> <p>The intent is to receive proposals that include unit prices for parts, material and labor services to be used on an as needed basis for general service and also for emergency service.</p> <p>There is no cost component for this action.</p>	
<b>MOTION:</b> To adopt Resolution No. R-28-16.	

## DUPAGE WATER COMMISSION

## RESOLUTION NO. R-28-16

A RESOLUTION DIRECTING ADVERTISEMENT  
FOR BIDS ON A CONTRACT FOR 36-MONTH MAINTENANCE, PARTS AND REPAIR  
SERVICE FOR LARGE ELECTRIC MOTORS

BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: Advertisement for Bids. The General Manager is hereby authorized and directed to advertise for bids on a Contract for 36-Month Maintenance, Parts and Repair Service for Large Electric Motors (the "Contract") in accordance with the requirements of 65 ILCS 5/11-135-5.

SECTION TWO: Notice Inviting Bids. The Notice inviting bids on the Contract shall be in substantially the form of the "Request for Proposals" attached hereto as Exhibit A and by this reference incorporated herein and made a part hereof.

SECTION THREE: Requirements for Bidding. Sealed envelopes or packages containing proposals for the performance of the Contract shall be submitted to the Commission in accordance with the "Delivery of Proposals" attached hereto as Exhibit A and by this reference incorporated herein and made a part hereof.

SECTION FOUR: Awarding of Contract. The Commission will award the Contract to a bidder whose proposal is found to be in the best interests of the Commission. The bidder who is to receive an award shall be determined in accordance with Article VIII of the Commission By-Laws and the Instructions to Bidders substantially in the form attached hereto as Exhibit B.

SECTION FIVE: Approval of Bonds. The approval of the contractor's faithful performance bond shall be subject to the requirements set forth under the subheading

Resolution No. R-28-16

“Performance and Payment Bonds” in the Request for Proposals attached hereto as Exhibit A.

SECTION SIX: Effective Date. This resolution shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk

EXHIBIT A



DuPAGE WATER COMMISSION  
REQUEST FOR PROPOSALS

OWNER:

DuPage Water Commission  
600 East Butterfield Road  
Elmhurst, Illinois 60126-4642

Owner will receive sealed proposals for the Work generally described as follows:

**CONTRACT FOR 36-MONTH MAINTENANCE, PARTS AND REPAIR SERVICE FOR LARGE ELECTRIC MOTORS**

TO BE SUBMITTED TO DuPage Water Commission, 600 East Butterfield Road, Elmhurst, Illinois 60126-4642, Attention John F. Spatz, Jr., General Manager, BEFORE 1:00 P.M., November 17<sup>th</sup>, 2016.

INSTRUCTIONS TO BIDDERS

Work Site

DuPage Pumping Station, 600 E. Butterfield Rd., Elmhurst, IL 60126.

The Bid Package

The Bid Package consists of the following documents, all of which are by this reference made a part of this Request for Proposals as though fully set forth herein: Request for Proposals; Addenda, if issued; Bidder's Contract/Proposal, including all of its Attachments and Appendices, if any; Other Information Submitted by Bidder, if requested; and Owner's Notification of Acceptance.

Inspection and Examination

A copy of the Bid Package may be obtained at the office of Owner as listed above. In making copies of the Bid Package available to prospective Bidders, Owner does so only for the purpose of obtaining Bidder's Proposals and such provision does not confer a license or grant for any other use.

Each prospective bidder shall, before submitting its proposal, carefully examine the Contract/Proposal form attached to this Request for Proposals. Each bidder shall also inspect in detail the Work Site described in the Contract/Proposal form and the surrounding area and shall familiarize itself with all conditions under which the Work is to be performed; with the obstacles, unusual conditions, or difficulties that may be encountered, whether or not referred to in the Contract/Proposal; and with all other relevant matters concerning the Work Site and the surrounding area. The bidder whose Contract/ Proposal is accepted will be responsible for all errors in its proposal, including those resulting from its failure or neglect to make a thorough examination and investigation of the Contract/Proposal, and the conditions of the Work Site and the surrounding area.

Owner will provide access to the Work Site for such pre-bid inspections from 7:00 a.m. to 2:00 p.m. local time and by appointment only. Contact Owner's Operations Supervisor, Michael Weed, at 630-834-0100, for an appointment

Preparation of Proposals

All proposals for the Work shall be made only on the blank Contract/Proposal form attached to this Request for Proposals, and shall be complete with a price for each and every item named in the Schedule of Prices attached to the Contract/Proposal form as Attachment A. All proposals shall be signed by an authorized official. Proposals that contain omissions, erasures, alterations, or additions not called for, conditional or alternate bids unless called for, or that contain irregularities of any kind may be rejected.

The work furnished under this contract, and all of its components, shall be provided, performed, and completed in compliance with all applicable federal, state, and local laws, orders, rules, and regulations, as they may be modified or amended from time to time, including without limitation the Prevailing Wage Act, 820 ILCS 130/0.01 et seq.

Clarifications

Owner reserves the right to make clarifications, corrections, or changes in this Request for Proposals at any time prior to the time proposals are opened. All bidders or prospective bidders will be informed of said clarifications, corrections, or changes. If any prospective bidder has questions about this Request for Proposals, contact Michael Weed, Operations Supervisor, at 630-834-0100 between the hours of 7:00 AM and 3:30 PM.

Delivery of Proposals

Each proposal shall be submitted in a sealed envelope plainly marked with the title of the contract and bidder's full legal name and shall be addressed and delivered to the place and before the time set forth above. Proposals may be delivered by mail or in person. Proposals received after the time specified above will be returned unopened.

Opening of Proposals

Proposals will be publicly opened and read at the time and place specified above. Bidders, their authorized agents, and interested parties are invited to be present.

## Resolution No. R-28-16

### Withdrawal of Proposals

No proposal shall be withdrawn for a period of 60 days after the opening of any proposal.

### Rejection of Proposals

Proposals that are not submitted on the Contract/Proposal form or that are not prepared in accordance with these Instructions to Bidders may be rejected. If not rejected, Owner may demand correction of any deficiency and accept the deficiently prepared proposal upon compliance with these Instructions to Bidders.

### Acceptance of Proposals

Proposals are being solicited pursuant to, and will be acted upon, in accordance with Article VIII, Section 5 of the Owner's By-Laws. Proposals submitted are offers only and the decision to accept or reject is a function of quality, reliability, capability, reputation, and expertise of the bidders.

Owner reserves the right to accept the proposal that is, in its judgment, the best and most favorable to the interests of Owner and to the public; to reject the low price proposal; to accept any item of any proposal; to reject any and all proposals; and to waive irregularities and informalities in any proposal submitted or in the request for proposal process; provided, however, the waiver of any prior defect or informality shall not be considered a waiver of any future or similar defect or informality. Bidders should not rely upon, or anticipate, such waivers in submitting their proposal.

Owner shall notify the successful Bidder's of the acceptance of its proposal by the transmission of the Acceptance in the form attached to the Contract/Proposal as Attachment E. Upon the transmission of the Acceptance by the Owner, the Contract Documents shall become the contract for the Work.

DATED this 16<sup>th</sup> day of September, 2016.

DUPAGE WATER COMMISSION

By: /s/ John F. Spatz, Jr.  
General Manager

DUPAGE WATER COMMISSION

CONTRACT/PROPOSAL

CONTRACT FOR 36-MONTH MAINTENANCE, PARTS AND REPAIR SERVICE FOR LARGE ELECTRIC MOTORS

Full Name of Bidder \_\_\_\_\_ ("Bidder")  
 Principal Office Address \_\_\_\_\_  
 Local Office Address \_\_\_\_\_  
 Contact Person \_\_\_\_\_ Telephone Number \_\_\_\_\_

TO: DuPage Water Commission ("Owner")  
 600 East Butterfield Road  
 Elmhurst, Illinois 60126-4642  
 Attention: John F. Spatz, Jr.  
 General Manager

*Bidder warrants and represents that Bidder has carefully reviewed and understood all documents included, referred to, or mentioned in this bound set of documents, including Addenda Nos. \_\_\_\_\_ [if none, write "NONE"], which are securely stapled to the end of this Contract/Proposal.*

*Terms defined in the Request for Proposals shall have the same meanings in all Contract Documents, including this Contract/Proposal, as ascribed to those terms in the Request for Proposals.*

1. Work Proposal

A. Contract and Work. Upon the transmission of the Owner's written notification of Acceptance in the form included herein as Attachment E, Bidder proposes, and agrees, that Bidder shall, at its sole cost and expense, provide, perform, and complete, in the manner specified and described, and upon the terms and conditions set forth, in this Contract/Proposal and the remaining Contract Documents, all of the following, all of which is herein referred to as the "Work":

1. Labor, Equipment, Materials and Supplies. Provide, perform, and complete, in the manner specified and described in this Contract/Proposal, and the remaining Contract Documents, all necessary work, labor, services, transportation, equipment, materials, supplies, information, data, and other means and items.
2. Permits. Procure and furnish all permits, licenses, and other governmental approvals and authorizations necessary in connection therewith;
3. Insurance. Procure all insurance specified in this Contract/Proposal;
4. Taxes. Pay all applicable federal, state, and local taxes;

5. Miscellaneous. Do all other things required of Bidder by this Contract/Proposal; and

6. Quality. Provide, perform, and complete all of the foregoing in a proper and workmanlike manner, consistent with highest standards of professional and construction practices, in full compliance with, and as required by or pursuant, to this Contract/Proposal, and with the greatest economy, efficiency, and expedition consistent therewith, with only new, undamaged, and first quality equipment, materials, and supplies.

B. Performance Standards. If this Contract/Proposal is accepted, Bidder proposes, and agrees, that all Work shall be fully provided, performed, and completed in accordance with the Specifications attached hereto and by this reference made a part of this Contract/Proposal as Attachment A. No provision of any referenced standard, specification, manual, or code shall change the duties and responsibilities of Owner or Bidder from those set forth in these Contract Documents.

C. Responsibility for Damage or Loss. If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder shall be responsible and liable for, and shall promptly and without charge to Owner repair or replace, damage done to, and any loss or injury suffered by, Owner, the Work, or other property or persons as a result of the Work.

D. Inspection/Testing/Rejection. Owner shall have the right to inspect all or any part of the Work and to reject all or any part of the Work that is, in Owner's judgment, defective or damaged or that in any way fails to conform strictly to the requirements of these Contract Documents and Owner, without limiting its other rights or remedies, may require correction or replacement at Bidder's cost, perform or have performed all Work necessary to complete or correct all or any part of the Work that is defective, damaged, or nonconforming and charge Bidder with any excess cost incurred thereby, or cancel all or any part of any order or these Contract Documents. Work so rejected may be returned or held at Bidder's expense and risk.

## Resolution No. R-28-16

### 2. Contract Price Proposal

If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder shall take in full payment for all Work and other matters set forth under Section 1 above, including overhead and profit; taxes, contributions, and premiums; and compensation to all subcontractors and suppliers, the compensation set forth below.

#### A. SCHEDULE OF PRICES

For providing, performing, and completing all Work, the sum of the products resulting from multiplying the actual number of acceptable units of Unit Price Items listed in the Schedule of Prices attached hereto as Attachment A by the Unit Price set forth the Schedule of Prices attached hereto as Attachment A for such Unit Price Item.

#### B. BASIS FOR DETERMINING PRICES

It is expressly understood and agreed that:

1. All prices stated in the Schedule of Prices are firm and shall not be subject to escalation or change;
2. Owner is not subject to state or local sales, use, and excise taxes, that no such taxes are included in the Schedule of Prices, and that all claim or right to claim any additional compensation by reason of the payment of any such tax is hereby waived and released;
3. All other applicable federal, state, and local taxes of every kind and nature applicable to the Work are included in the Schedule of Prices;
4. The approximate quantities set forth in the Schedule of Prices for each Unit Price Item are Owner's estimate only, that Owner reserves the right to increase or decrease such quantities and that all claim or right to dispute or complain of any such estimated quantity, or to assert that there was any misunderstanding in regard to the nature or amount of any Unit Price Item to be provided or performed, is hereby waived and released; and
5. Any items of Work not specifically listed or referred to in the Schedule of Prices, or not specifically included for payment under any Unit Price Item, shall be deemed incidental to the Contract Price, shall not be measured for payment, and shall not be paid for separately.

#### C. VALUE OF WORK

It is expressly understood and agreed that the value of the Work shall be determined as follows:

1. The value of Work shall be determined by Owner on the basis of the actual number of Unit Price Items acceptable to the Owner multiplied by the

applicable Unit Price set forth in the Schedule of Prices attached hereto as Attachment A.

2. The Contract Price shall be adjusted to reflect the actual number Unit Price Items acceptable to Owner upon final acceptance of the Work by Owner.

#### D. TIME OF PAYMENT

Owner shall pay to Bidder the value of Work, determined in the manner set forth above. Payment shall be in an amount equal to the sum of the number of acceptable units delivered multiplied by the per unit price and shall be made within sixty (60) days of the acceptance of the units.

### 3. Contract Time Proposal

If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder shall commence the Work within 10 days following Owner's acceptance of this Contract/Proposal (the "Commencement Date"). If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder shall perform the Work diligently and continuously and shall complete the Work not later than 120 calendar days following the Commencement Date.

### 4. Financial Assurance

- A. Bid Security. Each Bidder's Proposal shall be accompanied by a security deposit of at least ten percent of the Bidder's Price Proposal in the form of (1) a Cashier's Check or Certified Check drawn on a solvent bank insured by the Federal Deposit Insurance Corporation and payable without condition to Owner or (2) a Bid Bond in a form satisfactory to Owner from a surety company licensed to do business in the State of Illinois with a general rating of A Minus and a financial size category of Class X or better in Best's Insurance Guide.
- B. Bonds. If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder shall provide a Performance Bond and a Labor and Material Payment Bond, on forms provided by, or otherwise acceptable to, Owner, from a surety company acceptable to Owner, each in the penal sum of the Contract Price, within 10 days following Owner's acceptance of this Contract/Proposal.
- C. Insurance. If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder will procure and maintain such insurance as will cover and include the entire obligation assumed by Bidder under the Contract Documents, as well as public liability insurance, including contractual liability, contractors liability and protective liability, automobile liability insurance, including non-owned automobile liability, and Workmen's Compensation and employer's liability insurance as will adequately protect Owner, the Work, and other property and persons against all damages, liability claims, losses and expenses (including attorney's fees) which may arise, or be alleged to

## Resolution No. R-28-16

have arisen, out of or in connection with Bidder's performance of, or failure to perform, the Work or any part thereof.

D. Indemnification. If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder shall indemnify, save harmless, and defend Owner against all damages, liability, claims, losses, and expenses (including attorneys' fees) that may arise, or be alleged to have arisen, out of or in connection with Bidder's performance of, or failure to perform, the Work or any part thereof, or any failure to meet the representations and warranties set forth in Section 6 of this Contract/Proposal.

D. Penalties. If this Contract/Proposal is accepted, Bidder proposes, and agrees, that Bidder shall be solely liable for any fines or civil penalties that are imposed by any governmental or quasi-governmental agency or body that may arise, or be alleged to have arisen, out of or in connection with Bidder's performance of, or failure to perform, the Work or any part thereof.

### 5. Firm Proposal

All prices and other terms stated in this Contract/Proposal are firm and shall not be subject to withdrawal, escalation, or change provided Owner accepts this Contract/Proposal within 60 days after the date this sealed Contract/Proposal is opened.

### 6. Bidder's Representations and Warranties

In order to induce Owner to accept this Contract/Proposal, Bidder hereby represents and warrants as follows:

A. The Work. The Work, and all of its components, shall be of merchantable quality; shall be free from any latent or patent defects and flaws in workmanship, materials, and design; shall strictly conform to the requirements of this Contract/Proposal and these Contract Documents, including, without limitation, the performance standards set forth in Section 1B of this Contract/Proposal; and shall be fit, sufficient, and suitable for the purposes expressed in, or reasonably inferred from, this Contract/Proposal and these Contract Documents and the warranties expressed herein shall be in addition to any other warranties expressed or implied by law, which are hereby reserved unto Owner. Bidder shall, promptly and without charge, correct any failure to fulfill the above warranty at any time within two years after final payment or such longer period as may be prescribed in the performance standards set forth in Section 1B of this Contract/Proposal, the Contract Documents or by law. The above warranty shall be extended automatically to cover all repaired and replacement parts and labor provided or performed under such warranty and Bidder's obligation to correct Work shall be extended for a period of two years from the date of such repair or replacement. The time period established in this Section 6A relates only to the specific obligation of Bidder to correct Work and shall not be construed to establish a period of limitation with respect to other obligations that Bidder has under this Contract/Proposal and the Contract Documents.

B. Compliance with Laws. The Work, and all of its components, shall be provided, performed, and completed in compliance with, and Bidder agrees to be bound by, all applicable federal, state, and local laws, orders, rules, and regulations, as they may be modified or amended from time to time; any statutes requiring preference to laborers of specified classes; the Illinois Steel Products Procurement Act, 30 ILCS 565/1 *et seq.*; any statutes prohibiting discrimination because of, or requiring affirmative action based on, race, creed, color, national origin, age, sex, or other prohibited classification; and any statutes regarding safety or the performance of the Work.

This Contract calls for the construction of a "public work," within the meaning of the Illinois Prevailing Wage Act, 820 ILCS 130/01 *et seq.* ("the Act"). The Act requires contractors and subcontractors to pay laborers, workers and mechanics performing services on public works projects no less than the current "prevailing rate of wages" (hourly cash wages plus amount for fringe benefits) in the county where the work is performed. The Department publishes the prevailing wage rates on its website at <http://labor.illinois.gov/>. The Department revises the prevailing wage rates and the Bidder has an obligation to check the Department's website for revisions to prevailing wage rates. For more information regarding current prevailing wage rates, please refer to the Illinois Department of Labor's website. All contractors and subcontractors rendering services under this Contract must comply with all requirements of the Act, *including but not limited to*, all wage requirements and notice and record keeping duties.

C. Not Barred. Bidder is not barred by law from contracting with Owner or with any other unit of state or local government for any reason, including without limitation as a result of (i) a violation of either Section 33E-3 or Section 33E-4 of Article 33 of the Criminal Code of 1961, 720 ILCS 5/33E-1 *et seq.*; or (ii) a violation of the USA Patriot Act of 2001, 107 Public Law 56 (October 26, 2001) (the "Patriot Act") or other statutes, orders, rules, and regulations of the United States government and its various executive departments, agencies and offices related to the subject matter of the Patriot Act, including, but not limited to, Executive Order 13224 effective September 24, 2001. Bidder is not acting, directly or indirectly, for or on behalf of any person, group, entity or nation named by the United States Treasury Department as a Specially Designated National and Blocked Person, or for or on behalf of any person, group, entity or nation designated in Presidential Executive Order 13224 as a person who commits, threatens to commit, or supports terrorism; and Bidder is not engaged in this transaction directly or indirectly on behalf of, or facilitating this transaction directly or indirectly on behalf of, any such person, group, entity or nation.

D. Qualified. Bidder has the requisite experience, ability, capital, facilities, plant, organization, and staff to enable Bidder to perform the Work successfully and promptly and to commence and complete the Work within the Contract Price and Contract Time Proposals set forth above.

In submitting this Contract/Proposal, Bidder acknowledges and agrees that:

### 7. Acknowledgments

## Resolution No. R-28-16

A. Reliance. Owner is relying on all warranties, representations, and statements made by Bidder in this Contract/Proposal and related Contract Documents.

B. Reservation of Rights. Owner reserves the right to reject any and all proposals, reserves the right to reject the low price proposal, and reserves such other rights as are set forth in the Instructions to Bidders.

C. Acceptance. If this Contract/Proposal is accepted, Bidder shall be bound by each and every term, condition, or provision contained in this Contract/Proposal and the remaining Contract Documents including those terms contained in Owner's written notification of Acceptance attached hereto as Attachment E.

D. Remedies. In the event of a breach or violation of any term or condition of this Contract/Proposal or any of the other Contract Documents, Owner shall have recourse through any remedy available at law or in equity and all such remedies shall be cumulative.

E. No Waiver. No examination, inspection, investigation, test, measurement, review, determination, decision, certificate, or approval by Owner, whether before or after Owner's acceptance of this Contract/Proposal; nor any information or data supplied by Owner, whether before or after Owner's acceptance of this Contract/Proposal; nor any order by Owner for the payment of money; nor any payment for, or use, possession, or acceptance of, the whole or any part of the Work by Owner; nor any extension of time granted by Owner; nor any delay by Owner in exercising any right under this Contract/Proposal or other Contract Document; nor any other act or omission of Owner shall constitute or be deemed to be an acceptance of any defective, damaged, or nonconforming Work, nor operate to waive or otherwise diminish the effect of any representation or warranty made by Bidder; or of any requirement or provision of this Contract/Proposal or other Contract Document; or of any remedy, power, or right of Owner.

F. Severability. The provisions of this Contract/Proposal together with the other Contract Documents shall be interpreted when possible to sustain their legality and enforceability as a whole. In the event any provision of this Contract/Proposal together with the other Contract Documents shall be held invalid, illegal, or unenforceable by a court of competent jurisdiction, in whole or in part, neither the validity of the remaining part of such provision, nor the validity of any other provisions of this Contract/Proposal or other Contract Documents shall be in any way affected thereby.

G. Amendments. No modification, addition, deletion, revision, alteration, or other change to this Contract/Proposal or other Contract Documents shall be effective unless and until such change is reduced to writing and executed and delivered by Owner and Bidder.

H. Assignment. Neither this Contract/Proposal or other Contract Documents, nor any interest herein, shall be assigned or subcontracted, in whole or in part, by Bidder except upon the prior written consent of Owner.

I. Governing Law. This Contract/Proposal and other Contract Documents and the rights of the parties under this Contract/Proposal and other Contract Documents shall be interpreted according to the internal laws, but not the conflict of law rules, of the State of Illinois. Every provision of law required by law to be inserted into this Contract/Proposal or other Contract Documents shall be deemed to be inserted herein.

**PROPOSAL**

Bidder's Status: ( ) \_\_\_\_\_ Corporation ( ) \_\_\_\_\_ Partnership ( ) Individual Proprietor  
(State) (State)

Bidder's Name: \_\_\_\_\_

Doing Business As (if different): \_\_\_\_\_

Signature of Bidder or Authorized Agent: \_\_\_\_\_

(corporate seal)  
(if corporation)

Printed Name: \_\_\_\_\_

Title/Position: \_\_\_\_\_

DATED this \_\_\_\_\_ day of \_\_\_\_\_, 2015

Bidder's Business Address: \_\_\_\_\_  
\_\_\_\_\_

Bidder's Business Telephone: \_\_\_\_\_ Facsimile: \_\_\_\_\_

If a Corporation or Partnership, list all Officers or Partners:

NAME	TITLE	ADDRESS

**Attachment A**

**SCHEDULE OF PRICES**

**[TO BE DEVELOPED]**



**Attachment B**

**SCOPE OF WORK**

**[TO BE DEVELOPED]**

**Attachment C**  
**SPECIFICATIONS**  
**[TO BE DEVELOPED]**

**Attachment D**

**DRAWINGS  
[TO BE DEVELOPED]**

**Attachment E**

**ACCEPTANCE**

The Contract/Proposal attached hereto and by this reference incorporated herein and made a part hereof is hereby accepted by the order of the DuPage Water Commission ("Owner") this \_\_\_\_ day of \_\_\_\_\_, 2016.

This Acceptance, together with the Contract/Proposal attached hereto, constitutes the entire and only agreement between the parties relating to the accomplishment of the Work and the compensation therefor and supersedes and merges any other prior or contemporaneous discussions, agreements, or understandings, whether written or oral, and shall prevail over any contradictory or inconsistent terms or conditions contained in any purchase order, acceptance, acknowledgement, invoice, or other standard form used by the parties in the performance of the Contract/Proposal. Any such contradictory or inconsistent terms or conditions shall be deemed objected to by Owner without further notice of objection and shall be of no effect nor in any circumstances binding upon Owner unless accepted by Owner in a written document plainly labeled "Amendment to Contract/Proposal." Acceptance or rejection by Owner of any such contradictory or inconsistent terms or conditions shall not constitute acceptance of any other contradictory or inconsistent terms or conditions.



**DUPAGE WATER COMMISSION**

By: \_\_\_\_\_  
John F. Spatz, Jr.  
General Manager

DATE: September 8, 2016

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Engineering & Construction Committee	<b>ORIGINATING DEPARTMENT</b>	Instrumentation/ Remote Facilities
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<b>ITEM</b>	A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the September 15, 2016, DuPage Water Commission Meeting  Resolution No. R-29-16	<b>APPROVAL</b>   
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Account Numbers: 01-60-771000

The Commission entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co. for quick response electrical work as needed through the issuance of Work Authorization Orders. Resolution No. R-29-16 would approve the following Work Authorization Order under the Quick Response Electrical Contracts:

**Work Authorization Order No. 009:** This Work Authorization is to Windy City Electric Co., to convert new valve vault into a Remotely Operated Valve (ROV) vault.

**Part A:** Core holes in vault wall and install PVC conduits stubs and grout in place before vault is waterproofed on outside and backfilled.

**Part B:** Install conduits between Control Cabinet and vault for power, control, and SCADA wires. Install junction boxes, lights, receptacle, and switches in vault. Sump Pump with control float, discharge piping, high level float, and pressure transmitter installed and wired.

QRE Contractor	Part A	Part B	Total
McWilliams Electric Co. Inc.	\$3,250.00	\$20,750.00	\$24,000.00
Windy City Electric Co.	\$3,880.00	\$18,000.00	\$21,880.00

The work will be billed at time and material, the total cost is estimated to be \$21,880.00.

**MOTION:** To adopt Resolution No. R-29-16.

## DUPAGE WATER COMMISSION

## RESOLUTION NO. R-29-16

A RESOLUTION APPROVING AND RATIFYING  
CERTAIN WORK AUTHORIZATION ORDERS  
UNDER QUICK RESPONSE ELECTRICAL CONTRACT QRE-7/15  
AT THE SEPTEMBER 15, 2016, DUPAGE WATER COMMISSION MEETING

WHEREAS, the DuPage Water Commission (the "Commission") entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co., for quick response electrical work related to the Commission's Waterworks System (said agreements being hereinafter collectively referred to as "Contract QRE-7/15"); and

WHEREAS, Contract QRE-7/15 is designed to allow the Commission to direct one or more or all of the quick response electrical contractors to perform emergency electrical work, including without limitation electrical work that the Commission is unable to perform through its own personnel and with its own equipment, as needed through the issuance of Work Authorization Orders; and

WHEREAS, the need for such emergency electrical work could not have been reasonably foreseen at the time the contracts were signed;

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: The foregoing recitals are by this reference incorporated herein and made a part hereof as findings of the Board of Commissioners of the DuPage Water Commission.

SECTION TWO: The Work Authorization Orders attached hereto and by this reference incorporated herein and made a part hereof as Exhibit 1 shall be and hereby are approved and, if already issued, ratified because the Board of Commissioners of the DuPage Water Commission has determined, based upon the representations of staff, that the circumstances said to necessitate the Work Authorization Orders were not reasonably foreseeable at the time the contracts were signed, the Work Authorization Orders are germane to the original contracts as signed, and/or the Work Authorization Orders are in the best interest of the DuPage Water Commission and authorized by law.

SECTION THREE: This Resolution shall constitute the written determination required by Section 33E-9 of the Criminal Code of 1961 and shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED this \_\_\_\_ day of \_\_\_\_\_, 2016

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk

Exhibit 1



## WORK AUTHORIZATION ORDER

SHEET 1 OF 2

### CONTRACT QRE-7/15: QUICK RESPONSE ELECTRICAL CONTRACT

**PROJECT:** QRE-7.009

**LOCATION:**

Remotely Operated Valve (ROV14B), North side of Butterfield Rd West off Fairfield Ave, Lombard.

**CONTRACTOR:**

TBD

**DESCRIPTION OF WORK:**

Convert new valve vault into a Remotely Operated Valve (ROV) vault.

Part A: Core holes in vault wall and install Schedule 80 PVC conduits as stubs and grout in place, see attached drawing for details. (Outside of vault will then be waterproofed before backfilling, by others.)

Part B: Dig trench to install Schedule 80 PVC conduits between existing control cabinet to new vault, attach to stubbed in Schedule 80 PVC conduits through vault wall. On inside of vault install two (2) junction boxes on PVC stubbed in conduits: one for DC circuits and one for AC circuits. Install conduits, fittings, boxes, and wires in vault for: switches, lights, receptacle, junction boxes, disconnects, etc. Wire and test lights, switches, receptacle, disconnects, etc. Run three (3) conduits from junction boxes across ceiling and down to within 6' above valve gearbox. Provide long enough wires through these three conduits to reach the vault floor plus 3'. Others will extend conduits using liquidtight to valve actuator when installed on gearbox. Install and wire sump pump with one (1) control float and discharge piping, see attached Detail A, all piping can be PVC. Dig trench from vault to catch basin at curb on Butterfield Road to install new Schedule 80 PVC discharge piping and connect to PVC stub on outside of vault. Note: The sump discharge piping must have a slight pitch towards the catch basin and be wrapped in #12 trace wire. Test operation of sump pump. Mount and wire high level float to activate about 6" from floor. Mount and wire pressure transmitter, and proximity lid switch. DWC staff will connect water pressure line to transmitter and test. Follow basic detail plans and wire list attached. DWC staff will be available to assist with all wiring terminations and testing. Backfill all trenches and restore landscape to existing condition.

**REASON FOR WORK:**

The existing valve vault will be replaced during the replacement of a 60" valve. This valve is required to be remotely operated by Operations.

**MINIMUM RESPONSE TIME:** None

**COMMISSION-SUPPLIED MATERIALS, EQUIPMENT AND SUPPLIES TO BE INCORPORATED INTO THE WORK:**

- 1 – Sump pump
- 2 – Floats
- 1 – Sump discharge check valve with couplings
- 1 – Pressure transmitter and mounting bracket
- 2 – Light fixtures
- 1 – Proximity lid switch with mounting bracket

**THE WORK ORDERED PURSUANT TO THIS WORK AUTHORIZATION ORDER**

IS  IS NOT PRIORITY EMERGENCY WORK

**SUBMITTALS REQUESTED:** None

**SUPPLEMENTARY NOTIFICATION OF POTENTIALLY HAZARDOUS CONDITIONS:**

Owner has designated ROV14B vault as a permit-required confined space.

**SUPPLEMENTARY CONTRACT SPECIFICATIONS AND DRAWINGS:**

Detail drawings "A", "D", Vault Layout, and Wiring List.

**DUPAGE WATER COMMISSION**

By: \_\_\_\_\_  
Signature of Authorized Representative

DATE: \_\_\_\_\_

**CONTRACTOR RECEIPT ACKNOWLEDGED AND DESIGNATION OF SAFETY REPRESENTATIVE:**

By: \_\_\_\_\_  
Signature of Authorized Representative



Safety Rep: \_\_\_\_\_  
Name and 24-Hr Phone No.

DATE: \_\_\_\_\_

DATE: September 8, 2016

# REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Engineering & Construction Committee	<b>ORIGINATING DEPARTMENT</b>	Instrumentation/ Remote Facilities
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<b>ITEM</b>	A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the September 15, 2016, DuPage Water Commission Meeting  Resolution No. R-30-16	<b>APPROVAL</b>    
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Account Numbers: 01-60-663300

The Commission entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co. for quick response electrical work as needed through the issuance of Work Authorization Orders. Resolution No. R-30-16 would approve the following Work Authorization Order under the Quick Response Electrical Contracts:

**Work Authorization Order No. 010:** This Work Authorization is to McWilliams Electric Co. Inc., to test 12 strands of a fiber optic cable between the Pump Station and the Sodium Hypochlorite building and provide report. Communication errors through the fiber cable are causing the Remote Terminal Unit in the Sodium Hypochlorite building not to function properly.

QRE Contractor	Estimated Cost
McWilliams Electric Co. Inc.	\$600.00
Windy City Electric Co.	\$1,992.00

The work will be billed at time and material, the cost is estimated to be \$600.00.

**MOTION:** To adopt Resolution No. R-30-16.

## DUPAGE WATER COMMISSION

## RESOLUTION NO. R-30-16

A RESOLUTION APPROVING AND RATIFYING  
CERTAIN WORK AUTHORIZATION ORDERS  
UNDER QUICK RESPONSE ELECTRICAL CONTRACT QRE-7/15  
AT THE SEPTEMBER 15, 2016, DUPAGE WATER COMMISSION MEETING

WHEREAS, the DuPage Water Commission (the "Commission") entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co., for quick response electrical work related to the Commission's Waterworks System (said agreements being hereinafter collectively referred to as "Contract QRE-7/15"); and

WHEREAS, Contract QRE-7/15 is designed to allow the Commission to direct one or more or all of the quick response electrical contractors to perform emergency electrical work, including without limitation electrical work that the Commission is unable to perform through its own personnel and with its own equipment, as needed through the issuance of Work Authorization Orders; and

WHEREAS, the need for such emergency electrical work could not have been reasonably foreseen at the time the contracts were signed;

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: The foregoing recitals are by this reference incorporated herein and made a part hereof as findings of the Board of Commissioners of the DuPage Water Commission.

SECTION TWO: The Work Authorization Orders attached hereto and by this reference incorporated herein and made a part hereof as Exhibit 1 shall be and hereby are approved and, if already issued, ratified because the Board of Commissioners of the DuPage Water Commission has determined, based upon the representations of staff, that the circumstances said to necessitate the Work Authorization Orders were not reasonably foreseeable at the time the contracts were signed, the Work Authorization Orders are germane to the original contracts as signed, and/or the Work Authorization Orders are in the best interest of the DuPage Water Commission and authorized by law.

SECTION THREE: This Resolution shall constitute the written determination required by Section 33E-9 of the Criminal Code of 1961 and shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED this \_\_\_\_ day of \_\_\_\_\_, 2016

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk

Exhibit 1

**WORK AUTHORIZATION ORDER**

**SHEET 1 OF 2**

**CONTRACT QRE-7/15: QUICK RESPONSE ELECTRICAL CONTRACT**

**PROJECT: QRE-7.010**

**LOCATION:**

DuPage Water Commission Pumping Station, 600 E. Butterfield Rd, Elmhurst, IL

**CONTRACTOR:**

McWilliams Electric Co., Inc.

**DESCRIPTION OF WORK:**

Test 12 strands of 62.6µm Fiber Optic Cable between pump station and Sodium Hypochlorite building and provide report.

**REASON FOR WORK:**

SCADA communication errors to Remote Terminal Unit #7. All other possibilities for the communication errors have been eliminated.

**MINIMUM RESPONSE TIME:**

None

**COMMISSION-SUPPLIED MATERIALS, EQUIPMENT  
AND SUPPLIES TO BE INCORPORATED INTO THE WORK:**

None

**THE WORK ORDERED PURSUANT TO THIS WORK AUTHORIZATION ORDER**

IS  IS NOT PRIORITY EMERGENCY WORK

**SUBMITTALS REQUESTED:**

None

**SUPPLEMENTARY NOTIFICATION OF POTENTIALLY HAZARDOUS CONDITIONS:**

None

**SUPPLEMENTARY CONTRACT SPECIFICATIONS AND DRAWINGS:**

None

**DUPAGE WATER COMMISSION**

By: \_\_\_\_\_  
Signature of Authorized  
Representative

DATE: \_\_\_\_\_

**CONTRACTOR RECEIPT ACKNOWLEDGED AND DESIGNATION OF SAFETY REPRESENTATIVE:**

By: \_\_\_\_\_  
Signature of Authorized  
Representative


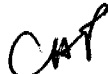
Safety Rep: \_\_\_\_\_  
Name and 24-Hr Phone No.

DATE: \_\_\_\_\_



DATE: September 8, 2016

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b> Engineering & Construction Committee	<b>ORIGINATING DEPARTMENT</b> Instrumentation/ Remote Facilities
<b>ITEM</b> A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the September 15, 2016, DuPage Water Commission Meeting  Resolution No. R-31-16	<b>APPROVAL</b>    
<p>Account Numbers: 01-60-663300</p> <p>The Commission entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co. for quick response electrical work as needed through the issuance of Work Authorization Orders. Resolution No. R-31-16 would approve the following Work Authorization Order under the Quick Response Electrical Contracts:</p> <p><b>Work Authorization Order No. 011:</b> This Work Authorization is to Windy City Electric Co., to modify the 4" rigid conduit path between the AT&amp;T hand hole the and Pumping Station. The modification is to interrupt the 4" conduit and bring it above grade into a pedestal enclosure. Currently the 4" conduit runs from the AT&amp;T hand hole directly into the Pump Station. During the recent rains we learned the AT&amp;T hand hole retains water and drains into the Pumping Station. Before AT&amp;T installs the fiber cable staff believes this work will permanently solve the problem. Windy City Electric was the contractor to install the 4" conduit; therefore they should make this requested modification.</p> <p>The work will be billed at time and material, the cost is estimated to be \$7,985.00.</p>	
<b>MOTION:</b> To adopt Resolution No. R-31-16.	

**DRAFT**

DUPAGE WATER COMMISSION

RESOLUTION NO. R-31-16

A RESOLUTION APPROVING AND RATIFYING  
CERTAIN WORK AUTHORIZATION ORDERS  
UNDER QUICK RESPONSE ELECTRICAL CONTRACT QRE-7/15  
AT THE SEPTEMBER 15, 2016, DUPAGE WATER COMMISSION MEETING

WHEREAS, the DuPage Water Commission (the "Commission") entered into certain agreements dated December 29, 2015, with McWilliams Electric Co. Inc. and with Windy City Electric Co., for quick response electrical work related to the Commission's Waterworks System (said agreements being hereinafter collectively referred to as "Contract QRE-7/15"); and

WHEREAS, Contract QRE-7/15 is designed to allow the Commission to direct one or more or all of the quick response electrical contractors to perform emergency electrical work, including without limitation electrical work that the Commission is unable to perform through its own personnel and with its own equipment, as needed through the issuance of Work Authorization Orders; and

WHEREAS, the need for such emergency electrical work could not have been reasonably foreseen at the time the contracts were signed;

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: The foregoing recitals are by this reference incorporated herein and made a part hereof as findings of the Board of Commissioners of the DuPage Water Commission.

SECTION TWO: The Work Authorization Orders attached hereto and by this reference incorporated herein and made a part hereof as Exhibit 1 shall be and hereby are approved and, if already issued, ratified because the Board of Commissioners of the DuPage Water Commission has determined, based upon the representations of staff, that the circumstances said to necessitate the Work Authorization Orders were not reasonably foreseeable at the time the contracts were signed, the Work Authorization Orders are germane to the original contracts as signed, and/or the Work Authorization Orders are in the best interest of the DuPage Water Commission and authorized by law.

SECTION THREE: This Resolution shall constitute the written determination required by Section 33E-9 of the Criminal Code of 1961 and shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED this \_\_\_\_ day of \_\_\_\_\_, 2016

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk

Exhibit 1

**WORK AUTHORIZATION ORDER**

**SHEET 1 OF 2**

**CONTRACT QRE-7/15: QUICK RESPONSE ELECTRICAL CONTRACT**

**PROJECT: QRE-7.011**

**LOCATION:**

DuPage Water Commission Reservoir, 600 E. Butterfield Rd., Elmhurst, IL 60126

**CONTRACTOR:**

Windy City Electric Co.

**DESCRIPTION OF WORK:**

Install Pedestal in 4" conduit run between AT&T hand hole and Pump Station Building. Inside fence dig to expose 4" rigid conduit to cut out section to install two sweeping 90 degree elbow's to above ground surface. Install pedestal designed for looping fiber optic cable above conduits. Maintain pull strings. Restore landscaping to existing condition.

**REASON FOR WORK:**

The AT&T hand hole retains water and fills above 4" conduit opening and drains into pump station. The above work description will permanently solve the problem.

**MINIMUM RESPONSE TIME:**

None

**COMMISSION-SUPPLIED MATERIALS, EQUIPMENT  
AND SUPPLIES TO BE INCORPORATED INTO THE WORK:**

None

**THE WORK ORDERED PURSUANT TO THIS WORK AUTHORIZATION ORDER**

IS  IS NOT PRIORITY EMERGENCY WORK

**SUBMITTALS REQUESTED:**

Data sheet for Pedestal

**SUPPLEMENTARY NOTIFICATION OF POTENTIALLY HAZARDOUS CONDITIONS:**

None

**SUPPLEMENTARY CONTRACT SPECIFICATIONS AND DRAWINGS:**

None

**DuPAGE WATER COMMISSION**

By: \_\_\_\_\_  
Signature of Authorized  
Representative




DATE: \_\_\_\_\_

**CONTRACTOR RECEIPT ACKNOWLEDGED AND DESIGNATION OF SAFETY REPRESENTATIVE:**

By: \_\_\_\_\_ Safety Rep: \_\_\_\_\_  
Signature of Authorized Name and 24-Hr Phone No.  
Representative

DATE: \_\_\_\_\_

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Omnibus Vote Requiring Super-Majority or Special Majority Vote	<b>ORIGINATING DEPARTMENT</b>	Facilities Construction
<b>ITEM</b>	<p>A Resolution Suspending Purchasing Procedures and Authorizing the General Manager to enter into a Contract with Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17).</p> <p>Resolution No. R-32-16</p>	<p><b>APPROVAL</b></p> <p></p> <p></p> <p></p>	
<p>Account Number: 01-60-770701</p> <p>Resolution No. R-32-16 would suspend purchasing procedures and authorize the General Manager to enter into a Contract with Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17).</p> <p>At the April 17, 2014 meeting, the Board approved a Five-Year Capital Improvement Plan which included the rehabilitation of the three (3) 7.5 million-gallon nominal capacity standpipes in 2015, 2016 and 2017, as well as the two (2) 5.0 million-gallon nominal capacity standpipes in 2015 and 2016. The Five-Year Capital Improvement Plans were reauthorized in 2015 and 2016.</p> <p>In 2015 and 2016 after the lowest dollar bids for standpipe rehabilitation were rejected due to numerous defects including: invalid signatory; failure to submit correct bid bonds; insufficient financial strength of bond surety companies; gross underestimating of the required work; misunderstanding of the scope of work; insufficient or no experience with the specified coating systems; and negative work experiences as determined by the Commission's engineer, the Lowest Responsible Bidder was Era-Valdivia Contractors, Inc.</p> <p>Since Era-Valdivia Contractors, Inc. (EVC) has performed the work in a professional manner and provided quality workmanship, Staff requested EVC to submit a proposal to perform rehabilitation and other services on the third and final 7.5 million-gallon nominal capacity standpipe, following the exact contract and contract technical specifications and a similar scope of work to the previous standpipe rehabilitation contracts.</p>			

<b>AGENDA SECTION</b>	Omnibus Vote Requiring Super-Majority or Special Majority Vote	<b>ORIGINATING DEPARTMENT</b>	Facilities Construction
<b>ITEM</b>	A Resolution Suspending Purchasing Procedures and Authorizing the General Manager to enter into a Contract with Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17).  Resolution No. R-32-16	<b>APPROVAL</b>	

Based on the Commission's project documents, EVC tendered a draft proposal to rehabilitate Standpipe No. 3 in Naperville as shown below in Column 1 and also shown in comparison to the previous year's projects; Columns 2 and 3:

	Column 1	Column 2	Column 3
	3	2	1
7.5 MG STANDPIPE / SITE	NAPERVILLE	GLENDALE HTS.	ROSELLE
MONTH / YEAR QUOTED	August 2016	February 2015	February 2016
BASE BID TANK REHAB	\$1,330,000	\$1,598,000	\$1,430,000
FALL PROTECTION	\$54,600	\$52,000	\$52,000
INDETERMINATE UNIT PRICE SUMMARY	\$16,030	\$22,530	\$11,790
ALTERNATE BID (LOGOS)	\$20,000	\$10,000	\$20,000
<b>TOTAL BID</b>	<b>\$1,420,630</b>	<b>\$1,682,530</b>	<b>\$1,513,790</b>

Staff is of the opinion that suspension of the Commission's purchasing procedures and awarding a contract to EVC for the rehabilitation of Standpipe No. 3 is advantageous for several reasons: Era-Valdivia has provided a proposal which is as much as \$100,000.00 lower than the bid prices for the similarly sized standpipes; and, all standpipes including the 5.0 million-gallon nominal capacity tanks would have been rehabilitated by the same contractor and contractor staff with common workmanship and quality; and, a singular entity would be responsible for quality control and warranty response; increased efficiencies in project management by both the engineer and Commission Staff; and decreased expenditures relating to advertisement for bids and bid evaluation by both the Engineer and Commission Legal Counsel.

**MOTION:** Suspending Purchasing Procedures and Awarding a Contract with Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17) in the amount of \$1,420,630.00.



## DUPAGE WATER COMMISSION

## RESOLUTION NO. R-32-16

**A RESOLUTION SUSPENDING PURCHASING PROCEDURES AND AUTHORIZING THE GENERAL MANAGER TO ENTER INTO A CONTRACT WITH ERA-VALDIVIA CONTRACTORS, INC. FOR THE REHABILITATION OF COATING SYSTEMS AND FALL PROTECTION SYSTEMS FOR TANK SITE NO. 3 (CONTRACT SS-8/17)**

WHEREAS, on February 19, 2015, the Board of Commissioners of the DuPage Water Commission adopted resolution R-3-15 awarding a contract to Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Sites No. 2 and No. 4 West (Contract SS-6/15); and

WHEREAS, on February 18, 2016, the Board of Commissioners of the DuPage Water Commission adopted resolution R-7-16 awarding a contract to Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Sites No. 1 and No. 4 East (Contract SS-7/16); and

WHEREAS, in both instances Era-Valdivia Contractors, Inc. was the lowest responsible bidder; and

WHEREAS, Era-Valdivia Contractors, Inc. has performed the Contract SS-6/15 and Contract SS-7/16 Work in an exceptional manner; and

WHEREAS, Era-Valdivia Contractors, Inc. has tendered a proposal to perform the Work of Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17) at a cost of \$1,420,630.00; and

WHEREAS, Staff and the Commission desire to enter into a Contract with Era-Valdivia Contractors, Inc. to perform the Work of Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17) to maintain continuity of workmanship and quality; provide a singular entity being responsible for quality control and warranty response for all five (5) Commission Standpipes; to increase efficiencies

in project management by both the Engineer and Commission Staff; and decrease expenditures relating to advertisement for bids and bid evaluation by both the Engineer and Commission Legal Counsel; and

WHEREAS, the Board of Commissioners of the DuPage Water Commission believes it is in the best interest of the Commission to suspend purchasing procedures and enter into the Contract for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17).

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: The foregoing recitals are incorporated herein and made a part hereof as findings of the Board of Commissioners of the DuPage Water Commission as if fully set forth.

SECTION TWO: That the purchasing procedures contained in Article VIII of the Commission By-Laws are hereby suspended and that the General Manager shall be granted the authority to enter into the Contract with Era-Valdivia Contractors, Inc. for the Rehabilitation of Coating Systems and Fall Protection Systems for Tank Site No. 3 (Contract SS-8/17) without further act of the Board, conditioned upon the receipt of all contractually required documentation and such other additional information as may be requested by the General Manager.

SECTION THREE: This Resolution shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2016.

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk



# DuPage Water Commission

## MEMORANDUM

TO: John Spatz, General Manager  
FROM: Cheryl Peterson, Financial Administrator  
DATE: September 6, 2016  
SUBJECT: Accounts Payable Listings

Following is a summary of the Accounts Payable to be considered at the September 15, 2016 Commission meeting:

August 10, 2016 to September 6, 2016 A/P Report	\$10,021,220.88
Accrued and estimated payments required before October 2016 Commission meeting	<u>1,499,475.00</u>
Total	<u>\$11,520,695.88</u>

cc: Chairman and Commissioners

**DUPAGE WATER COMMISSION**  
**ITEMS TO BE PAID BY 10-20-16**  
**Board Meeting Date: September 15, 2016**

Estimate Amount	Description	Check Number	Payment Date	Payment Amount
49,000.00	Blue Cross Blue Shield - Health Insurance			
6,000.00	Euclid Managers - Dental Insurance			
10,000.00	Illinois Public Risk Fund - Workers Comp.			
200.00	Envision Health Care - Administration Fees			
300.00	Healthiest You			
20,000.00	ComEd - Utility Charges			
300,000.00	Constellation (Exelon Energy) - Utility Charges			
180,000.00	City of Chicago - Lexington. Electric			
2,000.00	City of Chicago - Repairs & Maintenance			
2,000.00	City of Naperville -Meter Station Electric Bills			
15,000.00	Nicor - Gas			
250.00	Comcast - Internet Service			
2,000.00	AT & T - Telephone Charges			
2,600.00	AT & T - Scada Backhaul Network			
1,000.00	Fed - Ex - Postage/Delivery			
5,000.00	Procurement Card Charges			
200.00	Anderson - Pest Control			
400.00	Republic Services - Disposal Services			
200.00	Elecsys - Cell Data Services			
1,500.00	Konica Minolta - Copy and Lease Charges			
525.00	Pitney Bowes - Postage			
8,000.00	Grainger - Supplies for Operations			
2,500.00	Verizon - Wireless Service			
1,250.00	William Fates - Treasurer			
5,200.00	Baker Tilly			
3,500.00	Gorski & Good			
1,500.00	Rory Group			
6,000.00	John J. Millner & Assoc			
5,000.00	Sikich			
200.00	Edward Coughlin/Ken Labin - Security			
250.00	Storino Ramello & Durkin			
500.00	Local 399 Training courses			
3,000.00	Beary Landscaping - August services			
2,000.00	Catholic Protection Agency - Magnesium Anodes			
200.00	Colley - Elevator Service			
100.00	Data Flow - Office Supplies			
10,000.00	Dreisilker - Motor Maintenance			
2,000.00	Electro-Kinetics - Switch Proximity			

**DUPAGE WATER COMMISSION  
ITEMS TO BE PAID BY 10-20-16  
Board Meeting Date: September 15, 2016**

3,500.00	Farwest Corrosion Control - Pipeline Supplies
100.00	Hi-Line - Vehicle Supplies
500.00	Home Depot - Pipeline Supplies
2,500.00	Jim Dhamer Plumbing - Drain Cleaning
600.00	Kara - Julie marking paint
1,300.00	Mozy - Data Backup Services
800.00	Siemens - Reprogramming fire alarm panel
400.00	Skarshaug - Boot and Glove Testing
400.00	Staples - Office Supplies
10,000.00	ESRI - Annual GIS Software Maintenance
30,000.00	Dixon - Contract SS-7 and Standpipe #3
650,000.00	Era-Valdivia - Contract SS-7/16
150,000.00	Mertes Contracting - DPPS Masonry/Window Rehab

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1,499,475.00



Payable Number	Description	Post Date	Payable Amount	Payable Count	Net Amount
<b>Payable Account:</b> 01-211000 - ACCOUNTS PAYABLE					
<b>Vendor:</b> <u>2089</u> INV0001279	<b>ACCO Brands Direct</b> Calendar	09/06/2016	36.68	Payable Count: (1)	36.68
<b>Vendor:</b> <u>1516</u> 513882	<b>ARAMARK REFRESHMENTS</b> Supplies	09/06/2016	26.34	Payable Count: (1)	26.34
<b>Vendor:</b> <u>1017</u> 288-349444	<b>BATTERIES PLUS</b> Batteries	08/18/2016	197.90	Payable Count: (1)	197.90
<b>Vendor:</b> <u>2017</u> 430	<b>BEDROCK EARTHSCAPES, LLC</b> SERVICE VISIT - 8/16/16	08/31/2016	732.00	Payable Count: (1)	732.00
<b>Vendor:</b> <u>1692</u> 27048	<b>BRIDGEPOINT TECHNOLOGIES</b> Hosting Services	09/01/2016	45.00	Payable Count: (1)	45.00
<b>Vendor:</b> <u>1091</u> 8402847673	<b>CINTAS FIRST AID &amp; SAFETY</b> Safety Supplies	08/31/2016	731.39	Payable Count: (1)	731.39
<b>Vendor:</b> <u>2142</u> 181456	<b>CITY OF AURORA</b> Monthly Microbial Analysis	09/06/2016	50.00	Payable Count: (1)	50.00
<b>Vendor:</b> <u>1134</u> INV0001241 INV0001242	<b>CITY OF CHICAGO DEPARTMENT OF WATER</b> LEX PUMP STN LABOR: July 2016 LEX PS REPAIRS & MAINTENANCE	08/23/2016 08/23/2016	31,023.94 10,712.19	Payable Count: (2)	41,736.13 31,023.94 10,712.19
<b>Vendor:</b> <u>1135</u> INV0001251	<b>CITY OF CHICAGO SUPERINTENDENT OF WATER COLLECTION</b> WATER BILLING: August 2016	08/31/2016	9,945,209.20	Payable Count: (1)	9,945,209.20
<b>Vendor:</b> <u>2080</u> 16-0875 16-0876	<b>DIXON ENGINEERING, INC.</b> Tank Rehab Tank Rehab	08/18/2016 08/18/2016	11,012.55 652.35	Payable Count: (2)	11,664.90 11,012.55 652.35
<b>Vendor:</b> <u>2003</u> 5671B 5739	<b>ECO CLEAN MAINTENANCE, INC.</b> JANITORIAL Additional SERVICE: July 2016 JANITORIAL SERVICE: August 2016	08/23/2016 08/31/2016	1,172.00 1,937.95	Payable Count: (2)	3,109.95 1,172.00 1,937.95
<b>Vendor:</b> <u>1654</u> 154018	<b>ELECSYS CORPORATION</b> Remote monitor	08/31/2016	780.00	Payable Count: (1)	780.00
<b>Vendor:</b> <u>1097</u> 40742	<b>ELMHURST PLAZA STANDARD INC.</b> Vehicle Repairs: M166601	08/31/2016	384.24	Payable Count: (1)	384.24
<b>Vendor:</b> <u>1446</u> 0073978	<b>EN ENGINEERING, LLC</b> CRCUC Representation & Testing 2016	08/31/2016	671.43	Payable Count: (1)	671.43
<b>Vendor:</b> <u>2143</u> 33008-1	<b>Gas Depot</b> Gasoline	08/31/2016	1,564.11	Payable Count: (1)	1,564.11
<b>Vendor:</b> <u>1892</u> INV0001252	<b>GORSKI &amp; GOOD, LLP</b> ATTORNEY FEE: August 2016	08/31/2016	2,540.61	Payable Count: (1)	2,540.61
<b>Vendor:</b> <u>2037</u> 1428	<b>GREEN WINDOW CLEANING SERVICES</b> MONTHLY CLEANING: August 2016	08/31/2016	453.50	Payable Count: (1)	453.50
<b>Vendor:</b> <u>1068</u> 10074631 10089446	<b>HACH COMPANY</b> Monthly Chemicals Monthly Chemicals	08/31/2016 09/06/2016	775.67 388.94	Payable Count: (2)	1,164.61 775.67 388.94
<b>Vendor:</b> <u>1904</u>	<b>IT SAVVY LLC</b>			Payable Count: (1)	65.76

**Board Open Payable Report**

As Of 09/06/2016

Payable Number	Description	Post Date	Payable Amount		Net Amount
<u>00894599</u>	Charging Cords	08/23/2016	65.76		65.76
<b>Vendor: <u>1968</u></b>	<b>MOZY INC.</b>			<b>Payable Count: (1)</b>	<b>309.93</b>
<u>618392894</u>	Data backup service	08/31/2016	309.93		309.93
<b>Vendor: <u>1395</u></b>	<b>OFFICE DEPOT</b>			<b>Payable Count: (1)</b>	<b>764.04</b>
<u>861507614001</u>	Office Supplies	09/06/2016	764.04		764.04
<b>Vendor: <u>1059</u></b>	<b>RED WING SHOE STORE</b>			<b>Payable Count: (2)</b>	<b>153.00</b>
<u>000000019-C045</u>	Uniform: Leanos	08/23/2016	76.50		76.50
<u>000000020-C045</u>	Uniform: Leanos	08/23/2016	76.50		76.50
<b>Vendor: <u>1813</u></b>	<b>ROESCH FORD</b>			<b>Payable Count: (1)</b>	<b>1,591.87</b>
<u>FOCS93478</u>	Vehicle Repairs: M153835	08/31/2016	1,591.87		1,591.87
<b>Vendor: <u>1137</u></b>	<b>ROSSI CONTRACTORS, INC.</b>			<b>Payable Count: (1)</b>	<b>1,851.98</b>
<u>INV0001224</u>	QR-10.017 WAO	08/23/2016	1,851.98		1,851.98
<b>Vendor: <u>1777</u></b>	<b>SCHNEIDER ELECTRIC BUILDINGS AMERICA, INC</b>			<b>Payable Count: (1)</b>	<b>3,366.12</b>
<u>638705</u>	Replaced security controller in garage	08/31/2016	3,366.12		3,366.12
<b>Vendor: <u>1043</u></b>	<b>SOOPER LUBE</b>			<b>Payable Count: (2)</b>	<b>78.90</b>
<u>275718</u>	Vehicle Maintenance: M175659	08/31/2016	35.95		35.95
<u>275958</u>	Vehicle Maint: M78556	09/01/2016	42.95		42.95
<b>Vendor: <u>1040</u></b>	<b>SPECIALTY MAT SERVICE</b>			<b>Payable Count: (2)</b>	<b>288.82</b>
<u>832069</u>	MAT SERVICE: 8/11/16	08/31/2016	144.41		144.41
<u>833766</u>	MAT SERVICE: 8/25/16	08/31/2016	144.41		144.41
<b>Vendor: <u>1223</u></b>	<b>SUBURBAN LABORATORIES, INC.</b>			<b>Payable Count: (1)</b>	<b>60.00</b>
<u>137766</u>	BAC-T Sample Analysis	08/31/2016	60.00		60.00
<b>Vendor: <u>1221</u></b>	<b>USA BLUE BOOK</b>			<b>Payable Count: (2)</b>	<b>342.47</b>
<u>042891</u>	Pipeline Supplies	08/31/2016	47.90		47.90
<u>043148</u>	Pipeline Supplies	08/31/2016	294.57		294.57
<b>Vendor: <u>2096</u></b>	<b>William A. Fates</b>			<b>Payable Count: (1)</b>	<b>1,250.00</b>
<u>INV0001262</u>	Service as Treasurer: Sept. 2016	09/01/2016	1,250.00		1,250.00
				<b>Payable Account 01-211000 Payable Count: (38) Total:</b>	<b>10,021,220.88</b>



**Payable Account Summary**

Account	Count	Amount
01-211000 - ACCOUNTS PAYABLE	38	10,021,220.88
<b>Report Total:</b>	<b>38</b>	<b>10,021,220.88</b>

**Payable Fund Summary**

Fund	Count	Amount
01 - WATER FUND	38	10,021,220.88
<b>Report Total:</b>	<b>38</b>	<b>10,021,220.88</b>



# DuPage Water Commission

## MEMORANDUM

TO: Chairman Zay and Commissioners

FROM: John F. Spatz  
General Manager 

DATE: September 7, 2016

SUBJECT: August 2016 Invoice

I reviewed the Gorski & Good, LLP August 2016 invoice for services rendered during the period – July 1, 2016 – August 31, 2016 and recommend it for approval. This invoice should be placed on the September 15, 2016, Commission meeting accounts payable.

July and August 2016  
Gorski & Good

CATEGORY	<u>FEEES</u>	<u>HOURS BILLED</u>	<u>AVERAGE HOURLY RATE</u>	<u>ATTORNEYS &amp; PARALEGALS EMPLOYED</u>	<u>MAJOR ACTIVITIES</u>
General	\$2,420.00	12.1	\$200.00	Jones (11.6 @ \$200/hr.) Good (.5 @ \$200/hr.)	various (review bids, proposals, agreements and board packet material)
Misc:	<u>\$120.61</u>				
	<u>\$2,540.61</u>	<u>12.1</u>	<u>\$200.00</u>		