



# DuPage Water Commission

600 E. Butterfield Road, Elmhurst, IL 60126-4642  
(630) 834-0100 Fax: (630) 834-0120

## AGENDA

### DUPAGE WATER COMMISSION

THURSDAY, MARCH 16, 2017  
6:30 P.M.

600 EAST BUTTERFIELD ROAD  
ELMHURST, IL 60126

- I. Call to Order and Pledge of Allegiance
- II. Roll Call  
(Majority of the Commissioners then in Office—minimum 7)
- III. Public Comments (limited to 3 minutes per person)
- IV. Approval of Minutes  
(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**RECOMMENDED MOTION: To approve the Minutes of the February 16, 2017 Regular Meeting and the Minutes of the February 16, 2017 Special Committee of the Whole Meeting of the DuPage Water Commission (Voice Vote).**

- V. Treasurer's Report – February 2017  
(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**RECOMMENDED MOTION: To accept the February 2017 Treasurer's Report (Voice Vote).**

- VI. Committee Reports
  - A. Finance Committee
    1. Report of 3/16/17 Finance Committee
    2. Actions on Other Items Listed on 3/16/17 Finance Committee Agenda
  - B. Administration Committee
    1. Report of 3/16/17 Administration Committee
    2. Actions on Other Items Listed on 3/16/17 Administration Committee Agenda

All visitors must present a valid driver's license or other government-issued photo identification, sign in at the reception area and wear a visitor badge while at the DuPage Pumping Station.

## C. Engineering &amp; Construction Committee

1. Report of 3/16/17 Engineering & Construction Committee
2. Resolution No. R-7-17: A Resolution Approving and Ratifying Certain Task Orders Under a Master Contract with AECOM at the March 16, 2017 DuPage Water Commission Meeting (**Task Order No. 4 – Design of Transmission Main to serve the Village of Bartlett - \$325,000.00**)  
  
(Affirmative Majority of the Appointed Commissioners, containing the votes of at least 1/3 of the County Appointed Commissioners and 40% of the Municipal Appointed Commissioners—3 County + 3 Muni+1=7)
3. Resolution No. R-8-17: A Resolution Directing Advertisements for Quick Response Contract QR-11/17 (**No Cost**)  
  
(Concurrence of a Majority of the Appointed Commissioners - 7)
4. Resolution No. R-9-17: A Resolution Approving and Authorizing the Execution of a Master Agreement with HBK Engineering LLC. for Professional Engineering Services (**No Cost**)  
  
(Affirmative Majority of the Appointed Commissioners, containing the votes of at least 1/3 of the County Appointed Commissioners and 40% of the Municipal Appointed Commissioners—3 County + 3 Muni+1=7)
5. Request For Board Action – To Authorize Approval of Requisition No. 45349 to Friendly Ford for the purchase of one Ford F-150 Supercab Pick Up Truck in the amount of **\$26,050.00.**

(Concurrence of a Majority of the Appointed Commissioners - 7)

**RECOMMENDED MOTION: To adopt item numbers 2 through 5 under the Engineering & Construction Report section of the Agenda in a single group pursuant to the Omnibus Vote Procedures (Roll Call).**

6. Actions on Other Items Listed on 3/16/17 Engineering & Construction Committee Agenda

## VII. Accounts Payable

(Affirmative Majority of the Appointed Commissioners, containing the votes of at least 1/3 of the County Appointed Commissioners and 40% of the Municipal Appointed Commissioners—3 County + 3 Muni+1=7)

**RECOMMENDED MOTION: To approve the Accounts Payable in the amount of \$7,106,116.68 subject to submission of all contractually required documentation, for invoices that have been received (Roll Call).**

**RECOMMENDED MOTION: To approve the Accounts Payable in the amount of \$1,030,625.00 subject to submission of all contractually required documentation, for invoices that have not yet been received but have been estimated (Roll Call).**

VIII. Chairman's Report

IX. Omnibus Vote Requiring Majority Vote

X. Omnibus Vote Requiring Super-Majority or Special Majority Vote

XI. Old Business

Discussion of FY2017-2018 Annual Tentative Draft Budget

XII. New Business

XIII. Executive Session

(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**RECOMMENDED MOTION: To go into Executive Session to discuss security procedures pursuant to 5 ILCS 120/2(c)(8), to discuss matters related to personnel pursuant to 5 ILCS 120/2(c)(1) and (2), to discuss acquisition of real estate pursuant to 5 ILCS 120/2(c)(5), to discuss pending, probable, or imminent litigation pursuant to 5 ILCS 120/2(c)(11), and/or to discuss minutes of closed meetings pursuant to 5 ILCS 120/2(c)(21) (Roll Call).**

**RECOMMENDED MOTION: To come out of Executive Session (Voice Vote).**

XIV. Adjournment

(Concurrence of a Majority of those Commissioners Present, provided there is a quorum—minimum 4)

**MINUTES OF A MEETING OF THE  
DUPAGE WATER COMMISSION  
HELD ON THURSDAY, FEBRUARY 16, 2017  
600 E. BUTTERFIELD ROAD  
ELMHURST, ILLINOIS**

The meeting was called to order by Chairman Zay at 6:40 P.M.

Commissioners in attendance: J. Broda, J. Fennell, J. Healy, D. Loftus, D. Novotny, R. Obarski, J. Pruy, D. Russo, M. Scheck, P. Suess, and J. Zay

Commissioners Absent: R. Gans and F. Saverino

Also in attendance: Treasurer W. Fates, J. Spatz, C. Johnson, C. Peterson, T. McGhee, F. Frelka, J. Schori, M. Weed, R.C. Bostick, E. Kazmierczak, and B. Armstrong representing Gorski & Good

Chairman Zay noted that Commissioner Obarski had taken her Oath of Office prior to the meeting.

**PUBLIC COMMENT**

None

**APPROVAL OF MINUTES**

Commissioner Healy moved to approve the Minutes of the January 19, 2017 Regular Meeting of the DuPage Water Commission. Seconded by Commissioner Broda and unanimously approved by a Voice Vote.

All voted aye. Motion carried.

**TREASURER'S REPORT**

Treasurer Fates presented the January 2017 Treasurer's Report consisting of 12 pages, noting that pages 1 and 2 contained a brief summary of the report.

Treasurer Fates pointed out the \$157.4 million of cash and investments on page 4, which reflected a decrease of about \$2.3 million from the previous month. Treasurer Fates also pointed out the schedule of investments on pages 5 through 10 totaling \$143.9 million and the market yield on the total portfolio showed 1.15% basis points which reflected an increase from the prior month. On page 11, the statement of cash flows showed an increase in cash and investments by about \$21.2 million and operating activities increased cash by approximately \$11.5 million, and roughly \$12.4 million of sales tax was received. On page 12, the monthly cash/operating report showed that the Commission had met or exceeded all of its reserve requirements.

Commissioner Healy moved to accept the January 2017 Treasurer's Report. Seconded by Commissioner Russo and unanimously approved by a Voice Vote.

## Minutes of the 2/16/17 Commission Meeting

All voted aye. Motion carried.

### **COMMITTEE REPORTS**

#### **Finance Committee – Reported by Commissioner Suess**

Commissioner Suess reported that the Finance Committee had reviewed and recommended for approval all action items listed on the Finance Committee Agenda. After providing a brief summary, Commissioner Suess moved to Authorize the Transfer of Funds from the Commission to the Village of Bartlett in Connection with the Loan for Capital Cost Recovery Charges (\$13,030,632.00). Seconded by Commissioner Russo and unanimously approved by a Roll Call Vote.

Ayes: J. Broda, J. Fennell, J. Healy, D. Loftus, D. Novotny, R. Obarski, J. Pruyn, D. Russo, M. Scheck, P. Suess, and J. Zay

Nays: None

Absent: R. Gans and F. Saverino

#### **Administration Committee – Reported by Commissioner Broda**

Commissioner Broda reported that the Administration Committee had reviewed and recommended for approval all action items listed on the Administration Committee Agenda. After providing a brief summary, Commissioner Broda moved to adopt item numbers 2 and 3 under the Administration Report section of the Agenda in a single group pursuant to the Omnibus Vote Procedures. Seconded by Commissioner Healy and unanimously approved by a Roll Call Vote.

Ayes: J. Broda, J. Fennell, J. Healy, D. Loftus, D. Novotny, R. Obarski, J. Pruyn, D. Russo, M. Scheck, P. Suess, and J. Zay

Nays: None

Absent: R. Gans and F. Saverino

Item 2: Request For Board Action - To retain the services of Storino, Ramello & Durkin as Labor Counsel for the DuPage Water Commission.

Item 3: Resolution No. R-6-17: A Resolution Authorizing and Ratifying the Disposal of Certain Personal Property Owned by the DuPage Water Commission

#### **Engineering & Construction Committee – Reported by Commissioner Loftus**

Commissioner Loftus reported that the Engineering & Construction Committee reviewed and recommended for approval all action items listed on the Engineering & Construction Committee Agenda. After providing a brief summary, Commissioner Loftus moved to adopt item numbers 2 through 4 under the Engineering & Construction Report section of

## Minutes of the 2/16/17 Commission Meeting

the Agenda in a single group pursuant to the Omnibus Vote Procedures. Seconded by Commissioner Russo and unanimously approved by a Roll Call Vote.

Ayes: J. Broda, J. Fennell, J. Healy, D. Loftus, D. Novotny, R. Obarski, J. Pruyn, D. Russo, M. Scheck, P. Suess, and J. Zay

Nays: None

Absent: R. Gans and F. Saverino

Item 2: Resolution No. R-4-17: A Resolution Approving and Ratifying Certain Work Authorization Orders Under Quick Response Electrical Contract QRE-7/15 at the February 16, 2017 DuPage Water Commission Meeting (Windy City Electrical Company – Estimated Cost of \$84,897.00)

Item 3: Resolution No. R-5-17: A Resolution Approving and Authorizing the Execution of a Master Agreement with CDM Smith Engineering Inc. for Professional Engineering Services (No Cost Component)

Item 4: Request For Board Action -. To suspend the purchasing procedures of the Commission's By-Laws and authorize the General Manager to approve Requisition No. 44157 in the amount of \$49,472.77 to ITsavvy, LLC.

### **ACCOUNTS PAYABLE**

Commissioner Healy moved to approve the Accounts Payable in the amount of \$8,043,487.23 subject to submission of all contractually required documentation, for invoices that have been received and the Accounts Payable in the amount of \$1,131,425.00 subject to submission of all contractually required documentation, for invoices that have not yet been received but have been estimated. Seconded by Commissioner Suess and unanimously approved by a Roll Call Vote.

Ayes: J. Broda, J. Fennell, J. Healy, D. Loftus, D. Novotny, R. Obarski, J. Pruyn, D. Russo, M. Scheck, P. Suess, and J. Zay

Nays: None

Absent: R. Gans and F. Saverino

### **CHAIRMAN'S REPORT**

#### **Committee Appointments**

Chairman Zay noted that Commissioner's Healy and Obarski would serve on the Administration Committee and that Commissioner Fennell had been reassigned to serve on the Engineering Committee.

Minutes of the 2/16/17 Commission Meeting

With no further discussion, Commissioner Loftus moved to confirm Chairman Zay's appointments of Commissioners to serve on the Committees, as Chair or otherwise, as set forth in Chairman Zay's memorandum dated February 9, 2017. Seconded by Commissioner Russo and unanimously approved by a Voice Vote.

All voted aye. Motion carried.

Chairman Zay concluded his report by noting that the Agreements with the Village of Bartlett have been fully executed and that the City of Joliet and the Village of Oswego had contacted the Commission to discuss the possibility of supplying water to their communities. Chairman Zay stated that staff and attorney Luetkehans would be looking into whether the Commission would be able to accommodate.

**OMNIBUS VOTE REQUIRING MAJORITY VOTE**

None

**OMNIBUS VOTE REQUIRING SUPER-MAJORITY OR SPECIAL MAJORITY VOTE**

None

**OLD BUSINESS**

None

**NEW BUSINESS**

None

**EXECUTIVE SESSION**

None

Commissioner Healy moved to adjourn the meeting at 6:55 P.M. Seconded by Commissioner Suess and unanimously approved by a Voice Vote.

All voted aye. Motion carried.

**MINUTES OF A SPECIAL COMMITTEE OF THE WHOLE  
MEETING OF THE DuPAGE WATER COMMISSION  
HELD ON THURSDAY, FEBRUARY 16, 2017  
600 E. BUTTERFIELD ROAD  
ELMHURST, ILLINOIS**

The meeting was called to order by Chairman Zay at 6:05 P.M.

Commissioners in attendance: J. Broda, J. Fennell, J. Healy, D. Loftus, D. Novotny, R. Obarski, J. Pruy, D. Russo, M. Scheck, P. Suess, and J. Zay

Commissioners Absent: R. Gans and F. Saverino

Also in attendance: Treasurer W. Fates, J. Spatz, C. Johnson, C. Peterson, T. McGhee, R. C. Bostick, J. Schori, M. Weed, F. Frelka, and E. Kazmierczak

**TENTATIVE DRAFT BUDGET FOR FISCAL YEAR 2017-2018**

Chairman Zay began by introducing and welcoming Rebecca Boyd-Obarski Municipal Representative for District 5 and thanked her for joining the Commission. He then asked General Manager Spatz to begin his presentation.

General Manager Spatz began his PowerPoint presentation on the Tentative Draft Budget for Fiscal Year 2017-2018 noting that he would be highlighting debt activity, investment activity, reserve activity, reserve requirements going forward, the new tentative budget and budget trends, comparisons to last year's budget, and the five-year capital improvement plan.

With regards to the debt activity, General Manager Spatz shared that the Commission was completely debt free and operating mostly on water sales.

With regards to the Investment and Reserve Activity, General Manager Spatz noted that the Commission was actively investing with a diversified portfolio earning a market yield of about 1.13% with total investments of \$143.7M.

The long term capital reserve would require an additional \$2.1M in FY 2017-2018 which had already been fully funded.

With regards to the City of Chicago's expected water rate adjustment for 2017, General Manager Spatz noted that a water rate increase had been anticipated from the City of Chicago based on the increase in the Consumer Price Index from December 2015 to December 2016. On May 1, 2017, the total Charter Customer's water rate would increase from \$4.80 to \$4.88 (1.66%) per thousand gallons.

General Manager Spatz reported on the budget trends for the last five years starting with total revenues noting that the fixed costs and sales tax revenues remain at zero. General Manager Spatz pointed out the total expenditures for the budget year. The total expenditures minus water purchases and depreciation would give you the total operating expenditures and the total operating expenditures are budgeted to increase due to a



## Minutes of the 2/16/17 Committee of the Whole Meeting

complete upgrade of the back-up generators and HVAC systems at the Lexington Pumping Station, including an increase in labor and maintenance costs.

General Manager Spatz briefly reviewed the total revenues and total expenditures noting that revenues reflected that water sales make up 98.8% or \$120.5M, and 1.2% or \$1.4M make up other income. Total expenditures reflected direct water distribution cost make up 86.8%, depreciation was 7.5%, personnel services was 3.7%, professional services was 0.9%, insurance was 0.5% and administrative costs was 0.6%.

General Manager Spatz provided the following breakdown which compares the 2017-2018 Budget to the prior fiscal year:

### Revenues

- O&M payments decreased from \$121.0M to \$120.6M
- Fixed Cost Payments – remain zero
- Subsequent Customer Differential – remain the same as prior year
- Emergency Water Sales – remain the same as prior year
- Sales Taxes – budgeted at zero
- Interest income – estimated at 40 basis points on \$145M invested
- Total Revenue – budgeted to decrease from \$133.9M to \$122.0M

### Expenses

- Personnel services – budgeted to increase approximately 2.2%
- Professional services – budgeted to increase approximately 15.3%
- Insurance costs - budgeted the same as prior year
- Administrative costs – budgeted to increase slightly 0.3%
- Direct Distribution costs – budgeted the same as prior year
- Bond interest cost – budgeted at zero
- Land and Right-of-Way – budgeted the same as prior year
- Depreciation – budgeted to increase due to completion of some capital projects

Total Expenditures are budgeted to increase from \$120.8M to 121.7M and Net Operating Accounting Transaction are budgeted to decrease from 13.1M to 0.3M.

### **2017-2022 FIVE-YEAR CAPITAL IMPROVEMENT PLAN**

General Manager Spatz began by pointing out several new projects that had been added and some that have been updated with expenditures averaging about \$10.1M per year and provided a brief summary of the following new projects:

- Village of Bartlett Supply Line Connection Facilities
- Replacement of the SCADA system
- Standpipe Improvements
- Meter Replacements

## Minutes of the 2/16/17 Committee of the Whole Meeting

General Manager Spatz concluded his report with the following highlights:

- The Commission's pension liability had been fully funded
- The Commission is a self-sustaining water utility
- The Commission is completely debt free and no longer collecting any sales tax
- The Commission continues to hold the line on spending while maximizing efficiencies
- On May 1, 2017, the Commission would be increasing water rates slightly based upon the anticipated rate increase from the City of Chicago
- The Commission will be a best in class model government agency and water utility
- The Commission continues to grow by adding new customers which in turn would increase revenues and help keep customer rates down.

Discussion ensued regarding the City of Chicago's anticipated water rate increase and the additional revenue that the Commission would be collecting from the Village of Bartlett.

With no further discussion, Chairman Zay thanked General Manager Spatz and staff for their hard work on the budget noting how much progress had been accomplished.

Commissioner Loftus moved to adjourn the meeting at 6:38 P.M. Seconded by Commissioner Russo and unanimously approved by a Voice Vote.

All voted aye. Motion carried.

## DUPAGE WATER COMMISSION INTEROFFICE MEMORANDUM

**TO:** Chairman and Commissioners  
**FROM:** Bill Fates, Treasurer  
**DATE:** March 7, 2017  
**SUBJECT:** TREASURER'S REPORT – FEBRUARY 28, 2017

I am pleased to report that I have reviewed and approved all journal entries and bank reconciliations for the month of February. I have also reviewed the monthly financial statements and budget status reports, and found them to be in order.

### **Summary of Cash & Investments (Page 4)**

1. Cash and investments totaled \$158.9 million at February 28<sup>th</sup>, an increase of \$1.5 million over the previous month.
2. The balance in the BMO Harris checking account was \$14.9 million at February 28<sup>th</sup>, an increase of approximately \$1.3 million compared to the \$13.6 million reported last month.
3. The BMO Harris money market accounts had \$15.0 million at month-end, unchanged from the preceding month.
4. During the month of February, the IIT money market accounts increased by approximately \$1.0 million from the prior month.
5. In February, our holdings of commercial paper increased by \$5.0 million and U.S. Treasury and U.S. Agency investments decreased by approximately \$1.8 million and \$3.7 million, respectively.
6. The current holdings of cash and investments are in compliance with the approved investment policy.
7. During the first ten months of the year, the Commission's cash and investments increased a total of \$22.6 million.
  - The Operating & Maintenance Account increased by \$7.8 million, for an ending balance of \$29.9 million.
  - The General Account increased by \$3.7 million, for an ending balance of \$19.7 million.
  - The Sales Tax Account decreased by \$2.1 million due to the Board approved transfer from the Sales Tax Account to the Long-Term Water Capital Reserve in January.
  - The Capital Reserve Fund increased \$265,346 for a balance of \$29.8 million.
  - The Operating Reserve Account increased \$10.7 million for a balance of \$67.1 million.

- The Long-Term Capital Reserve Account increased by \$2.3 million for a balance of \$12.4 million.

The following table presents a summary of the changes in cash position by account.

**Cash and Investments by Account**

Account	Balance 4/30/2016	Balance 02/28/2017	Increase (Decrease)
Operations & Maintenance	\$22,137,284	\$29,897,157	\$7,759,873
General Account	15,977,169	19,687,760	3,710,591
Sales Tax	2,131,597	275	(2,131,322)
Operating Reserve	56,389,449	67,138,860	10,749,411
Capital Reserve	29,541,577	29,806,923	265,346
Long-Term Cap. Reserve	10,113,085	12,407,244	2,294,159
<b>Total Cash &amp; Investments</b>	<b>\$136,290,161</b>	<b>\$158,938,219</b>	<b>\$22,648,058</b>

**Schedule of Investments (Pages 5-10)**

1. The average yield to maturity on the Commission's investments was 1.18%, an increase from the prior month average yield to maturity of 1.15%.
2. The portfolio is showing estimated unrealized losses of \$680,500 at February 28, 2017, compared to \$537,500 in unrealized gains at April 30, 2016.
3. The amortized cost of our investments was \$144.1 million at February 28<sup>th</sup>, an increase of approximately \$0.2 million from the previous month.

**Statement of Cash Flows (Page 11)**

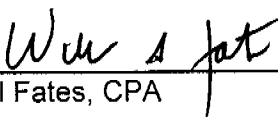
1. The statement of cash flows shows a breakdown of the \$22.6 million increase in cash and investments since the beginning of the fiscal year.
2. Operating activities increased cash by \$25.8 million. The increase was due to the receipt of slightly over \$13 million in deferred revenue related to Bartlett's cost recovery charges. This increase was offset by a \$13 million cash outflow related to the loan to Bartlett to help finance the cost recovery charges.
3. \$12.5 million of sales tax revenue was received.
4. Capital Assets purchased totaled \$3.8 million year-to-date.
5. Cash flow from investment activity generated \$1.4 million.

**Reserve Analysis (Page 12)**

1. The reserve analysis report shows the commission has met or exceeded all reserve targets at February 28<sup>th</sup>.
2. The Operating and Maintenance Account was approximately \$21.5 million over its target balance of \$8.4 million.

3. The Operating Reserve account is \$27.5 million over its minimum target of \$39.6 million due to additional transfers made during the current and prior year to increase the balance beyond its minimum per the Board.

Respectfully submitted,

  
\_\_\_\_\_  
Bill Fates, CPA  
Treasurer

DU PAGE WATER COMMISSION  
 TREASURER'S REPORT  
 SUMMARY OF CASH AND INVESTMENTS  
 February 28, 2017

FUNDS CONSIST OF:	February 28, 2017	January 31, 2017	INCR. - (DECR.)
PETTY CASH	1,200.00	1,200.00	0.00
CASH AT HARRIS BANK	14,879,233.92	13,585,334.98	1,293,898.94
<b>TOTAL CASH</b>	<b>14,880,433.92</b>	<b>13,586,534.98</b>	<b>1,293,898.94</b>
IIIT MONEY MARKET FUNDS	5,384,653.57	4,356,868.71	1,027,784.86
BMO HARRIS MONEY MARKET FUNDS	15,016,723.69	15,008,039.59	8,684.10
U. S. TREASURY INVESTMENTS	32,568,376.92	34,323,696.72	(1,755,319.80)
U. S. AGENCY INVESTMENTS	55,774,303.59	59,501,909.35	(3,727,605.76)
MUNICIPAL BONDS	6,402,896.73	6,403,266.65	(369.92)
COMMERCIAL PAPER	18,710,240.43	13,751,334.30	4,958,906.13
ASSET BACKED SEC/COLLATERALIZED MORTGAGE OBLIG CERTIFICATES OF DEPOSIT	6,728,590.22	6,796,091.50	(67,501.28)
	3,472,000.00	3,720,000.00	(248,000.00)
<b>TOTAL INVESTMENTS</b>	<b>144,057,785.15</b>	<b>143,861,206.82</b>	<b>196,578.33</b>
<b>TOTAL CASH AND INVESTMENTS</b>	<b>158,938,219.07</b>	<b>157,447,741.80</b>	<b>1,490,477.27</b>
	February 28, 2017	January 31, 2017	% CHANGE
IIIT MONEY MARKET FUNDS	3.8%	2.9%	23.6%
BMO HARRIS MONEY MARKET FUNDS	10.4%	10.4%	0.1%
U. S. TREASURY INVESTMENTS	22.6%	23.9%	-5.1%
U. S. AGENCY INVESTMENTS	38.7%	41.4%	-6.3%
MUNICIPAL BONDS	4.4%	4.5%	0.0%
COMMERCIAL PAPER	13.0%	9.6%	36.1%
ASSET BACKED SEC/COLLATERALIZED MORTGAGE OBLIG CERTIFICATES OF DEPOSIT	4.7%	4.7%	-1.0%
	2.4%	2.6%	-6.7%
<b>TOTAL INVESTMENTS</b>	<b>100.0%</b>	<b>100.0%</b>	<b>0.1%</b>

Note 1 - Investments are carried at amortized cost.

DU PAGE WATER COMMISSION  
INVESTMENTS  
(Unaudited)  
February 28, 2017

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 02/28/17
<b>Water Fund Oper. &amp; Maint. Acct. (01-121103)</b>										
BMO Harris - Money Market	0.760%	02/28/17	03/01/17	1	0.760%	\$ 15,016,723.69	\$ 15,016,723.69	\$ -	\$ 15,016,723.69	-
<b>Water Fund General Account (01-121700)</b>										
IIIT - Money Market	0.730%	02/28/17	03/01/17	1	0.730%	4,249,423.70	4,249,423.70	-	4,249,423.70	-
Toyota Motor Credit Corp CP	0.000%	10/03/16	03/03/17	3	1.000%	1,500,000.00	1,499,916.66	6,166.66	1,493,750.00	-
JP Morgan Securities LLC CP	0.000%	10/04/16	04/04/17	35	1.010%	1,500,000.00	1,498,583.34	6,166.67	1,492,416.67	-
BNP Paribas NY Branch CP	0.000%	12/08/16	06/06/17	98	1.170%	750,000.00	747,655.83	2,005.83	745,650.00	-
BNP Paribas NY Branch CP	0.000%	12/13/16	06/06/17	98	1.160%	1,250,000.00	1,246,126.74	3,114.59	1,243,012.15	-
Credit Agricole CIB NY CP	0.000%	12/07/16	06/07/17	99	1.150%	2,000,000.00	1,993,793.32	5,319.99	1,988,473.33	-
Bank Tokyo-MIT UFJ NY CP	0.000%	12/08/16	06/08/17	100	1.280%	2,000,000.00	1,993,015.00	5,856.11	1,987,158.89	-
Bank Tokyo-MIT UFJ NY CP	0.000%	12/19/16	06/19/17	111	1.300%	1,500,000.00	1,494,087.50	3,870.00	1,490,217.50	-
Toyota Motor Credit Corp CP	0.000%	12/19/16	07/17/17	139	1.200%	1,500,000.00	1,493,157.50	3,570.00	1,489,587.50	-
CD-United Security Bank, CA	0.000%	11/16/16	05/15/17	76	0.950%	248,000.00	248,000.00	-	248,000.00	677.75
CD-Quontic Bank, NY	0.000%	11/16/16	05/15/17	76	0.850%	248,000.00	248,000.00	-	248,000.00	606.41
CD-Cfg Community Bank, MD	0.000%	10/31/16	05/31/17	92	0.800%	248,000.00	248,000.00	-	248,000.00	657.71
CD-Patriot Bank, OK	0.000%	10/31/16	05/31/17	92	0.800%	248,000.00	248,000.00	-	248,000.00	657.71
CD-Enerbank USA, UT	0.000%	10/31/16	05/31/17	92	0.700%	248,000.00	248,000.00	-	248,000.00	575.50
CD-Bank of the Ozarks, AR	0.000%	10/31/16	05/31/17	92	0.870%	248,000.00	248,000.00	-	248,000.00	715.26
CD-First Commons Bank, MA	0.000%	10/31/16	05/31/17	92	0.800%	248,000.00	248,000.00	-	248,000.00	657.71
CD-Bofi Federal Bank, CA	0.000%	10/31/16	05/31/17	92	0.750%	248,000.00	248,000.00	-	248,000.00	616.60
CD-Usameribank, FL	0.000%	10/31/16	05/31/17	92	0.740%	248,000.00	248,000.00	-	248,000.00	657.71
CD-Financial Federal Savings Bank, TN	0.000%	10/31/16	05/31/17	92	0.750%	248,000.00	248,000.00	-	248,000.00	616.60
CD-Landmark Community Bank, TN	0.000%	10/31/16	05/31/17	92	0.800%	248,000.00	248,000.00	-	248,000.00	575.50
CD-Bank of China, NY	0.000%	10/31/16	05/31/17	92	0.750%	248,000.00	248,000.00	-	248,000.00	616.60
CD-Prudential Savings Bank, PA	0.000%	10/31/16	05/31/17	92	0.700%	248,000.00	248,000.00	-	248,000.00	608.38
CD-Bank Leumi Usa, NY	0.000%	10/31/16	06/29/17	121	0.850%	248,000.00	248,000.00	-	248,000.00	698.82
Weighted Avg Maturity			63		0.997%	\$ 19,721,423.70	\$ 19,687,759.59	\$ 36,069.85	\$ 19,651,689.74	\$ 8,938.26
<b>Sales Tax Funds (01-123000)</b>										
IIIT - Money Market	0.730%	02/28/17	03/01/17	1	0.730%	274.85	274.85	-	274.85	-
Weighted Avg Maturity			1		0.730%	\$ 274.85	\$ 274.85	\$ -	\$ 274.85	\$ -

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FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 02/28/17
Water Fund Operating Reserve (01-121800)										
IIIT - Money Market	0.730%	02/28/17	03/01/17	1	0.730%	416,840.57	416,840.57	-	416,840.57	-
US Treasury Notes	1.625%	11/14/16	04/30/19	791	1.060%	1,705,000.00	1,725,542.10	(2,768.45)	1,728,310.55	9,260.93
US Treasury Notes	1.000%	08/25/15	11/30/19	1,005	1.340%	850,000.00	842,240.25	4,160.17	838,080.08	2,125.00
US Treasury Notes	1.250%	10/08/15	02/29/20	1,096	1.320%	25,000.00	24,951.65	21.96	24,929.69	0.85
US Treasury Notes	1.250%	11/05/15	02/29/20	1,096	1.550%	1,050,000.00	1,040,779.87	3,904.87	1,036,875.00	35.67
US Treasury Notes	2.000%	12/04/15	07/31/20	1,249	1.580%	1,075,000.00	1,090,042.06	(5,240.17)	1,095,282.23	1,722.38
US Treasury Notes	2.125%	12/30/15	08/31/20	1,280	1.740%	575,000.00	582,534.77	(2,415.43)	584,950.20	33.20
US Treasury Notes	1.750%	02/03/16	10/31/20	1,341	1.350%	740,000.00	750,677.48	(3,024.08)	753,701.56	4,328.59
US Treasury Notes	2.625%	05/03/16	11/15/20	1,356	1.210%	2,400,000.00	2,522,566.51	(26,308.49)	2,548,875.00	18,447.51
US Treasury Notes	2.000%	03/24/16	11/30/20	1,371	1.380%	2,000,000.00	2,045,123.42	(10,892.21)	2,056,015.63	10,000.00
US Treasury Notes	2.375%	03/31/16	12/31/20	1,402	1.280%	775,000.00	806,611.82	(7,319.82)	813,931.64	3,050.76
US Treasury Notes	2.000%	07/06/16	02/28/21	1,461	0.920%	775,000.00	807,704.94	(5,197.40)	812,902.34	42.12
US Treasury Notes	1.250%	06/27/16	03/31/21	1,492	0.980%	1,265,000.00	1,278,881.57	(2,227.42)	1,281,108.99	6,603.02
US Treasury Notes	2.000%	01/05/17	05/31/21	1,553	1.870%	1,300,000.00	1,306,779.38	(228.43)	1,307,007.81	6,500.00
US Treasury Notes	2.000%	09/01/16	05/31/21	1,553	1.220%	1,950,000.00	2,012,986.13	(7,092.00)	2,020,078.13	9,750.00
US Treasury Notes	2.000%	10/05/16	08/31/21	1,645	1.210%	1,275,000.00	1,319,010.91	(3,851.39)	1,322,862.30	69.29
US Treasury Notes	2.000%	12/05/16	08/31/21	1,645	1.930%	2,150,000.00	2,156,100.80	(282.01)	2,156,382.81	116.85
US Treasury Notes	1.250%	02/01/17	10/31/21	1,706	1.940%	855,000.00	828,670.79	389.54	828,281.25	3,572.34
Inter-American Development Bank	1.000%	04/12/16	05/13/19	804	1.100%	790,000.00	788,301.46	671.46	787,630.00	2,370.00
African Development Bank Note	1.125%	09/14/16	09/20/19	934	1.160%	505,000.00	504,543.35	78.65	504,464.70	2,540.78
Intl Bank of Recons and Dev Notes (World Bank)	1.625%	03/14/16	03/09/21	1,470	1.730%	925,000.00	921,419.81	822.81	920,597.00	7,181.60
MS ST Taxable GO Bonds	1.472%	02/18/15	10/01/18	580	1.470%	150,000.00	150,000.00	-	150,000.00	920.00
NYC, NY Taxable GO Bonds	1.650%	03/31/15	10/01/18	580	1.650%	565,000.00	565,000.00	-	565,000.00	3,884.38
CT ST Taxable GO Bonds	1.974%	03/25/15	03/15/19	745	1.970%	300,000.00	300,000.00	-	300,000.00	2,730.70
UNIV OF CAL Taxable Rev Bonds	2.003%	03/25/15	05/15/19	806	2.000%	135,000.00	135,000.00	-	135,000.00	796.19
FL ST Board Admin Fin Corp Taxable Rev Bonds	2.163%	03/08/16	07/01/19	853	2.160%	925,000.00	925,000.00	-	925,000.00	3,334.63
CT ST Taxable GO Bonds	1.300%	08/03/16	08/15/19	898	1.230%	710,000.00	711,261.55	(272.05)	711,533.60	410.22
MS ST Taxable GO Bonds	1.679%	02/18/15	10/01/19	945	1.680%	310,000.00	310,000.00	-	310,000.00	2,168.71
NY Trans Fin Auth, NY Txbl Rev Bonds	2.750%	04/23/15	02/01/20	1,068	1.880%	375,000.00	384,207.36	(5,608.89)	389,816.25	859.38
NY Trans Fin Auth, NY Txbl Rev Bonds	1.500%	07/14/16	05/01/21	1,523	1.500%	600,000.00	600,000.00	-	600,000.00	3,000.00
FNMA Pool #AJ3174	3.500%	02/17/16	10/01/26	3,502	2.270%	385,458.67	408,736.93	(812.90)	409,549.83	1,124.25
FNMA Pool #AT3221	3.500%	06/17/16	03/01/27	3,653	2.270%	460,606.20	489,418.29	(263.68)	489,681.97	1,343.43
FNMA Pool #AS4197	3.500%	07/16/15	01/01/30	4,690	2.620%	288,670.98	304,680.84	(949.56)	305,630.40	841.96
FHLMC Pool #UJ49048	3.000%	03/17/16	08/01/30	4,902	2.350%	427,052.81	444,019.43	(1,783.66)	445,803.09	1,067.63
FNMA Pool #AL7738	3.500%	02/17/16	11/01/30	4,994	2.550%	457,592.88	486,313.30	(951.63)	487,264.93	1,334.65



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FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 02/28/17
Water Fund Operating Reserve (01-121800) Continued...										
FNMA Series 2015-M1 ASQ2	1.626%	01/30/15	02/01/18	338	1.260%	153,775.47	154,085.03	(1,227.05)	155,312.08	208.37
FNMA Series 2015-M7 ASQ2	1.550%	04/30/15	04/01/18	397	0.830%	175,000.00	175,482.57	(1,266.80)	176,749.37	226.04
FNMA Series 2015-M15 ASQ2	1.899%	11/30/15	01/01/19	672	1.200%	195,000.00	196,019.58	(930.40)	196,949.98	308.44
Fannie Mae Series 2015-M13 ASQ2	1.646%	10/30/15	09/01/19	915	1.080%	419,964.03	422,500.64	(1,668.87)	424,169.51	576.05
Fannie Mae Series 2016-M1 ASQ2	2.132%	01/29/16	02/01/21	1,434	1.680%	925,000.00	931,940.28	(2,293.44)	934,233.72	1,643.42
FNMA Series 2010-18 LV	4.500%	07/21/15	04/01/21	1,493	2.030%	295,567.18	295,567.18	(20,504.98)	316,072.16	1,108.38
Tennessee Valley Authority Notes	6.250%	08/19/15	12/15/17	290	1.010%	720,000.00	749,565.01	(56,817.71)	806,382.72	9,500.00
FNMA Notes	0.875%	03/04/16	03/28/18	393	0.970%	800,000.00	799,163.34	763.34	798,400.00	2,975.00
FHLMC Reference Note	0.750%	06/23/16	04/09/18	405	0.850%	1,500,000.00	1,498,414.71	979.71	1,497,435.00	4,437.50
Fannie Mae Global Notes	0.875%	05/24/13	05/21/18	447	1.190%	500,000.00	498,097.03	5,672.03	492,425.00	1,215.28
Fannie Mae Global Notes	0.875%	05/22/13	05/21/18	447	1.050%	1,000,000.00	997,890.61	6,340.61	991,550.00	2,430.56
Federal Home Loans Banks Agency	0.875%	05/26/16	06/29/18	486	0.990%	1,100,000.00	1,098,307.83	958.83	1,097,349.00	1,657.64
Federal Home Loans Banks Agency	0.875%	06/23/16	06/29/18	486	0.860%	1,200,000.00	1,200,222.00	(114.00)	1,200,336.00	1,808.33
FHLB Notes	0.625%	08/11/16	08/07/18	525	0.820%	635,000.00	633,273.26	660.86	632,612.40	264.58
FHLB Notes	0.625%	08/08/16	08/07/18	525	0.840%	1,250,000.00	1,246,097.80	1,522.80	1,244,575.00	520.83
FHLB Global Note	0.875%	08/25/16	10/01/18	580	0.910%	1,650,000.00	1,649,149.11	271.11	1,648,878.00	6,015.63
FHLMC Reference Note	0.875%	09/15/16	10/12/18	591	0.900%	400,000.00	399,866.16	38.16	399,828.00	1,604.17
FNMA Benchmark Note	1.125%	09/01/15	10/19/18	598	1.180%	2,300,000.00	2,298,037.07	1,763.07	2,296,274.00	9,487.50
Fannie Mae Global Notes	1.625%	10/23/14	11/27/18	637	1.390%	990,000.00	994,060.14	(5,344.86)	999,405.00	4,200.63
FNMA Benchmark Note	1.375%	01/08/16	01/28/19	699	1.180%	275,000.00	274,892.27	62.77	274,829.50	346.61
FNMA Benchmark Note	1.000%	02/23/16	02/26/19	728	1.080%	1,100,000.00	1,098,276.56	872.56	1,097,404.00	152.78
FNMA Benchmark Note	1.000%	08/05/16	02/26/19	728	0.900%	2,255,000.00	2,259,456.49	(1,248.66)	2,260,705.15	313.19
Freddie Mac Notes	1.125%	03/24/16	04/15/19	776	1.150%	1,700,000.00	1,699,144.32	368.32	1,698,776.00	7,225.00
FNMA Notes	1.750%	06/30/16	06/20/19	842	0.800%	1,000,000.00	1,021,524.85	(6,185.15)	1,027,710.00	3,451.39
FHLB Global Note	1.125%	06/02/16	06/21/19	843	1.140%	1,050,000.00	1,049,664.14	105.14	1,049,559.00	2,296.88
FHLMC Reference Note	0.875%	07/20/16	07/19/19	871	0.960%	1,500,000.00	1,496,839.20	799.20	1,496,040.00	1,531.25
FNMA Benchmark Note	0.875%	07/29/16	08/02/19	885	0.930%	1,600,000.00	1,597,826.48	514.48	1,597,312.00	1,127.78
FHLB Global Note	0.875%	08/03/16	08/05/19	888	0.940%	600,000.00	599,066.17	218.17	598,848.00	379.17
FHLB Notes	1.375%	11/17/16	11/15/19	990	1.380%	1,790,000.00	1,789,627.11	38.81	1,789,588.30	7,110.28
FNMA Benchmark Note	1.750%	12/03/14	11/26/19	1,001	1.610%	475,000.00	476,713.47	(1,350.28)	478,063.75	2,193.58
FNMA Notes	1.500%	02/24/17	02/28/20	1,095	1.520%	1,075,000.00	1,074,312.63	0.63	1,074,312.00	44.79
FHLB Global Note	1.125%	08/02/16	07/14/21	1,597	1.210%	1,000,000.00	996,598.42	438.42	996,160.00	1,468.75
FHLB Global Note	1.125%	07/14/16	07/14/21	1,597	1.250%	1,525,000.00	1,516,860.65	1,134.17	1,515,726.48	2,239.84
Cooperative Rabobank U. A. CP	0.000%	02/16/17	10/23/17	237	1.290%	2,000,000.00	1,983,217.78	853.34	1,982,364.44	-
Bank of Montreal Chicago CP	0.000%	02/16/17	11/13/17	258	1.330%	2,000,000.00	1,981,153.32	879.99	1,980,273.33	-
Weighted Avg Maturity				1,059	1.288%	\$ 66,645,528.79	\$ 67,138,860.55	\$ (151,135.89)	\$ 67,289,996.44	\$ 191,636.66

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FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 02/28/17
Water Fund L-T Water Capital Reserve (01-121900)										
IIIT - Money Market (PFM Asset Management)	0.730%	02/28/17	03/01/17	1	0.730%	85,962.18	85,962.18	-	85,962.18	-
US Treasury Notes	0.625%	03/28/16	08/31/17	184	0.790%	245,000.00	244,798.80	373.02	244,425.78	4.16
US Treasury Notes	0.750%	12/31/13	02/28/18	365	1.380%	485,000.00	482,004.54	9,243.21	472,761.33	9.88
US Treasury Notes	0.625%	05/13/13	04/30/18	426	0.820%	105,000.00	104,759.30	768.29	103,991.01	219.35
US Treasury Notes	0.625%	05/15/13	04/30/18	426	0.820%	180,000.00	179,592.21	1,300.80	178,291.41	376.04
US Treasury Notes	1.125%	04/24/15	04/30/20	1,157	1.350%	300,000.00	297,926.48	1,172.57	296,753.91	1,128.11
US Treasury Notes	1.750%	02/03/16	10/31/20	1,341	1.350%	250,000.00	253,607.26	(1,021.65)	254,628.91	1,462.36
US Treasury Notes	2.000%	03/28/16	11/30/20	1,371	1.380%	265,000.00	271,008.97	(1,433.80)	272,442.77	1,325.00
US Treasury Notes	1.625%	11/14/16	11/30/20	1,371	1.400%	320,000.00	322,601.49	(198.51)	322,800.00	1,307.18
US Treasury Notes	2.000%	03/28/16	10/31/21	1,706	1.520%	275,000.00	280,895.61	(1,119.04)	282,014.65	1,838.40
US Treasury Notes	1.875%	01/12/15	11/30/21	1,736	1.780%	260,000.00	261,179.50	(496.28)	261,675.78	1,218.75
US Treasury Notes	1.500%	12/30/15	01/31/22	1,798	2.040%	75,000.00	73,117.49	420.22	72,697.27	90.12
US Treasury Notes	1.500%	09/03/15	01/31/22	1,798	1.870%	350,000.00	343,957.59	1,723.21	342,234.38	420.58
US Treasury Notes	1.625%	02/26/15	08/15/22	1,994	1.820%	175,000.00	173,260.28	598.17	172,662.11	109.98
US Treasury Notes	1.625%	12/04/15	11/15/22	2,086	1.930%	200,000.00	196,767.58	658.20	196,109.38	951.66
US Treasury Notes	1.500%	02/01/17	03/31/23	2,222	2.210%	425,000.00	407,996.39	195.61	407,800.78	2,662.09
US Treasury Notes	1.750%	04/24/15	05/15/23	2,267	1.790%	390,000.00	389,047.19	265.94	388,781.25	1,998.48
US Treasury Notes	1.375%	01/03/17	08/31/23	2,375	2.240%	200,000.00	189,548.60	228.29	189,320.31	7.47
US Treasury Notes	1.375%	09/01/16	08/31/23	2,375	1.470%	275,000.00	273,377.74	117.97	273,259.77	10.28
US Treasury Notes	2.750%	12/05/16	11/15/23	2,451	2.260%	60,000.00	61,817.93	(59.41)	61,877.34	483.15
US Treasury Notes	2.750%	03/28/16	11/15/23	2,451	1.720%	150,000.00	159,720.94	(1,253.67)	160,974.61	1,207.87
US Treasury Notes	2.750%	10/09/15	11/15/23	2,451	1.910%	155,000.00	163,192.13	(1,574.08)	164,766.21	1,248.14
US Treasury Notes	2.750%	05/26/16	11/15/23	2,451	1.650%	275,000.00	294,092.30	(2,005.36)	296,097.66	2,214.43
US Treasury Notes	2.750%	10/05/16	02/15/24	2,543	1.460%	75,000.00	81,390.88	(347.40)	81,738.28	79.77
US Treasury Notes	2.375%	03/28/16	08/15/24	2,725	1.810%	200,000.00	207,788.59	(891.10)	208,679.69	183.70
US Treasury Notes	2.125%	05/03/16	05/15/25	2,998	1.760%	150,000.00	154,174.72	(383.87)	154,558.59	933.36
US Treasury Notes	2.250%	07/06/16	11/15/25	3,182	1.320%	105,000.00	113,052.07	(561.21)	113,613.28	691.78
US Treasury Notes	2.250%	06/27/16	11/15/25	3,182	1.450%	115,000.00	122,497.78	(538.74)	123,036.52	757.67
Inter-American Development Bank	1.000%	04/12/16	05/13/19	804	1.100%	140,000.00	139,698.99	118.99	139,580.00	420.00
Intl Bank of Recons and Dev Notes (World Bank)	1.625%	03/14/16	03/09/21	1,470	1.730%	150,000.00	149,419.43	133.43	149,286.00	1,164.58
Asian Development Bank Note	1.625%	03/16/16	03/16/21	1,477	1.640%	150,000.00	149,905.92	21.42	149,884.50	1,117.19
NYC, NY Taxable GO Bonds	1.650%	03/31/15	10/01/18	580	1.650%	95,000.00	95,000.00	-	95,000.00	653.13
FL ST Board Admin Fin Corp Taxable Rev Bonds	2.163%	03/08/16	07/01/19	853	2.160%	150,000.00	150,000.00	-	150,000.00	540.75
MS ST Taxable GO Bonds	1.679%	02/18/15	10/01/19	945	1.680%	40,000.00	40,000.00	-	40,000.00	279.83
NY Trans Fin Auth, NY Txbi Rev Bonds	2.750%	04/23/15	02/01/20	1,068	1.880%	75,000.00	76,841.47	(1,121.78)	77,963.25	171.88
CT ST Taxable GO Bonds	2.294%	03/25/15	03/15/20	1,111	2.290%	70,000.00	70,000.00	-	70,000.00	740.45
UNIV OF CAL Taxable Rev Bonds	2.253%	03/25/15	05/15/20	1,172	2.250%	50,000.00	50,000.00	-	50,000.00	331.69
NY Trans Fin Auth, NY Txbi Rev Bonds	1.500%	07/14/16	05/01/21	1,523	1.500%	100,000.00	100,000.00	-	100,000.00	500.00
FNMA Pool #AJ3174	3.500%	02/17/16	10/01/26	3,502	2.270%	61,740.14	65,468.69	(130.20)	65,598.89	180.08
FNMA Pool #AT3221	3.500%	06/17/16	03/01/27	3,653	2.270%	84,171.69	89,436.85	(48.18)	89,485.03	245.50
FNMA Pool #AS4197	3.500%	07/16/15	01/01/30	4,690	2.620%	71,318.72	75,274.10	(234.59)	75,508.69	208.01
FHLMC Pool #U49048	3.000%	03/17/16	08/01/30	4,902	2.350%	64,057.91	66,602.90	(267.56)	66,870.46	160.14
FNMA Pool #AL7738	3.500%	02/17/16	11/01/30	4,994	2.550%	75,525.05	80,265.32	(157.07)	80,422.39	220.28

DU PAGE WATER COMMISSION  
 INVESTMENTS  
 (Unaudited)  
 February 28, 2017

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 02/28/17
Water Fund L-T Water Capital Reserve (01-121900) Continued...										
FNMA Series 2015-M15 ASQ2	1.899%	11/30/15	01/01/19	672	1.200%	45,000.00	45,235.29	(214.71)	45,450.00	71.18
Fannie Mae Series 2015-M13 ASQ2	1.646%	10/30/15	09/01/19	915	1.080%	79,052.05	79,529.53	(314.14)	79,843.67	108.43
Fannie Mae Series 2016-M1 ASQ2	2.132%	01/29/16	02/01/21	1,434	1.680%	175,000.00	176,313.03	(433.89)	176,746.92	310.92
FNMA SERIES 2015	2.013%	03/31/15	07/01/22	1,949	1.710%	20,307.15	20,448.36	(61.49)	20,509.85	34.07
Fannie Mae Global Notes	0.875%	05/15/14	05/21/18	447	1.300%	425,000.00	422,800.45	4,902.20	417,898.25	1,032.99
FHLB Notes	0.625%	08/11/16	08/07/18	525	0.820%	235,000.00	234,360.97	244.57	234,116.40	97.92
Fannie Mae Global Notes	1.625%	12/31/13	11/27/18	637	1.780%	475,000.00	473,765.32	2,156.82	471,608.50	2,015.45
Fannie Mae Benchmark Notes	1.875%	05/15/14	02/19/19	721	1.550%	400,000.00	402,509.72	(3,434.28)	405,944.00	250.00
FHLB Global Note	1.125%	06/02/16	06/21/19	843	1.140%	375,000.00	374,880.05	37.55	374,842.50	820.31
FNMA Notes	1.000%	10/19/16	08/28/19	911	1.040%	2,135,000.00	2,132,948.54	297.04	2,132,651.50	59.31
FHLB Global Note	1.125%	07/13/16	07/14/21	1,597	1.230%	250,000.00	248,918.88	151.38	248,767.50	367.19
FNMA Notes	2.125%	04/28/16	04/24/26	3,342	2.210%	210,000.00	208,483.39	125.59	208,357.80	1,574.27
			Weighted Avg Maturity	1,461	1.500%	\$ 12,347,134.89	\$ 12,407,243.74	\$ 6,952.48	\$ 12,400,291.26	\$ 36,615.31
Capital Reserve (01-122000)										
IIIT - Money Market (PFM Asset Management)	0.730%	02/28/17	03/01/17	1	0.730%	632,152.27	632,152.27	-	632,152.27	-
US Treasury Notes	1.500%	12/04/15	12/31/18	671	1.220%	975,000.00	979,970.36	(3,294.29)	983,264.65	2,424.03
US Treasury Notes	1.625%	11/14/16	04/30/19	791	1.060%	915,000.00	926,024.06	(1,485.71)	927,509.77	4,969.94
US Treasury Notes	1.500%	12/05/16	11/30/19	1,005	1.450%	1,775,000.00	1,777,558.47	(214.97)	1,777,773.44	6,656.25
US Treasury Notes	1.250%	01/05/17	01/31/20	1,067	1.520%	150,000.00	148,857.02	58.19	148,798.83	150.21
US Treasury Notes	1.375%	02/01/17	02/29/20	1,096	1.570%	1,500,000.00	1,491,588.20	201.48	1,491,386.72	56.05
Intl Bank of Recons and Dev Notes (World Bank)	0.875%	04/12/16	07/19/18	506	0.950%	600,000.00	599,343.51	405.51	598,938.00	612.50
African Development Bank Note	1.625%	10/31/16	10/02/18	581	1.120%	200,000.00	201,589.34	(324.66)	201,914.00	1,345.14
Inter-American Development Bank	1.000%	04/12/16	05/13/19	804	1.100%	420,000.00	419,096.98	356.98	418,740.00	1,260.00
African Development Bank Note	1.125%	09/14/16	09/20/19	934	1.160%	235,000.00	234,787.50	36.60	234,750.90	1,182.34
UNIV OF CAL Taxable Rev Bonds	0.934%	03/25/15	05/15/17	76	0.930%	175,000.00	175,000.00	-	175,000.00	481.27
MS ST Taxable GO Bonds	1.090%	02/18/15	10/01/17	215	1.090%	35,000.00	35,000.00	-	35,000.00	158.96
Regional Trans Auth, IL Rev Bonds	1.250%	04/26/16	05/04/18	430	1.250%	600,000.00	600,000.00	-	600,000.00	3,125.00
FL ST Board Admin Fin Corp Taxable Rev Bonds	2.163%	03/08/16	07/01/19	853	2.160%	600,000.00	600,000.00	-	600,000.00	2,163.00
CT ST Taxable GO Bonds	1.300%	08/03/16	08/15/19	898	1.230%	330,000.00	330,586.35	(126.45)	330,712.80	190.67

DU PAGE WATER COMMISSION  
 INVESTMENTS  
 (Unaudited)  
 February 28, 2017

FUND SOURCE	COUPON RATE	PURCHASE DATE	MATURITY DATE		YIELD TO MATURITY (COST)	PAR VALUE	AMORTIZED COST	AMORTIZED DISCOUNT (PREMIUM)	PURCHASE PRICE	ACCRUED INTEREST 02/28/17
Capital Reserve (01-122000) Continued...										
FNMA SERIES 2015 M1	1.626%	01/30/15	02/01/18	338	1.260%	76,887.74	77,042.52	(613.52)	77,656.04	104.18
FNMA SERIES 2015 M7	1.550%	04/30/15	04/01/18	397	1.260%	105,000.00	105,289.54	(760.08)	106,049.62	135.63
FNMA Series 2014-M1 ASQ2	2.323%	09/01/16	11/01/18	611	1.150%	228,591.02	232,184.02	(1,264.58)	233,448.60	442.51
FNMA Series 2015-M15 ASQ2	1.899%	11/30/15	01/01/19	672	1.200%	130,000.00	130,679.72	(620.27)	131,299.99	205.63
FNMA Series 2016-M9 ASQ2	1.785%	06/09/16	06/01/19	823	1.050%	230,000.00	231,686.81	(613.08)	232,299.89	342.13
Fannie Mae Series 2015-M13 ASQ2	1.646%	10/30/15	09/01/19	915	1.080%	271,741.43	273,382.76	(1,079.86)	274,462.62	372.74
Freddie Mac Series 4459 NB	4.500%	06/11/15	01/01/20	1,037	0.770%	95,450.76	98,100.20	(1,973.95)	100,074.15	357.94
FNMA Series 2015-M12 FA	0.540%	09/30/15	04/25/20	1,152	0.540%	365,989.49	365,989.49	131.75	365,857.74	281.92
FNMA Series 2010-18 LV	4.500%	07/21/15	04/01/21	1,493	2.030%	206,897.02	206,897.02	(14,353.49)	221,250.51	775.86
Tennessee Valley Authority Notes	6.250%	08/19/15	12/15/17	290	1.010%	480,000.00	499,710.01	(37,878.47)	537,588.48	6,333.33
FHLMC Reference Note	0.750%	04/06/16	04/09/18	405	0.830%	725,000.00	724,370.27	508.52	723,861.75	2,144.79
Federal Home Loan Banks Agcy	0.875%	08/11/16	06/29/18	486	0.850%	450,000.00	450,121.54	(49.46)	450,171.00	678.13
Federal Home Loan Banks Agcy	0.875%	05/26/16	06/29/18	486	0.990%	785,000.00	783,792.40	684.25	783,108.15	1,182.95
FHLB Notes	0.625%	07/07/16	08/07/18	525	0.730%	750,000.00	748,922.45	482.45	748,440.00	312.50
FHLB Notes	0.625%	08/10/16	08/07/18	525	0.810%	870,000.00	867,747.55	862.15	866,885.40	362.50
FHLB Global Note	0.875%	08/25/16	10/01/18	580	0.910%	550,000.00	549,716.37	90.37	549,626.00	2,005.21
FNMA Benchmark Note	1.125%	09/01/15	10/19/18	598	1.180%	1,475,000.00	1,473,741.16	1,130.66	1,472,610.50	6,084.38
FHLB Notes	1.250%	12/08/16	01/16/19	687	1.250%	700,000.00	699,973.01	1.01	699,972.00	1,093.75
FNMA Benchmark Note	1.375%	01/08/16	01/28/19	699	1.400%	1,255,000.00	1,254,508.38	286.48	1,254,221.90	1,581.82
FNMA Benchmark Note	1.000%	02/23/16	02/26/19	728	1.080%	1,475,000.00	1,472,689.03	1,170.03	1,471,519.00	204.86
Freddie Mac Notes	1.125%	03/31/16	04/15/19	776	1.050%	925,000.00	926,543.98	(657.52)	927,201.50	3,931.25
FHLB Global Note	1.125%	06/27/16	06/21/19	843	0.820%	1,125,000.00	1,132,915.78	(2,280.64)	1,135,196.42	2,460.94
FHLMC Reference Note	0.875%	07/19/16	07/19/19	871	0.960%	650,000.00	648,745.60	318.60	648,427.00	663.54
FNMA Benchmark Note	0.875%	07/29/16	08/02/19	885	0.930%	750,000.00	748,981.16	241.16	748,740.00	528.65
FNMA Notes	0.875%	08/31/16	08/28/19	911	1.050%	1,675,000.00	1,672,810.86	423.86	1,672,387.00	46.53
FHLB Global Note	1.000%	10/03/16	09/26/19	940	1.010%	750,000.00	749,773.16	35.66	749,737.50	3,229.17
FNMA Notes	1.500%	02/24/17	02/28/20	1,095	1.520%	750,000.00	749,520.44	0.44	749,520.00	31.25
Bank of Montreal Chicago	0.000%	06/16/16	03/03/17	3	1.040%	600,000.00	596,942.00	2,882.00	594,060.00	-
BNP Paribas NY CP	0.000%	10/21/16	07/18/17	140	1.330%	600,000.00	596,843.00	533.00	596,310.00	-
Cooperatieve Rabobank U. A. CP	0.000%	02/16/17	10/23/17	237	1.290%	800,000.00	793,287.11	341.33	792,945.78	-
Bank of Montreal Chicago CP	0.000%	02/16/17	11/13/17	258	1.330%	800,000.00	792,461.33	352.00	792,109.33	-
Weighted Avg Maturity			689		1.147%	\$ 29,792,709.73	\$ 29,806,922.73	\$ (56,056.52)	\$ 29,862,979.25	\$ 60,669.45
TOTAL ALL FUNDS					1.182%	\$143,523,795.65	\$ 144,057,785.15	\$ (164,170.08)	\$144,221,955.23	\$ 297,859.68
Less: Net Unsettled Trades							\$ 144,057,785.15			
February 28, 2017					90 DAY US TREASURY YIELD		Longest Maturity	3,342	\$ 210,000.00	
					3 month US Treasury Bill Index	0.52%				
					0-3 Year US Treasury Index	0.49%				
					1-3 Year US Treasury Index	1.00%				
					1-5 Year US Treasury Index	1.17%				
					1-10 Year US Treasury Index	1.40%				
						1.62%				

DUPAGE WATER COMMISSION  
ELMHURST, ILLINOIS  
TREASURER'S REPORT  
STATEMENT OF CASH FLOWS  
For the Period from May 1, 2016 to February 28, 2017

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CASH FLOWS FROM OPERATING ACTIVITIES

Cash received from customers	\$ 121,233,159
Cash payments to suppliers	(92,974,671)
Cash payments to employees	<u>(2,452,455)</u>
Net cash from operating activities	25,806,033

CASH FLOWS FROM NONCAPITAL  
FINANCING ACTIVITIES

Cash received from sales taxes	12,450,315
Cash received/paid from long term loans	(12,981,589)
Cash payments for net pension activity	<u>(300,000)</u>
Net cash from noncapital financing activities	(831,274)

CASH FLOWS FROM CAPITAL AND  
RELATED FINANCING ACTIVITIES

Interest paid	(43)
Principal Paid	(3,240)
Construction and purchase of capital assets	<u>(3,768,726)</u>
Net cash from capital and related financing activities	(3,772,009)

CASH FLOWS FROM INVESTING ACTIVITIES

Investment income	<u>1,445,308</u>
Net cash from investing activities	1,445,308

Net Increase (Decrease) in cash and investments 22,648,058

CASH AND INVESTMENTS, MAY 1, 2016 136,290,161

CASH AND INVESTMENTS, FEB 28, 2017 \$ 158,938,219

February 28, 2017  
 TREASURER'S REPORT  
 DPWC MONTHLY CASH/OPERATING REPORT


	2/28/2017		
	YEAR END TARGETED Reserve or Monthly Cash Amount-Needed	Amount On Hand	Amount Over - (Under) Target
	A	B	C
<b>TABLE 1</b>			
<b>RESERVE ANALYSIS</b>			
A .Operating Reserve <i># of days per current fiscal year management budget</i>	\$ 39,602,480 120	\$ 67,138,861 203	\$ 27,536,381
B. Capital Reserve	\$ 29,361,790	\$ 29,788,124	\$ 426,334
C. Long Term Water Capital Reserve	\$ 9,925,000	\$ 12,407,244	\$ 2,482,244
D. O+M Account (1)	\$ 8,408,920	\$ 29,897,158	\$ 21,488,238
E. Current Construction Obligation and Customer Construction Escrows	\$ 18,799	\$ 18,799	\$ -
<b>TOTAL SUMMARY CASH + RESERVE ANALYSIS</b>	<b>\$ 87,316,989</b>	<b>\$ 139,250,185</b>	<b>\$ 51,933,196</b>

<b>TABLE 2</b>	
<b>OTHER CASH</b>	
F. General Fund	\$ 19,687,760
G. Sales Tax	\$ 275
<b>TOTAL TABLE 2-OTHER CASH</b>	<b>\$ 19,688,034</b>
<b>TOTAL MONTH END FUNDS CASH BALANCE-Table1+2</b>	<b>\$ 158,938,219</b>

Note 1: The O&M Account target varies from month to month. The cash balance should be enough to cover the current months operating cash outflows.

DATE: March 8, 2017

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Engineering & Construction Committee	<b>ORIGINATING DEPARTMENT</b>	Operations								
<b>ITEM</b>	A Resolution Approving and Ratifying Certain Task Orders Under a Master Contract with AECOM  Resolution No. R-7-17	<b>APPROVAL</b>									
Account No.: 01-60-711500 - \$325,000.00											
<p>The Commission entered into Master Contracts with several firms for professional engineering services. Staff requested proposals from four (4) of these firms for Professional Design Services to provide lake Michigan water to the Village of Bartlett. The project is included as a Capital Project in the Fiscal Year 2017/2018 Management Budget.</p> <p>Four (4) proposals were received, as tabulated below, and the proposal deemed most favorable to the Commission is the proposal of AECOM.:</p>											
<table border="1"><tr><td>AECOM</td><td>\$325,000</td></tr><tr><td>Lockwood, Andrews &amp; Newman</td><td>\$680,000</td></tr><tr><td>Christopher B. Burke</td><td>\$711,833</td></tr><tr><td>Black &amp; Veatch</td><td>\$1,840,000</td></tr></table>				AECOM	\$325,000	Lockwood, Andrews & Newman	\$680,000	Christopher B. Burke	\$711,833	Black & Veatch	\$1,840,000
AECOM	\$325,000										
Lockwood, Andrews & Newman	\$680,000										
Christopher B. Burke	\$711,833										
Black & Veatch	\$1,840,000										
<p>Resolution No. R-7-17 would approve the following Task Order to the Master Contract with AECOM. This Task Order will provide Preliminary and Final Designs services for the DWC Supply Line to the Village of Bartlett Project.</p>											
<b>MOTION:</b> To adopt Resolution No. R-7-17.											

## DuPAGE WATER COMMISSION

## RESOLUTION NO. R-7-17

A RESOLUTION APPROVING AND RATIFYING CERTAIN  
TASK ORDERS UNDER A MASTER CONTRACT  
WITH AECOM AT THE  
MARCH 16, 2017, DuPAGE WATER COMMISSION MEETING

WHEREAS, the DuPage Water Commission (the "Commission") entered into a contract with AECOM (the "Consultant") to provide, from time to time, professional engineering services in connection with various projects of the Commission (the "Master Contract"); and

WHEREAS, the Master Contract sets forth the terms and conditions pursuant to which the Commission will obtain from time to time, and the Consultant will provide from time to time, professional engineering services for such discrete projects as are delineated and described in Task Orders to be approved by the Commission and the Consultant; and

WHEREAS, the Consultant has approved the Task Orders attached hereto and by this reference incorporated herein and made a part hereof as Exhibit 1 (the "Task Orders");

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: The foregoing recitals are incorporated herein and made a part hereof as findings of the Board of Commissioners of the DuPage Water Commission.

SECTION TWO: The Task Orders attached hereto as Exhibit 1 shall be and hereby are approved and, if already issued, ratified because the Board of Commissioners of the DuPage Water Commission has determined, based upon the representations of staff and the Consultant, that the circumstances said to necessitate the Task Orders were not reasonably foreseeable at the time the Master Contract was signed, the Task Orders are



Resolution No. R-7-17

germane to the Master Contract as signed, and/or the Task Orders are in the best interest of the DuPage Water Commission and authorized by law.

SECTION THREE: This Resolution shall constitute the written determination required by Section 33E-9 of Article 33E of the Criminal Code of 1961 and shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2017.

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk

Board/Resolutions/R-7-17.docx

**EXHIBIT 1**

## TASK ORDER NO. 4

In accordance with Section 1.1 of the Master Contract for Professional Engineering Services Owner and Consultant agree as follows:

1. **Project:**

Design a 30" diameter Transmission Main to serve the Village of Bartlett. The route begins in Roselle in the vicinity of DWC Standpipe No. 1. The route proceeds directly south to Central Avenue. At this point, the main goes west in Central Avenue to Bartels Road where it turns south to US-20. The main goes northwest along US-20 to Greenbrook Boulevard where it proceeds southwest in the Boulevard until the Boulevard goes west and becomes Stearns Road. It then proceeds west and southwest to Bittersweet Drive where it turns south and terminates approximately 1700 feet at a proposed meter station (to be designed by others).

2. **Services of Consultant:**

**Task 1 — Kick-Off Meeting:** The first order of work on this project will be to schedule and conduct a kick-off meeting with the Commission and the Village of Bartlett. The purpose of the meeting is to:

- Establish lines of communication
- Review scope and identify any potential problems
- Discuss proposed water main routing and property easement acquisition

Likewise, similar separate meetings will take place with the Commission and the Village of Hanover Park and the Village of Roselle to discuss routing through their respective Villages. AECOM will prepare meeting minutes to capture and document all important decisions made and will distribute to all attendees.

**Task 2 — Information Gathering and Field Investigations:** Our surveyors will perform a topographical survey of the proposed water main routes within the right-of-way limits. The surveyors will open all manholes and valve vaults and boxes to ascertain the underground utilities and their invert elevations.

AECOM will determine if improvements require easements or can be installed within public right-of-way. We will provide information pertaining to the properties needed for easement acquisition.

**Task 3 — Preliminary (60 Percent) Design Phase:** For the proposed water mains, AECOM will prepare drawings of the project areas at one-inch = 50 feet horizontal

scale and an appropriate vertical scale on plan and profile sheets utilizing AutoCAD version 2014.

A submittal, Deliverable No. 1, will then be made to the Commission for review and comment. AECOM will discuss the preliminary design with the Commission personnel in a meeting to efficiently obtain comments. AECOM will capture both

internal and client comments into document review forms, and track the status of response/action to assure that all comments are satisfactorily addressed.

**Task 4 — Pre-Final (90 Percent) Design Phase:** Using the input from the Commission about the 60% design level, AECOM will prepare 90% complete construction documents, including details and specifications necessary for bidding and constructing the two projects.

A submittal, Deliverable No. 2, will be made to the Commission for review and comment. AECOM will discuss the 90% design with Commission personnel to obtain comments. Comments from internal and Commission review will be compiled and incorporated.

**Task 5 — Final (100 Percent) Design Phase:** Using Commission input from the 90% design level, as well as any permitting comments received back from various permitting agencies, AECOM will prepare 100% complete construction documents (plans and specifications) and a cost estimate for bidding.

**Task 6 — Bid Period Services:** AECOM will prepare an Invitation to Bid suitable for publication and contact contractors who may be interested in submitting bids. We maintain a list of competent contractors and suppliers, classified by specialty and geographic location. From this list, AECOM will work with Commission staff to pre-qualify bidders, utilizing a form that AECOM has developed.

Upon receiving the bid packages, AECOM will review them and make inquiries as necessary to determine that the bids are in conformance with specifications. We will make inquiries as required to verify the qualifications of bidders. AECOM will make a recommendation of award based on these review and inquiries, as well as selection of any alternate bid items or major equipment items.

The total construction length of transmission main will be split into two separate contracts and each will have their own contract specifications and contract plans, and be bid separately at different times. Bid document should contain an option for the Commission to pre-purchase the pipe.

Our anticipated lists of drawings and technical specifications for each of the two (2) projects are as follows:

**Drawings**

The previously prepared sheets need to be revised and supplemented as needed. Please note the following summary:

**Phase I**

- 1- Cover Sheet (mostly complete)
- 2- General Notes and Benchmark (not complete)
- 3- Plan and Profile — 30-inch or 36-inch Diameter Water Main (not complete)
- 4- Plan and Profile — 30-inch or 36-inch Diameter Water Main (not complete)
- 5- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 6- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 7- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 8- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 9- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 10- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 11- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 12- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)

- 13- Traffic Control — General (Central Avenue, Bartels Road, US-20, Stearns Road, and Bittersweet Drive) (not complete)
- 14- Traffic Control — Standard Details (not complete)
- 15- Traffic Control — Standard Details (not complete)
- 16- Construction Details (complete)
- 17- Construction Details (complete)
- 18- Construction Details (complete)
- 19- Corrosion Control Details (mostly complete)

**Phase II**

- 1- Cover Sheet (mostly complete)
- 2- General Notes and Benchmark (not complete)
- 3- Plan and Profile — 30-inch or 36-inch Diameter Water Main (not complete)
- 4- Plan and Profile — 30-inch or 36-inch Diameter Water Main (not complete)
- 5- Plan and Profile — 30-inch or 36-inch Diameter Water Main (not complete)
- 6- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 7- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 8- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 9- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 10- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)

- 11- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 12- Plan and Profile — 30-inch or 36-inch Diameter Water Main (mostly complete)
- 13- Plan and Profile — 30-inch or 36-inch Diameter Water Main (not complete)
- 14- Plan and Profile — 30-inch or 36-inch Diameter Water Main (not complete)
- 15- Traffic Control — Typical Section (not complete)
- 16- Traffic Control — Typical Section (not complete)
- 17- Traffic Control — Maintenance of Traffic (Greenbrook Boulevard) (not complete)
- 18- Traffic Control — Maintenance of Traffic (Greenbrook Boulevard) (not complete)
- 19- Traffic Control — Maintenance of Traffic (Greenbrook Boulevard) (not complete)
- 20- Traffic Control — Maintenance of Traffic (Greenbrook Boulevard) (not complete)
- 21- Traffic Control — Maintenance of Traffic (Greenbrook Boulevard) (not complete)
- 22- Traffic Control — Maintenance of Traffic (Greenbrook Boulevard) (not complete)
- 23- Traffic Control — Maintenance of Traffic (Greenbrook Boulevard) (not complete)
- 24- Traffic Control — General (Bartels Road, US-20, Stearns Road, and Bittersweet Drive) (not complete)
- 25- Traffic Control — General (Bartels Road, US-20, Stearns Road, and Bittersweet Drive) (not complete)
- 26- Traffic Control — Standard Details (not complete)

- 27- Traffic Control — Standard Details (not complete)
- 28- Construction Details (complete)
- 29- Construction Details (complete)
- 30- Construction Details (complete)
- 31- Corrosion Control Details (mostly complete)

**List of Technical Specifications**

Division 1 — General Requirements

- 1000 — Summary of Work 1010 — Drawing Index
- 1075 — Basis of Payment 1300 — Submittals
- 1500 — Temporary Facilities and Controls
- 1570 — Traffic Regulation 1600 — Products
- 1700 — Project Closeout

Division 2 — Site Construction 2020 — Dewatering

- 2025 — Existing Utilities and Structures
- 2055 — Soils
- 2060 — Aggregate
- 2105 — Clearing and Grubbing
- 2210 — Trenching, Backfilling and Compacting
- 2315 — Excavation and Fill
- 2513 — Steel Pipe Water Main
- 2514 — Ductile Iron Pipe Water Main
- 2518 — Thrust Restraints
- 2519 — Buried Valves



2633 — Valve Vaults, Manholes, Frames and Covers 2721 — Aggregate Base Course

2740 — Flexible Pavement

2750 — Rigid Pavement

2923 — Landscape Grading

2924 — Seeding and Soil Supplements

**The Consultants Agreement for this work also included the following assumptions:**

1. Task Order does not include any additional soil borings for the original route.
2. Consultant will include the following meetings: three (3) kick-off; one (1) 60 percent design, four (4) Progress, and one (1) 90 percent design;
3. Task Order does not include costs for preparation of legal descriptions and exhibits for possible easements. These costs will be determined once the type, location and number of easements are determined.
4. Consultant will not include any additional hydraulic modeling as that task has already been completed.
5. Consultant will not include any costs for environmental impacts or wetland investigations as at this time, it is unknown if there will be any encountered.
6. The DuPage River crossing will either be by directional drill or jack and bore.
7. The US-20 crossing will be by jack and bore.
8. Detailed maintenance of traffic (MOT) plan (i.e. per DuDOT/IDOT standards needed only for Greenbrook Boulevard, which is a DuPage DOT highway in Hanover Park). Other roads will require less detailed MOT plan No other roadway design (signals, etc.) will be required.
9. No remote operated valves are required as there are none indicated in the existing AutoCAD design files.

10. Consultant will assist the Commission in obtaining all permits including the IEPA.
11. Construction sequencing is included (linestops, pressure connections, etc.).
12. It is possible that the Commission will change the diameter from 30-inch to 36-inch.
13. After additional review of the CAD files, it appears that all of the transmission main in Greenbrook Boulevard was designed to be in the public right-of-way. We are not aware of any easements in this corridor that are being considered, though DWC has indicated that easements do exist in this area.

Notwithstanding anything hereunder or in the Agreement, Consultant shall provide its services in a manner consistent with a standard provided by similar professionals, in a similar location, at a similar time. It is also understood that Consultant shall not be responsible for any means, methods, techniques, sequences and safety related to construction.

3. **Approvals and Authorizations:** Consultant shall obtain the following approvals and authorizations:

Consultant may consider this Task Order as authorization to proceed with preliminary design work prior to full Board approval. Consultant should have the understanding that they will be compensated for all work completed prior to full Board Approval if the Board decides to move forward in a different direction.

4. **Commencement Date:**

March 1 2017

5. **Completion Date:**

This project has many time constraints and expedience is of the utmost importance. The completion date will 180 days following the Commencement Date plus extensions, if any, authorized by a Change Order issued pursuant to Section 2.1 of the Contract.

6. **Submittal Schedule:**

Consultant should be positioned to begin design immediately after receiving a signed Task Order.

Surveyor should be committed to finishing a complete, new survey in two (2) months.

Consultant Should schedule a meeting in conjunction with the Commission staff with the Villages of Bartlett, Hanover Park and Roselle within two (2) weeks after receiving the signed Task Order.

60% plans and specifications will be sent to the Commission one (1) month after the Central Avenue survey work is completed. The surveyors should start at the east end of the project and the Phase One project will be Central Avenue (see attached figure). Based on this schedule, and assuming all permits and easements are in hand, the Phase One Project (Central Avenue) should be ready to go out to bid five (5) months after the signed Task Order is received and the Phase Two Project should be ready to go out for bid six (6) months after the signed Task Order is received, again assuming all permits and easements are finalized.

The critical path items for this project are as follows (in order of most important first):

1. Easements
2. Pipeline Routing
3. Transmission Main Alignment

7. **Key Project Personnel:**

None

8. **Contract Price:**

For providing, performing, and completing all Services, an amount equal to Consultant's Direct Labor Costs for all Services rendered by principals and employees engaged directly on the Project, plus an amount equal to the actual costs of all Reimbursable Expenses.

Notwithstanding the foregoing, the total Contract Price shall be \$ 325,000.00, The contact price maybe adjusted by a Change Order issued pursuant to Section 2.1 of the Contract.

9. **Payments:**

Direct Labor Costs shall mean the billing rate of all Consultants personnel including all professionals whether owners or employees, engaged directly on the Project.

Reimbursable Expenses shall mean the actual expenses incurred by Consultant directly or indirectly in connection with the Project, including expenses for transportation, telephone, postage, computer time and other highly specialized equipment, reproduction and similar Project related items.

10. **Modifications to Contract:**

None

11. **Attachments:**

None

Approval and Acceptance: Acceptance and approval of this Task Order, including the attachments listed above, shall incorporate this Task Order as part of the Contract.

The Effective Date of this Task Order is March 1, 2017.

DUPAGE WATER COMMISSION

By:



John Spatz  
General Manager

DESIGNATED REPRESENTATIVE FOR TASK ORDER:

Name: Terry McGhee

Title: Manager of Operations

Address: 600 East Butterfield Road, Elmhurst, Illinois 60126-4642

E-mail Address: mcghee@dpwc.org

Phone: (630) 834-0100

Fax: (630) 834-0120

Consultant

By:

Michael H. Winegard P.E.

Name:

Michael H. Winegard

Title:

Vice President

DESIGNATED REPRESENTATIVE FOR TASK ORDER:

Name: Michael H. Winegard

Title: Vice President

Address: 303 East Wacker Dr.

E-mail Address: [mike.winegard@aecom.com](mailto:mike.winegard@aecom.com)

Phone: (312) 373-6631

# REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Engineering Committee	<b>ORIGINATING DEPARTMENT</b>	Pipeline
<b>ITEM</b>	<p>A Resolution Directing Advertisement for Bids For Quick Response Contract QR-11/17</p> <p>Resolution No. R-8-17</p>	<b>APPROVAL</b>	CAP
<p>Account Number: 01-60-663100</p>			
<p>The Commission entered into certain agreements beginning July 1, 2013 with John Neri Construction Co. Inc. and Rossi Contractors, Inc. for quick response construction work as needed through the issuance of Work Authorization Orders (Contract QR-10/13). These agreements are scheduled to end on June 30, 2017 and staff desires to continue to retain, through June 30, 2019, with the option of extending the contracts through June 30, 2021, stand-by contractors to perform construction work that the Commission is unable to perform through its own personnel and with its own equipment.</p>			
<p>Approval of Resolution No. R-8-17 would authorize advertisement for bids for Quick Response Contract QR-11/17 and would establish all requirements necessary for the bidding, for the award of the contract, and for the approval of the contractor's bonds, all as required by state statute. Approval of this resolution does not authorize construction work or any expenses other than the costs associated with the publication of advertisements as required by the Water Commission Statute.</p>			
<p><b>MOTION:</b> To approve Resolution No. R-8-17.</p>			

## DUPAGE WATER COMMISSION

## RESOLUTION NO. R-8-17

A RESOLUTION DIRECTING ADVERTISEMENT  
FOR QUICK RESPONSE CONTRACT QR-11/17

BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: Advertisement for Bids. The General Manager is hereby authorized and directed to advertise for bids for the contract entitled "Quick Response Contract QR-11/17" in accordance with the requirements of 65 ILCS 5/11-135-5.

SECTION TWO: Notice Inviting Bids. The Notice inviting bids on the Contract shall be in substantially the form of the "Invitation for Bids" attached hereto as Exhibit A and by this reference incorporated herein and made a part hereof.

SECTION THREE: Requirements for Bidding. Sealed envelopes or packages containing bids for the performance of the Contract shall be submitted to the Commission in accordance with the "Instructions to Bidders" substantially in the form attached hereto as Exhibit B and by this reference incorporated herein and made a part hereof.

SECTION FOUR: Awarding of Contracts. The Commission will award the Contract(s) to the bidder(s) whose bid(s) are found to be in the best interests of the Commission. The bidder(s) who are receiving an award shall be determined in accordance with Article VIII of the Commission By-Laws and the Instructions to Bidders substantially in the form attached hereto as Exhibit B.

SECTION FIVE: Approval of Bonds. The approval of contractors' faithful performance and payment bonds shall be subject to the requirements set forth in the Contract Documents.

SECTION SIX: Effective Date. This resolution shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED this \_\_\_\_\_ day of \_\_\_\_\_, 2017

\_\_\_\_\_  
Chairman

ATTEST:

\_\_\_\_\_  
Clerk



EXHIBIT A

**DUPAGE WATER COMMISSION  
CONTRACT QR-11/17  
QUICK RESPONSE CONTRACT**

**INVITATION FOR BIDS**

**1. Invitation to Bid**

The DUPAGE WATER COMMISSION (the "Commission") will receive sealed proposals until 1:00 p.m., local time, **[TBD]**, 2017, at the office of the Commission, 600 East Butterfield Road, Elmhurst, Illinois 60126-4642, for quick response construction work related to the Commission's Waterworks System that the Commission is unable to perform through its own personnel and with its own equipment, as determined by the Commission in its sole and absolute discretion, at which time or as soon thereafter as possible, all bids will be publicly opened and read aloud.

**2. Contract Documents**

The Contract Documents, as may be modified by Addenda, consist of the following component parts, all of which are by this reference made a part hereof as though fully set forth herein: the Invitation for Bids; the General Instructions to Bidders; the Work Authorization Order(s), if any; the General Conditions of Contract; the Specifications, and the Contract Drawings, if any; the Bidder's Proposal, including the Work History Statement; and the Contract Agreement. The Contract Documents may be examined at the office of the Commission. A copy of the Contract Documents may be purchased at the offices of the Commission upon payment of \$25.00 per set, which fee is nonrefundable. Persons requesting documents to be sent by mail shall include an additional \$10.00 per set to cover postage and handling.

**3. Bid Security, Bonds and Insurance**

Each Bidder's Proposal shall be accompanied by a bid security of \$50,000 as specified in the Contract Documents. The successful bidder(s) will be required to furnish Bonds and Certificates and Policies of Insurance in accordance with the Contract Documents at the Closing.

**4. Pre-Bid Conference**

A pre-bid conference of all prospective Bidder's and/or their representatives shall be held at Owner's office listed above on **[TBD]**, 2017, at 10:00 a.m. All prospective Bidder's and/or their representatives are strongly encouraged to attend the pre-bid conference.

DATED this **[day of XXXX]** 2017.

DUPAGE WATER COMMISSION

By: /s/ John Spatz  
General Manager

EXHIBIT B

**GENERAL INSTRUCTIONS TO BIDDERS**

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**1. Introductory Information; Examination of Contract Documents**

(a) The Commission. The Commission is a county water commission, duly organized and existing under the laws of the State of Illinois. The Commission provides its Charter Customers and other customers in the DuPage County area with a common source of supply of water from Lake Michigan. The Commission purchases treated lake water from the City of Chicago, who delivers the water to the Commission in the City of Chicago, and the water is then transported from the City of Chicago to and throughout the DuPage County area. A general plan depicting the Commission's Waterworks System is included as an Appendix to the Contract Documents.

(b) Contract Documents. Prospective bidders shall, before submitting a bid, carefully examine the Contract Documents, which consist of the Invitation for Bids, General Instructions to Bidders, Work Authorization Order(s), if any, the General Conditions of Contract, Specifications and the Contract Drawings, if any, the Bidder's Proposal, including the Work History Statement, and the Contract Agreement, all as may be modified by Addenda, and all of which contain provisions applicable not only to any successful bidder but also to any Subcontractors of a successful bidder.

Special attention shall be given to the cost and feasibility of the procedures necessary for maintenance of a successful bidder's ability to meet minimum response times and uninterrupted

operations; the need to interrupt operations for any reason; the availability and cost of labor; and the availability and cost of facilities for transportation, handling and storage of materials and equipment.

(c) Work Authorization Orders. The Commission may award a Contract to more than one bidder. The Commission shall not be obligated to issue any Work Authorization Orders under any Contract awarded. The Commission reserves the right to select which Contract, among any of the Contracts awarded, under which any such Work Authorization Order shall be issued. The Commission further reserves the right, in the exercise of its sole discretion, but shall not be obligated, to direct a successful bidder to use Commission supplied appurtenances or other materials in the performance of any Work ordered pursuant to a Work Authorization Order. Bidders shall not, after submission of their proposal, dispute or complain nor assert that there was any misunderstanding in regard to the nature or the amount of Work to be done.

(d) Representation and Warranty of Bidder. All prospective bidders submitting a proposal expressly represent and warrant that by virtue thereof they have had an adequate period of time to conduct the independent investigation required by these Instructions during the bid period.

(e) Remedies for Failure to Comply. Any successful bidder will be responsible for all errors in its proposal resulting from bidder's failure or neglect to comply with these Instructions. No extra compensation will be allowed by reason of any such errors or by reason of any matters or things concerning which bidder failed or neglected to inform itself prior to bidding. The Commission will, in no case, be responsible for any additional compensation or any change in anticipated profits from such errors, failures or neglect and any successful bidder shall bear all costs associated therewith or arising therefrom.

## 2. Interpretation of Contract Documents

(a) Addenda. If any prospective bidder is in doubt as to the true meaning of any part of the Contract Documents, bidder may submit to the Commission a written request for an interpretation thereof at least ten (10) calendar days before the scheduled opening of bids. The person submitting the request will be responsible for its prompt delivery.

Any interpretation of the Contract Documents will be made only by Addendum duly issued or delivered by the Commission to each person receiving a set of the Contract Documents.

All Addenda issued prior to the opening of bids shall become a part of the Contract Documents.

Those questions not resolved by an Addendum shall not be considered valid questions.

(b) Informal Responses. The Commission will not give oral answers to any inquiries regarding the meaning of the Contract Documents or oral instructions prior to the award of the Contract nor any indication as to the validity of any inquiry. Any oral statement regarding same by any persons, prior to the award, shall not be binding, shall be deemed to be unauthorized and given informally for the information and convenience of bidder, shall not be guaranteed and shall not be relied upon by any bidder. Bidder hereby agrees that such information shall not be used as a basis of, nor shall the giving of any such information entitle bidder to assert, any claim or demand against the Commission, its officers, employees, agents, attorneys or engineers on account thereof.

## 3. Prevailing Wages

In accordance with the Prevailing Wage Act, 820 ILCS 130/0.01 *et seq.*, not less than the prevailing rate of wages for similar work in the locality in which the Work is to be performed shall be

paid to all laborers. A copy of the Commission's "Ordinance Ascertaining the Prevailing Rate of Wages in DuPage County and Cook County," in effect as of the date of the Invitation for Bids, is attached to the Contract Documents as an Appendix. If the Illinois Department of Labor revises the prevailing rate of hourly wages to be paid, the revised rate shall apply to the Contract.

4. **Taxes**

The Commission is exempt from state and local sales and use taxes and certain federal excise taxes. A letter of exemption will be provided to any bidder to whom a Contract is awarded, if necessary. The Commission will not reimburse nor assist any successful bidder in obtaining reimbursement for any state or local sales, use or excise taxes paid by that successful bidder. Successful bidders shall be required to reimburse the Commission for any such taxes paid, all as is more specifically provided in the General Conditions.

Any other applicable taxes, including without limitation employment taxes (F.I.C.A, Federal Unemployment Compensation taxes, State Unemployment Compensation taxes, etc.), shall be incidental to, and included within, the rates and fees stated in proposals.

5. **Preparation of Bidder's Proposal**

A bid on the Work shall be made only on the blank proposal form furnished by the Commission and included in the Contract Documents. Entries on the bidder's proposal form shall be typed or legibly written in ink. Bid prices are to be written by words and/or by figures as provided on the blank proposal form included in the Contract Documents, and in case of any conflict, words will prevail. In case of any error in adding or multiplying individual items, the prices listed for individual items shall control over any incorrect total of such items. A proposal may be rejected if it does not contain a requested price for each and every item named in the proposal or may be interpreted as bidding "no charge" to the Commission for any item left blank.

Bidders are warned against making alterations of any kind, and proposals that contain omissions, conditions, alterations, or additions not called for may be rejected or interpreted so as to be most favorable to the Commission.

Proposals that are not submitted on the proposal form included in the Contract Documents or that are separated from the Contract Documents may be rejected.

The bidder shall staple, or otherwise bind into the Contract Documents submitted, a copy of each Addendum issued for the Contract Documents during the bidding period and include on the proposal a listing of such Addenda where required. Proposals that fail to comply with this Instruction may be rejected.

All bidders submitting a proposal shall be conclusively deemed to have evidenced an intention to be bound thereby whether or not the requirements for signing proposals found in Section 6 of these Instructions are complied with. However, proposals that fail to comply with Section 6 of these Instructions may nevertheless be rejected as provided for therein.

If a deficiently prepared proposal is not rejected, the Commission may demand correction of any deficiency and award a Contract to the bidder upon satisfactory compliance with this Instruction.

6. **Requirements for Signing Proposals**

The following requirements must be observed in the signing of proposals:

- (a) Individuals. Proposals that are signed for an Individual shall be signed by such individual or signed by an attorney-in-fact. If signed by an attorney-in-fact, there shall be attached to the proposal a power of attorney evidencing authority to sign the proposal, executed by such individual.
- (b) Partnerships. Proposals that are signed for a Partnership shall have the correct Partnership name thereof, State of registration, address of its principal place of business, and shall be signed by all of the General Partners or by an attorney-in-fact. If signed by an attorney-in-fact, there shall be attached to the proposal a power of attorney evidencing authority to sign the proposal, executed by all of the General Partners.
- (c) Corporations. Proposals that are signed for a Corporation shall have the correct corporate name thereof, State of incorporation, address of its principal place of business, and the signature of the President or other authorized officer of the Corporation, manually written below the corporate name following the word "By: \_\_\_\_\_." A certified copy of a resolution of the Board of Directors evidencing the authority of the official signing the proposal to sign the proposal shall be attached to it. The proposal shall also bear the attesting signature of the Secretary or Assistant Secretary of the Corporation.
- (d) Joint Ventures. Proposals that are signed for a Joint Venture shall have the correct joint venture name thereof, address of its principal place of business and date of joint venture agreement and shall be signed by each signator of the joint venture agreement in accordance with the applicable provisions of (a), (b) and (c) above.

Proposals that fail to comply with this Instruction may be rejected, or, if not rejected, the Commission may demand correction thereof and award a Contract to the bidder upon satisfactory compliance with this Instruction.

## 7. Bid Security

(a) Requirement; Deficiencies. A Bid Bond, Cashier's Check or Certified Check drawn on a solvent bank and insured by the Federal Deposit Insurance Corporation and payable without condition to the Commission, for not less than Fifty Thousand Dollars (\$50,000.00), shall accompany each proposal. The Bid Bond shall be in a form satisfactory to the Commission from a surety company meeting the requirements set forth below with respect to Performance and Labor and Material Payment Bonds. Proposals may be rejected unless accompanied by a Bid Bond, Cashier's Check or Certified Check as set forth in the preceding sentence, as a guaranty that (i) if bidder is determined to be one of the "most favorable bidders" (see Section 13(b) below), bidder will submit all additional information requested by the Commission, and (ii) if the bid is accepted, bidder will timely execute the Contract Agreement. If a proposal with deficient bid security is not rejected, the Commission may demand correction of any deficiency and award a Contract to bidder upon satisfactory compliance with this Instruction.

(b) Return of Bid Securities. Bid securities submitted in the form of Cashier's Checks or Certified Checks will be returned to all except the "most favorable bidders" within five (5) workdays after the opening of bids, and to the "most favorable bidders" within five (5) workdays after execution of a Contract Agreement by the Commission for all Contracts awarded. Bid Bonds will not be returned unless otherwise requested by the bidder.

(c) Liquidated Damages. If a "most favorable bidder" fails to timely submit all additional information requested by the Commission, or if a successful bidder fails to timely execute the Contract Agreement, it will be difficult and impracticable to ascertain and determine the amount of damage that the Commission will sustain by reason of such failure. For such reason, it is agreed that, at the Commission's option, bidder shall pay to the Commission, as liquidated damages and not as a penalty, the entire amount of the bid security in full settlement of all damages, or the Commission shall be entitled to exercise any and all equitable remedies it may have against the defaulting bidder for specific performance. Bidder, by submitting a proposal, specifically agrees to this provision.

## 8. Surety and Insurance Commitments

Proposals may be rejected unless accompanied by:

- (i) A letter from a surety company, licensed to do business in the State of Illinois with a general rating of A minus or better and a financial size category of Class X or better in Best's Insurance Guide, stating that it will execute a Performance Bond and a Labor and Material Payment Bond, each in the penal sum of Fifty Thousand Dollars (\$50,000.00) in the form included with the Contract Documents upon award of the Contract to the bidder.
- (ii) A letter from the bidder's insurance representative certifying that said insurer has read the insurance requirements set forth in the Contract Documents and will issue the required policies at the time requested upon award of the Contract to the bidder.

If a proposal deficient in required surety and insurance commitments is not rejected, the Commission may demand correction of any deficiency and award a Contract to the bidder upon satisfactory compliance with this Instruction.

## 9. Filing of Proposal

One copy of each proposal, properly signed, together with the bid security, surety and insurance commitment letters and all other documents required to be bound thereto, shall be enclosed in a sealed envelope or package addressed and delivered to the office designated in the Invitation for Bids. Sealed envelopes or packages containing proposals shall be identified as such and shall be marked with the title of the Contract and the bidder's full legal name. Any documents designated in the proposal form, including any Addenda officially issued by the Commission, will be considered part of the proposal whether attached or not. The proposal shall not be removed from these bound documents.

## 10. Withdrawal of Proposal

Any proposal may be withdrawn at any time prior to the opening of bids, provided that a request in writing, executed by the bidder in the manner specified in Section 6 of these Instructions, for the withdrawal of such bid is filed with the Commission prior to the opening of bids. The withdrawal of a bid prior to bid opening will not prejudice the right of the bidder to file a new proposal. No proposal may be withdrawn without the consent of the Commission for a period of sixty (60) calendar days after the opening of bids. Any proposal may be withdrawn at any time following the expiration of the sixty (60) calendar day period set forth above, provided that a request in writing, executed by the bidder in the manner specified in Section 6 of these Instructions, for the withdrawal of such bid is filed with the Commission after the sixtieth day following bid opening. If no such request is filed, the bid acceptance date shall be deemed extended until such a request is filed or the Commission executes all Contracts awarded hereunder.



11. **Public Opening of Proposals**

Proposals will be opened and the prices bid will be read aloud publicly at the time and place indicated in the Invitation for Bids or as soon thereafter as possible. Bidders or their agents are invited to be present.

12. **Confidentiality**

The Commission shall not disclose, outside the bidding process, at any time, either during or subsequent to the bidding process, nor permit any of its employees, agents or representatives to so disclose, any information, knowledge or data of bidder that the Commission receives or obtains during the bidding process relating to business, commercial or financial information or other confidential or proprietary matters of bidder, unless such disclosure will not cause competitive harm, or such confidential information was actually known to the Commission, its employees, agents or representatives prior to submission of any proposal, or was properly obtained or evolved independently therefrom, or bidder consents to such disclosure. Bidder shall identify any information submitted in the bidding process that is considered by it to be confidential or proprietary. Notwithstanding the foregoing, bidder, by its submission of a proposal, acknowledges that the Commission is subject to the Illinois Freedom of Information Act, 5 ILCS 140/1 et seq., and that no disclosure made in good faith by the Commission pursuant to such Act shall be deemed to violate this paragraph.

13. **Qualification of Bidders**

(a) **Factors.** Price shall not be the dominant factor in making any awards but, rather, it is the intention of the Commission to award one or more Contracts to bidders who furnish satisfactory evidence that they understand the scope of the Work under this Contract and that they have the requisite experience, ability, capital, facilities, plant, organization and staffing to enable them to commence the Work within the minimum response time set forth in the Contract Documents and to perform the Work successfully and promptly.

(b) **Most Favorable Bidders.** A preliminary determination as to eligibility of up to three bidders (herein referred to as "the most favorable bidder(s)") who shall be eligible for further consideration shall be made on the basis of the dollar amount of the bids, the Commission's prior experience with the bidders, the Commission's knowledge of the bidders' performance on other relevant projects, and all other relevant facts or matters mentioned in the Contract Documents or that the Commission may legally consider in making its determination. The making of such a preliminary determination shall not waive the Commission's right to reject any and all bids nor waive such other rights as are set forth in Section 15 of these Instructions.

(c) **Final Determination.** The final determination of the successful bidders among the most favorable bidders shall be made on the basis of the above-mentioned facts and matters and any additional information that may be required of all or any one or more of the most favorable bidders. In the event the Commission requests additional information, the responding bidder must provide the requested information within two (2) workdays after receipt of any such request or within such longer period as the Commission may specify in its request. Failure to so answer shall be grounds for the imposition of liquidated damages at the Commission's option, all as is more specifically set forth in Section 7 above.

14. **Disqualification of Bidders**

(a) **More Than One Proposal.** More than one proposal for the Work described in these Contract Documents from an individual, firm or partnership, a corporation or an association under the same or different names, may not be considered. Reasonable grounds for believing that any bidder is

interested in more than one proposal for the Work contemplated may cause the rejection of all proposals in which such bidder is interested.

(b) Collusion. If there are reasonable grounds for believing that collusion exists among the bidders, the proposals of the participants in such collusion will not be considered.

(c) Default. If a bidder is or has been in default on a contract with the Commission or in the payment of monies due the Commission, its proposal will not be considered.

(d) Deficiencies. The Commission expressly reserves the right in its sole and absolute discretion to disqualify bidders if:

- (i) the proposal does not contain a price for each pay item requested,
- (ii) the proposal form is other than that furnished by the Commission or if the form is altered or any part thereof detached,
- (iii) there are omissions, alterations, unauthorized additions, conditional or alternate bids, or irregularities of any kind that may tend to make the proposal incomplete, indefinite or ambiguous as to its meaning, including, but not limited to, conditional surety and insurance commitment letters and unsigned or improperly signed proposals,
- (iv) the bidder adds any provisions reserving the right to accept or reject an award or to enter into a contract pursuant to award, or
- (v) if the proposal is prepared with other than ink or typewriter.

If the deficient bidder is not disqualified, the Commission may demand correction of any deficiency and award a Contract to the bidder upon satisfactory compliance with these General Instructions to Bidders.

#### 15. Award of Contract

(a) Reservation of Rights. The Commission reserves the right to accept any proposal that is, in its judgment, the best bid(s) and most favorable to the interests of the Commission and to the public; to reject the low bid; to accept more than one bid; to reject any and all bids; to accept and incorporate corrections, clarifications or modifications following bid opening when to do so would not, in the Commission's opinion, prejudice the bidding process or create any improper advantage to any bidder; and to waive irregularities and informalities in any proposal submitted or in the bidding process; provided, however, that the waiver of any prior defect or informality shall not be considered a waiver of any future or similar defects or informalities and bidders should not rely upon, or anticipate, such waivers in submitting their proposals. Proposals received after the specified time of opening will be returned unopened.

(b) Offers. All bids are offers only and no bids shall be deemed rejected, notwithstanding acceptance of any bid, until a Contract Agreement has been executed by the Commission and any and all successful bidders.

(c) Time of Award. It is expected that the award of the Contract, if it be awarded, will be made within sixty (60) calendar days following the opening of bids. Should administrative difficulties be encountered after bid opening, including the annulment of any award, that may delay an award or subsequent award beyond the sixty (60) day period, the Commission may accept any bid for which the bid acceptance date has been extended as provided in Section 10 of these Instructions in order to avoid

the need for readvertisement. No bidder shall be under any obligation to extend the period. Failure of one or more of the bidders or their sureties to extend the period shall not prejudice the right of the Commission to accept any bid for which the period has been extended.

16. **Effective Date of Award**

If one or more Contracts are awarded by the Commission, an award shall be effective when a Notice of Award in the form included in the Contract Documents has been issued to the applicable successful bidder. The Notice of Award shall set forth the Closing Date, by which date all conditions precedent to execution of the Contract Agreement as defined in Section 18(b) below shall be completed, unless extended as provided below. Five copies of the Contract Documents will be prepared by the Commission and submitted with the Notice of Award.

17. **Penalty for Collusion**

If at any time it shall be found that any person, firm or corporation to whom a Contract has been awarded has, in presenting any bid or bids, colluded with any other party or parties, then the successful bidder and its sureties shall be liable to the Commission for all loss or damage that the Commission may suffer thereby, and any Contract so awarded shall, at the Commission's option, be null and void.

18. **Closing**

(a) **Closing Date.** The successful bidder(s) shall satisfactorily complete all conditions precedent to Closing within fourteen (14) calendar days following the effective date of award or within such extended period as the Commission may, in the exercise of its sole discretion, authorize, either before or after issuance of the Notice of Award. See Section 16 above. The "Closing Date" shall be the date set forth in the Notice of Award, or such extended date as the Commission may, in the exercise of its sole discretion, authorize thereafter.

(b) **Conditions Precedent to Closing.** The successful bidder(s) shall date all copies of the Contract Agreement as of the Closing Date set forth in the Notice of Award and shall duly execute them in accordance with the provisions of Section 6 above. The successful bidder(s) shall insert five executed copies of the Power(s) of Attorney or authorizing resolution(s), if any.

Failure to timely file the executed Contract Agreement, the authorizing resolution(s), or the Power(s) of Attorney shall be grounds for the imposition of liquidated damages as more specifically set forth in Section 7 above. If the submitted Contract Agreement fails to comply with the Contract Documents or is not timely filed, the Commission may, in its sole discretion, annul the award or allow the successful bidder an opportunity to correct the deficiencies. In no event will the Commission execute the Contract Agreement until any and all such deficiencies have been cured or the Commission has received adequate assurances of complete and prompt performance as determined by the Commission.

(c) **Closing.** At the Closing, and provided that all documents required to be filed prior to the Closing have been reviewed and determined by the Commission to be in compliance with the Contract Documents or adequate assurances of complete and prompt performance have been received, the Commission shall execute all copies of Contract Agreement, retain three copies of the completed Contract Documents, and tender two copies to the successful bidder(s) at the Closing. Any successful bidder or its agent are invited to be present at the Closing.

19. **Failure to Close**

(a) **Annulment of Award.** Failure of a successful bidder to comply with the conditions precedent to Closing shall be just cause for the annulment of the award.

(b) **Subsequent Awards.** Upon annulment of an award, the Commission may then award a Contract to any other bidder as the Commission, in its judgment, deems to be in its best interest, advertise anew for bids, or forego obtaining a replacement.

20. **Time of Starting and Completion**

(a) **Commencement.** Work shall generally be required to be commenced within twenty-four (24) hours following issuance of any Work Authorization Order by the Commission. Longer or shorter response times may be required depending upon the urgency with which the Work is desired to be performed. The successful bidder or bidders shall not dispute or complain of any minimum response times set forth in any Work Authorization Order nor shall any minimum response times set forth in any Work Authorization Order constitute the basis of a claim for damages or entitle the successful bidder or bidders to any compensation or damages therefore, other than as reflected in the rates and fees bid.

(b) **Completion.** Work shall be diligently and continuously prosecuted to completion. With respect to Work Authorization Orders with a minimum response time of three (3) hours or less, the Work ordered shall be deemed to be "Priority Emergency Work" requiring Work to be prosecuted twenty-four hours per day until completion and entitling the successful bidder to the additional compensation set forth in Paragraph D, entitled "For Priority Emergency Work," of the Bidder's Proposal.

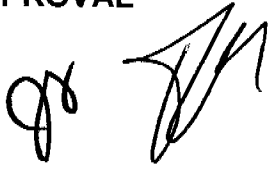

(c) **Coordination and Delays.** Bidders are directed to the fact that the Work to be done under this proposal is only a part of constructing and maintaining a water supply system from the City of Chicago to the Commission's Charter Customers and others located throughout DuPage County, that contracts have been or will be let for other portions of the Commission's Waterworks System, and that the successful operation of the Commission's Waterworks System is dependent upon the completion of the Work under this proposal being coordinated with the work to be done by others. It is essential that all parties interested in the Commission's Waterworks System cooperate, but the Commission cannot guarantee that no interference or delay will be caused by reason of work being performed by others. Prospective bidders may examine at the office of the Commission available specifications, drawings and data regarding materials and equipment to be furnished and work to be performed under separate contracts awarded by the Commission. Interference and delay shall not be the basis of claims against the Commission.

21. **Non-Discrimination**

The successful bidder(s) shall comply with the provisions of the Illinois Human Rights Act, as amended, 775 ILCS 5/1-101 *et seq.*, and the provisions of 775 ILCS 10/1 *et seq.* as though they were inscribed upon the face of the Contract Documents and such provisions are by this reference incorporated herein and made a part thereof.

Date March 8, 2017

## REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	Omnibus Vote Requiring Super-Majority or Special Majority Vote	<b>ORIGINATING DEPARTMENT</b>	Operations
<b>ITEM</b>	A Resolution Approving and Authorizing the Execution of a Master Agreements with HBK Engineering LLC. for Professional Engineering Services  Resolution No. R-9-17	<b>APPROVAL</b>	  
Account Nos: 01-60-628000			
As directed by the Board of Commissioners to engage additional Engineering firms and update existing contract provisions.			
Resolution No. R-9-17 would authorize the General Manager to enter into a master agreements with HBK Engineering LLC. for professional engineering services in connection with various projects as they arise. This master agreements would allow the commission to obtain from time to time professional engineering services in connection with the design and construction of extensions and improvements to the waterworks system and other projects of the commission. This master agreements would allow for the ease of administration between the Commission and the consultant to work under pre-agreed upon terms, conditions, and rates for such discrete projects described in task orders to be approved by the commission and consultant.			
<b>MOTION:</b> To adopt Resolution No. R-9-17.			

## DuPAGE WATER COMMISSION

## RESOLUTION NO. R-9-17

A RESOLUTION APPROVING AND AUTHORIZING  
THE EXECUTION OF A MASTER AGREEMENT WITH HBK ENGINEERING LLC.  
FOR PROFESSIONAL ENGINEERING SERVICES

WHEREAS, the Commission was formed and exists pursuant to the Water Commission Act of 1985, 70 ILCS 3720/0.01 et seq., and Division 135 of Article 11 of the Illinois Municipal Code, 65 ILCS 5/11-135-1 et seq., for the purpose of securing an adequate source and supply of water for its customers; and

WHEREAS, the Commission desires to obtain from time to time, and HBK Engineering LLC, desires to provide from time to time, professional engineering services in connection with the design and construction of extensions and improvements to the Waterworks System and other projects of the Commission; and

WHEREAS, for ease of administration, the Commission and Consultant desire to enter into a master contract setting forth the terms and conditions pursuant to which the Commission will obtain from time to time, and Consultant will provide from time to time, professional engineering services for such discrete projects as are delineated and described in Task Orders to be approved by the Commission and Consultant; and

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of the DuPage Water Commission as follows:

SECTION ONE: The foregoing recitals are hereby incorporated herein and made a part hereof as findings of the Board of Commissioners of the DuPage Water Commission.

SECTION TWO: Master Agreements between the DuPage Water Commission and HBK Engineering LLC. for Professional Engineering Services, in substantially the form attached hereto and by this reference incorporated herein and made a part hereof

Resolution No. R-9-17

as Exhibit 1, with such modifications as may be required or approved by the General Manager of the Commission, shall be and it hereby is approved and the General Manager shall be and hereby is authorized and directed to execute the Master Agreement in substantially the form attached hereto as Exhibit 1 with such modifications as may be required or approved by the General Manager; provided, however, that the Master Agreements shall not be so executed on behalf of the Commission unless and until the General Manager shall have been presented with copies of the Master Agreements executed by HBK Engineering LLC..

SECTION THREE: This Resolution shall be in full force and effect from and after its adoption.

AYES:

NAYS:

ABSENT:

ADOPTED THIS \_\_\_\_ DAY OF \_\_\_\_\_, 2017.

ATTEST:

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Clerk

Board/Resolutions/R-9-17.docx

EXHIBIT 1



**CONTRACT BETWEEN**  
**DUPAGE WATER COMMISSION**  
**AND**  
***HBK Engineering, LLC***  
**FOR**  
**GENERAL ENGINEERING SERVICES**  
**FOR THE DUPAGE WATER COMMISSION**

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**ATTACHMENT A – Supplemental Schedule of Contract Terms**

**ATTACHMENT B – Scope of Services**

**ATTACHMENT C – Submittal Schedule**

**ATTACHMENT D – Special Project Requirements**

**ATTACHMENT E – Typical Plans, Sections, Schedules, Notes and Details**

**CONTRACT BETWEEN**  
**DUPAGE WATER COMMISSION**  
**AND**  
***HBK Engineering, LLC***  
**FOR**  
**GENERAL ENGINEERING SERVICES**  
**FOR THE DUPAGE WATER COMMISSION**

In consideration of the mutual promises set forth below, the DuPage Water Commission, 600 East Butterfield Road, Elmhurst, Illinois 60126-4642, a unit of local government created and existing under the laws of the State of Illinois ("Owner"), and HBK Engineering, LLC., 921 W. Van Buren Street, Suite 100, Chicago, Illinois 60607, a an Illinois limited liability corporation ("Consultant"), make this Contract as of the **22<sup>nd</sup>** day of ***February***, 2017, and hereby agree as follows:

**ARTICLE I**  
**THE SERVICES**

**1.1 Performance of the Services**

Consultant shall, at its sole cost and expense, provide, perform, and complete all of the following, all of which is herein referred to as the "Services":

1. Labor, Equipment, Materials, and Supplies. Provide, perform, and complete, in the manner described and specified in this Contract, all necessary work, labor, services, transportation, equipment, materials, apparatus, information, data, and other items necessary to accomplish the Project, as defined in Attachment A, in accordance with the Scope of Services attached hereto as Attachment B, the Submittal Requirements attached hereto as Attachment C, and the Special Project Requirements attached hereto as Attachment D.
2. Approvals. Procure and furnish all approvals and authorizations specified in Attachment A.
3. Insurance. Procure and furnish all certificates of insurance specified in this Contract.
4. Quality. Provide, perform, and complete all of the foregoing in a proper and workmanlike manner, consistent with the recognized industry standards of professional practice and in full compliance with, and as required by or pursuant to, this Contract.

## **1.2 Commencement and Completion Dates**

Consultant shall commence the Services not later than the "Commencement Date" set forth on Attachment A and shall diligently and continuously prosecute the Services at such a rate as will allow the Services to be fully provided, performed, and completed in full compliance with this Contract not later than the "Completion Date" or, if the Services are to be performed in separate phases with separate completion dates, the "Completion Dates" set forth in Attachment A. The time of commencement, rate of progress, and time of completion are referred to in this Contract as the "Contract Time."

## **1.3 Required Submittals**

A. Submittals Required. Consultant shall submit to Owner all reports, documents, data, and information specifically set forth in Attachment C or otherwise required to be submitted by Consultant under this Contract and shall, in addition, submit to Owner all such reports, documents, data, and information as may be requested by Owner to fully document the Services ("Required Submittals").

B. Time of Submission and Owner's Review. All Required Submittals shall be provided to Owner no later than the time, if any, specified in Attachment C or otherwise in this Contract. If no time for submission is specified for any Required Submittal, such Submittal shall be submitted within a reasonable time in light of its purpose and, in all events, in sufficient time, in Owner's reasonable opinion, to permit Owner to review the same prior to the commencement of any part of the Services to which such Required Submittal may relate. Owner shall have the right to require such corrections as may be necessary to make any Required Submittal conform to this Contract. No Services related to any Required Submittal shall be performed by Consultant until Owner has completed review of such Required Submittal with no exception noted. Owner's review and stamping of any Required Submittal shall not relieve Consultant of the responsibility for the performance of the Services in full compliance with, and as required by or pursuant to this Contract, and shall not be regarded as any assumption of risk or liability by Owner.

C. Responsibility for Delay. Consultant shall be responsible for any delay in the Services due to delay in providing Required Submittals conforming to this Contract.

## **1.4 Review and Incorporation of Contract Provisions**

Consultant represents and warrants that it has carefully reviewed, and fully understood, this Contract, including all of its Attachments, all of which are by this reference incorporated into and made a part of this Contract.

## **1.5 Financial and Technical Ability to Perform**

Consultant represents and warrants that it is financially solvent, and has the financial resources necessary, and that it is sufficiently experienced and competent, and has the necessary capital, facilities, plant, organization, and staff necessary, to provide, perform, and complete the Services in full compliance with, and as required by or pursuant to, this Contract.

## **1.6 Time**

Consultant represents and warrants that it is ready, willing, able, and prepared to begin the Services on the Commencement Date and that the Contract Time is sufficient time to permit completion of the Services in full compliance with, and as required by or pursuant to, this Contract for the Contract Price.

Notwithstanding any other provision of this Contract, Consultant, including the Consultant's subconsultants, if any, shall not be responsible for any delay, default, or nonperformance hereunder, if and to the extent that such delay, default, or nonperformance is caused by an act of God, weather, accident, labor strike, fire, explosion, riot, war, rebellion, terrorist activity, sabotage, flood, epidemic, act of government authority in its sovereign capacity, or any other cause beyond the reasonable control of such party.

## **1.7 Consultant's Personnel and Subcontractors**

A. Consultant's Personnel. Consultant shall provide all personnel necessary to complete the Services, including without limitation the "Key Project Personnel" identified in Attachment D. Consultant shall provide to Owner telephone numbers at which the Key Project Personnel can be reached on a 24 hour basis. Consultant and Owner may by mutual written agreement make changes and additions to the designations of Key Project Personnel. Prior to terminating the employment of any Key Project Personnel, or reassigning any of the Key Project Personnel to other positions, or upon receiving notification of the resignation of any of the Key Project Personnel, Consultant shall notify Owner as soon as practicable in advance of such proposed termination, reassignment, or resignation. Consultant shall submit justification, including a description of proposed substitute personnel, in sufficient detail to permit evaluation by Owner of the impact of the proposed action on the Services. No such termination, except for voluntary terminations by employees, and no such reassignment shall be made by Consultant without prior written approval of Owner. Consultant shall have no claim for damages, for compensation in excess of the Contract Price, or for a delay or extension of the Contract Time as a result of any such termination, reassignment, resignation, or substitution.

B. Approval and Use of Subcontractors. Consultant shall perform the Services with its own personnel and under the management, supervision, and control of its own organization unless otherwise approved by Owner in writing. All subcontractors and subcontracts used by Consultant shall be acceptable to, and approved in advance by, Owner. Owner's approval of any subcontractor or subcontract shall not relieve Consultant of full responsibility and liability for the provision, performance, and completion of the Services in full compliance with, and as required by or pursuant to, this Contract. All Services performed under any subcontract shall be subject to all of the provisions of this Contract in the same manner as if performed by employees of Consultant. Every reference in this Contract to "Consultant" shall be deemed also to refer to all subcontractors of Consultant. Every subcontract shall include a provision binding the subcontractor to all provisions of this Contract.

C. Removal of Personnel and Subcontractors. If any personnel or subcontractor fails to perform the part of the Services undertaken by it in a manner satisfactory to Owner, Consultant shall immediately upon notice from Owner remove and replace such personnel or subcontractor. Consultant shall have no claim for damages, for compensation in excess of the Contract Price, or for a delay or extension of the Contract Time as a result of any such removal or replacement.

D. Safety. Consultant shall be responsible for providing and maintaining safe conditions for its employees at its workplace on or in the vicinity of Owner's facilities and appurtenances during performance of the Services. To the extent of protecting its employees, Consultant shall take all safety precautions as shall be necessary to comply with all applicable laws and to prevent injury to persons and damage to property. In addition:

1. It is expressly understood by the parties that Consultant's responsibility for safety conditions shall be strictly limited to its employees. It is expressly understood by the parties that Owner's responsibility for safety conditions shall be strictly limited to its employees.
2. Consultant is advised that potentially hazardous conditions described in the Illinois Health and Safety Act, federal OSHA Regulations and Guidelines, ANSI Standard B30.5-1968 as amended, ANSI Standard Z117.1-1995 as amended, and Illinois Department of Labor Rules and Regulations, could be encountered during the performance of the Services, including without limitation energized electrical facilities and overhead wires; cranes, derricks, and other hoisting machinery with operational and use limitations, special hazard warnings and instructions, and revolving superstructures requiring proper barricading; underground utility facilities requiring protection, support, or removal to safeguard employees; excavations requiring, among other things, safe means of egress and protection from cave-ins, fall-ins, hazardous atmospheres, hazardous substances, and other hazardous conditions; and confined or enclosed spaces that are subject to the accumulation of hazardous substances or toxic or flammable contaminants or that have oxygen deficient or other hazardous atmospheres, requiring, among other things, independent fall protection, respiratory equipment, ventilation, two-way communication with the outside, and safe means of egress. Consultant should take special notice of the potentially hazardous conditions identified in this paragraph and take all necessary precautions to guard against such potential hazards, including without limitation conducting employee safety training and education, posting warnings and instructions, testing and inspecting, and utilizing adequate protective and emergency systems, equipment, and devices, in as much safety for its employees remains Consultant's sole responsibility under this Contract. Consultant is directed to the Illinois Health and Safety

Act, federal OSHA Regulations and Guidelines, including without limitation Occupational Safety & Health Standards and Construction Industry Safety & Health Regulations as outlined in Parts 1910 and 1926 of US Dept. of Labor Chapter XVII - Occupational Safety and Health Administration, Title 29, and US Dept. of Labor Document OSHA 2202 "OSHA Safety and Health Standards Digest," ANSI Standard B30.5-1968 as amended, ANSI Standard Z117.1-1995 as amended, and Illinois Department of Labor Rules and Regulations for a further description of these potentially hazardous conditions and the regulations applicable thereto.

3. Owner's notification of these potentially hazardous conditions should not be construed to be, nor interpreted as, an exclusive listing of the potentially hazardous conditions that could be encountered during the performance of the Services but, rather, such notice shall be construed to be, and interpreted as, exemplary only.
4. Consultant shall not have control or charge of and shall not be responsible for construction means, methods, techniques, sequences or procedures, or for safety measures and programs including enforcement of Federal and State safety requirements, in connection with construction work performed by Owner's construction contractors. Nor shall Consultant be responsible for the supervision of Owner's construction contractors, subcontractors or of any of their employees, agents and representatives of such contractors, with respect to their construction means, methods, techniques, sequences or procedures, or for safety measures and programs including enforcement of Federal and State safety requirements, in connection with construction work; or for inspecting machinery, construction equipment and tools used and employed by contractors and subcontractors on Owner's construction projects and shall not have the right to stop or reject work without the thorough evaluation and approval of Owner. In no event shall Consultant be liable for the acts or omissions of Owner's construction contractors, subcontractors or any persons or entities performing any of the construction work, or for the failure of any of them to carry out construction work under contracts with Owner.

### **1.8 Owner's Responsibilities**

Owner shall, at its sole cost and expense and except as otherwise provided in Attachment D: (a) designate in writing a person with authority to act as Owner's representative and on Owner's behalf with respect to the Services except those matters that may require Board approval of Owner; (b) provide to Consultant all criteria and full information as to Owner's requirements for the Project or work to which the Services relate, including Owner's objectives and constraints, schedule, space, capacity and performance requirements, and budgetary limitations relevant to the Project; (c) provide to Consultant all existing studies, reports, and other available data relevant to the



Project; (d) arrange for access to and make all provisions for Consultant to enter upon public and private property as reasonably required for Consultant to perform the Services; (e) provide surveys describing physical characteristics, legal limitations, and utility locations for the Project and the services of geotechnical engineers or other consultants when such services are reasonably requested by Consultant, are necessary for the performance of the Services, and are not already provided for in Attachments B and D; (f) provide structural, mechanical, chemical, air and water pollution tests, test for hazardous materials, and other laboratory and environmental tests, inspections, and reports required by law to be provided by Owner in connection with the Project; (g) review and comment on all Required Submittals and other reports, documents, data, and information presented by Consultant; (h) except as otherwise provided in Attachment A, provide approvals from all governmental authorities having jurisdiction over the Project when such services are reasonably requested by Consultant, are necessary for the performance of the Services, and are not already provided for in Attachments B and D; (i) except as provided in Article IV of this Contract provide, all accounting, insurance, and legal counseling services as may be necessary from time to time in the sole judgment of Owner to protect Owner's interests with respect to the Project; (j) attend Project related meetings; and (k) give prompt written notice to Consultant whenever Owner observes or otherwise becomes aware of any development that affects the scope or timing of the Services, provided, however, that failure to give such notice shall not relieve Consultant of any of its responsibilities under this Contract.

#### **1.9 Owner's Right to Terminate or Suspend Services for Convenience**

A. Termination or Suspension for Convenience. Owner shall have the right, for its convenience, to terminate or suspend the Services in whole or in part at any time by written notice to Consultant. Every such notice shall state the extent and effective date of such termination or suspension. On such effective date, Consultant shall, as and to the extent directed, stop Services under this Contract, cease all placement of further orders or subcontracts, terminate or suspend Services under existing orders and subcontracts, and cancel any outstanding orders or subcontracts that may be canceled. Consultant shall be entitled to additional compensation or the right to terminate this Contract in the event of any suspension that exceeds a period of 90 days.

B. Payment for Completed Services. In the event of any termination pursuant to Subsection 1.9A above, Owner shall pay Consultant (1) such direct costs, including overhead, as Consultant shall have paid or incurred for all Services done in compliance with, and as required by or pursuant to, this Contract up to the effective date of termination; and (2) such other costs pertaining to the Services, exclusive of overhead and profit, as Consultant may have reasonably and necessarily incurred as the result of such termination. Any such payment shall be offset by any prior payment or payments and shall be subject to Owner's rights to withhold and deduct as provided in this Contract.

## **ARTICLE II** **CHANGES AND DELAYS**

### **2.1 Changes**

Owner shall have the right, by written order executed by Owner, to make changes in the Contract, the Project, the Services and the Contract Time ("Change Order"). If any Change Order causes an increase or decrease in the amount of the Services, an equitable adjustment in the Contract Price or Contract Time may be made. No decrease in the amount of the Services caused by any Change Order shall entitle Consultant to make any claim for damages, anticipated profits, or other compensation.

### **2.2 Delays**

For any delay that may result from causes that could not be avoided or controlled by Consultant, Consultant shall, upon timely written application, be entitled to issuance of a Change Order providing for an extension of the Contract Time for a period of time equal to the delay resulting from such unavoidable cause. No extension of the Contract Time shall be allowed for any other delay in completion of the Services.

### **2.3 No Constructive Change Orders**

No claims for equitable adjustments in the Contract Price or Contract Time shall be made or allowed unless embodied in a Change Order. If Owner fails to issue a Change Order including, or fully including, an equitable adjustment in the Contract Price or Contract Time to which Consultant claims it is entitled, or, if Consultant believes that any requirement, direction, instruction, interpretation, determination, or decision of Owner entitles Consultant to an equitable adjustment in the Contract Price or Contract Time that has not been included, or fully included, in a Change Order, then Consultant shall submit to Owner a written request for the issuance of, or revision of, a Change Order, including the equitable adjustment, or the additional equitable adjustment, in the Contract Price or Contract Time that Consultant claims has not been included, or fully included, in a Change Order. Such request shall be submitted before Consultant proceeds with any Services for which Consultant claims an equitable adjustment is due and shall, in all events, be submitted no later than ten business days after receipt of such Change Order or receipt of notice of such requirement, direction, instruction, interpretation, determination, or decision. Unless Consultant submits such a request within ten business days after receipt of such Change Order or receipt of notice of such requirement, direction, instruction, interpretation, determination, or decision, Consultant shall be conclusively deemed (1) to have agreed that such Change Order, requirement, direction, instruction, interpretation, determination, or decision does not entitle Consultant to an equitable adjustment in the Contract Price or Contract Time and (2) to have waived all claims for additional compensation or an extension of time based on such Change Order, requirement, direction, instruction, interpretation, determination, or decision.

**ARTICLE III**  
**CONSULTANT'S RESPONSIBILITY FOR DEFECTIVE SERVICES**

**3.1 Standard of Care**

A. Standard of Care. Consultant represents that the Services and all of its components shall be free from errors and omissions in design; shall conform to the requirements of this Contract; and shall be performed in accordance with recognized industry standards of professional practice, care, and diligence practiced by reputable consulting firms in performing services of a similar nature in existence at the time of performance of the Services for a similar type of owner operating similar facilities. The representation herein expressed shall be in addition to any other representations expressed in this Contract, or expressed or implied by applicable law, which are hereby reserved unto Owner.

B. Opinions of Cost. It is recognized that neither Consultant nor Owner has control over the costs of labor, material, equipment or services furnished by others or over competitive bidding, market or negotiating conditions, or construction contractors' methods of determining their prices. Accordingly, any opinions of probable Project costs or construction costs provided for herein are estimates only, made on the basis of Consultant's experience and qualifications and represent Consultant's judgment as an experienced and qualified professional, familiar with the industry. Consultant does not guaranty that proposals, bids or actual Project costs or construction costs will not vary from opinions of probable cost prepared by Consultant.

C. Defective Services. Whenever the term "defective" is used in the this Contract, the term shall mean professional services that fail to conform to this Section 3.1 and/or any specific terms and requirements contained in this Contract.

**3.2 Corrections**

Consultant shall be responsible for the quality, technical accuracy, completeness and coordination of all reports, documents, data, information and other items and services under this Contract. Consultant shall, promptly and without charge, provide, to the reasonable satisfaction of Owner, all corrective Services necessary as a result of Consultant's negligent errors or omissions, negligent acts, or failure to meet the requirements of this Contract.

**3.3 Risk of Loss**

Consultant shall be responsible for damages to property or persons as a result of Consultant's negligent errors or omissions, negligent acts, or failure to meet the requirements of this Contract and for any losses or costs to repair or remedy any work undertaken by Owner based upon the Services as a direct result of any such negligent errors or omissions, negligent acts, or failure to meet the requirements of this Contract. Notwithstanding any other provision of this Contract, Consultant's obligations under this Section 3.3 shall exist without regard to, and shall not be construed to be waived by, the availability or unavailability of any insurance, either of Owner or Consultant, to indemnify, hold harmless or reimburse Consultant for such damages, losses or costs.

## **ARTICLE IV FINANCIAL ASSURANCES**

### **4.1 Insurance**

Contemporaneous with Consultant's execution of this Contract, Consultant shall provide certificates of insurance evidencing at least the minimum insurance coverages and limits set forth in Attachment A in a form acceptable to Owner and evidencing insurance coverages from companies with a general rating of A minus or better, and a financial size category of Class V or better, in Best's Insurance Guide and otherwise acceptable to Owner. Such insurance shall provide that no cancellation of any insurance shall become effective until the expiration of 30 days after written notice thereof shall have been given by the insurance company to Consultant. Consultant shall notify Owner of any substantial changes or modification in such coverage within 30 days after Consultant becomes aware of same. Consultant shall immediately pass any such notice to Owner. Consultant shall, at all times while providing, performing, or completing the Services, including, without limitation, at all times while correcting any failure to meet the requirements of this Contract, maintain and keep in force, at Consultant's expense, at least the minimum insurance coverages and limits set forth in Attachment A.

### **4.2 Indemnification**

Consultant shall, without regard to the availability or unavailability of any insurance, either of Owner or Consultant, indemnify, save harmless, and defend Owner against any and all lawsuits, claims, demands, damages, liabilities, losses, and expenses, including reasonable attorneys' fees and administrative expenses, to the extent caused by any negligent act, error, or omission in Consultant's performance of, or failure to perform, the Services or any part thereof, except to the extent caused by the negligence of Owner.

## **ARTICLE V PAYMENT**

### **5.1 Contract Price**

Owner shall pay to Consultant, in accordance with and subject to the terms and conditions set forth in this Article V and Attachment A, and Consultant shall accept in full satisfaction for providing, performing, and completing the Services, the amount or amounts set forth in Attachment A ("Contract Price"), subject to any additions, deductions, or withholdings provided for in this Contract.

### **5.2 Taxes, Benefits and Royalties**

The Contract Price includes all applicable federal, state, and local taxes of every kind and nature applicable to the Services as well as all taxes, contributions, and premiums for unemployment insurance, old age or retirement benefits, pensions, annuities, or other similar benefits and all costs, royalties, and fees arising from the use on, or the incorporation into, the Services, of patented equipment, materials, supplies, tools, appliances, devices, processes, or inventions. All claim or right to claim additional

compensation by reason of the payment of any such tax, contribution, premium, costs, royalties, or fees is hereby waived and released by Consultant.

### **5.3 Progress Payments**

A. Payment in Installments. The Contract Price shall be paid in monthly installments in the manner set forth in Attachment A ("Progress Payments").

B. Pay Requests. Consultant shall, as a condition precedent to its right to receive each Progress Payment, submit to Owner an invoice accompanied by such receipts, vouchers, and other documents as may be necessary to establish Consultant's prior payment for all labor, material, and other things covered by the invoice and the absence of any interest, whether in the nature of a lien or otherwise, of any party in any property, work, or fund with respect to the Services performed under this Contract. In addition to the foregoing, such invoice shall include (a) employee classifications, rates per hour, and hours worked by each classification, and, if the Services are to be performed in separate phases, for each phase; (b) total amount billed in the current period and total amount billed to date, and, if the Services are to be performed in separate phases, for each phase; (c) the estimated percent completion, and, if the Services are to be performed in separate phases, for each phase; and (d) Consultant's certification that all prior Progress Payments have been properly applied to the Services with respect to which they were paid. Owner may, by written notice to Consultant, designate a specific day of each month on or before which pay requests must be submitted.

### **5.4 Final Acceptance and Final Payment**

The Services, or, if the Services are to be performed in separate phases, each phase of the Services, shall be considered complete on the date of final written acceptance by Owner of the Services or each phase of the Services, as the case may be, which acceptance shall not be unreasonably withheld or delayed. The Services or each phase of the Services, as the case may be, shall be deemed accepted by Owner if not objected to in writing within 30 days after submission by Consultant of the Services or such phase of Services for final acceptance and payment plus, if applicable, such additional time as may be considered reasonable for obtaining approval of governmental authorities having jurisdiction to approve the Services, or phase of Services, as the case may be. As soon as practicable after final acceptance, Owner shall pay to Consultant the balance of the Contract Price or, if the Services are to be performed in separate phases, the balance of that portion of the Contract Price with respect to such phase of the Services, after deducting therefrom all charges against Consultant as provided for in this Contract ("Final Payment"). The acceptance by Consultant of Final Payment with respect to the Services or a particular phase of Services, as the case may be, shall operate as a full and complete release of Owner of and from any and all lawsuits, claims, demands, damages, liabilities, losses, and expenses of, by, or to Consultant for anything done, furnished for, arising out of, relating to, or in connection with the payment for Services or a particular phase of Services, as the case may be, or for or on account of any act or neglect of Owner arising out of, relating to, or in connection with the Services or a particular phase of Services, as the case may be.

## **5.5 Deductions**

A. Owner's Right to Withhold. Notwithstanding any other provision of this Contract and without prejudice to any of Owner's other rights or remedies, Owner shall have the right at any time or times, whether before or after approval of any pay request, to deduct and withhold from any Progress or Final Payment that may be or become due under this Contract such amount as may reasonably appear necessary to compensate Owner for any actual or prospective loss due to: (1) Services that are defective, nonconforming or incomplete, or contain errors; (2) damage for which Consultant is liable under this Contract; (3) liens or claims of lien regardless of merit unless bonded over by Consultant or unless such liens were a result of Owner's failure to comply with its payment obligations in this Contract; (4) delay in the progress or completion of the Services unless due to causes that could not be avoided or controlled by Consultant; (5) inability of Consultant to complete the Services; (6) failure of Consultant to properly complete or document any pay request; (7) any other failure of Consultant to perform any of its obligations under this Contract; or (8) the cost to Owner, including reasonable attorneys' fees and administrative costs, of correcting any of the aforesaid matters or exercising any one or more of Owner's remedies set forth in Section 6.1 of this Contract; provided, however, that Owner has provided Consultant with advance written notice of any such event, and Consultant has failed to cure or start to cure with due diligence such matter within ten business days after receipt of such written notice.

B. Use of Withheld Funds. Owner shall be entitled to retain any and all amounts withheld pursuant to Subsection 5.5A above until Consultant shall have either performed the obligations in question or furnished security for such performance satisfactory to Owner. If such matter is not cured or if efforts to cure with due diligence are not started by Consultant within ten business days after receipt of written notice from Owner as provided herein, Owner shall be entitled to apply any money withheld or any other money due Consultant under this Contract to reimburse itself for any and all costs, expenses, losses, damages, liabilities, suits, judgments, awards, reasonable attorneys' fees, and reasonable administrative expenses incurred, suffered, or sustained by Owner and chargeable to Consultant under this Contract.

## **5.6 Accounting**

Consultant shall keep accounts, books, and other records of all its billable charges and costs incurred in performing the Services in accordance with generally accepted accounting practices, consistently applied, and in such manner as to permit verification of all entries. Consultant shall make all such material available for inspection by Owner, at all reasonable times during this Contract and for a period of three years following termination of this Contract. Copies of such material shall be furnished, at Owner's expense, upon request.

## **ARTICLE VI REMEDIES**

### **6.1 Owner's Remedies**

If it should appear at any time prior to Final Payment for all work that Consultant has failed or refused to prosecute, or has delayed in the prosecution of, the Services with diligence at a rate that assures completion of the Services in full compliance with the requirements of this Contract, or has attempted to assign this Contract or Consultant's rights under this Contract, either in whole or in part, or has falsely made any representation or warranty in this Contract, or has otherwise failed, refused, or delayed to perform or satisfy any other requirement of this Contract or has failed to pay its debts as they come due ("Event of Default"), and has failed to cure or begin to cure with due diligence any such Event of Default within ten business days after Consultant's receipt of written notice of such Event of Default, then Owner shall have the right, at its election and without prejudice to any other remedies provided by law or equity, to pursue any one or more of the following remedies:

1. Owner may require Consultant, within such reasonable time as may be fixed by Owner, to complete or correct all or any part of the Services that are defective, nonconforming or incomplete, or contain errors; to accelerate all or any part of the Services; and to take any or all other action necessary to bring Consultant and the Services into compliance with this Contract.
2. Owner may accept the Services that are defective, nonconforming, incomplete, or dilatory, or contain errors, or part thereof, and make an equitable reduction in the Contract Price.
3. Owner may terminate this Contract without liability for further payment of amounts due or to become due under this Contract.
4. Owner may withhold from any Progress Payment or Final Payment, whether or not previously approved, or may recover from Consultant, any and all costs, including reasonable attorneys' fees and administrative expenses, incurred by Owner as the result of any Event of Default or as a result of actions taken by Owner in response to any Event of Default.
5. Owner may recover direct damages suffered by Owner.

### **6.2 Terminations and Suspensions Deemed for Convenience**

Any termination or suspension of Consultant's rights under this Contract for an alleged default that is ultimately held unjustified shall automatically be deemed to be a termination or suspension for the convenience of Owner under Section 1.9 of this Contract.

**ARTICLE VII**  
**LEGAL RELATIONSHIPS AND REQUIREMENTS**

**7.1 Binding Effect**

This Contract shall be binding upon Owner and Consultant and upon their respective heirs, executors, administrators, personal representatives, and permitted successors and assigns. Every reference in this Contract to a party shall also be deemed to be a reference to the authorized officers, employees, agents, and representatives of such party.

**7.2 Relationship of the Parties**

Consultant shall act as an independent contractor in providing and performing the Services. Nothing in, nor done pursuant to, this Contract shall be construed (1) to create the relationship of principal and agent, partners, or joint venturers between Owner and Consultant or (2) to create any relationship between Owner and any subcontractor of Consultant.

**7.3 No Collusion/Prohibited Interests**

Consultant hereby represents and certifies that Consultant is not barred from contracting with a unit of state or local government as a result of (i) a violation of either Section 33E-3 or Section 33E-4 of Article 33E of the Criminal Code of 1961, 720 ILCS 5/33E-1 et seq.; or (ii) a violation of the USA Patriot Act of 2001, 107 Public Law 56 (October 26, 2001) (the "Patriot Act") or other statutes, orders, rules, and regulations of the United States government and its various executive departments, agencies and offices related to the subject matter of the Patriot Act, including, but not limited to, Executive Order 13224 effective September 24, 2001.

Consultant hereby represents that the only persons, firms, or corporations interested in this Contract as principals are those disclosed to Owner prior to the execution of this Contract, and that this Contract is made without collusion with any other person, firm, or corporation. If at any time it shall be found that Consultant has, in procuring this Contract, colluded with any other person, firm, or corporation, then Consultant shall be liable to Owner for all loss or damage that Owner may suffer thereby, and this Contract shall, at Owner's option, be null and void.

Consultant hereby represents and warrants that neither Consultant nor any person affiliated with Consultant or that has an economic interest in Consultant or that has or will have an interest in the Services or will participate, in any manner whatsoever, in the Services is acting, directly or indirectly, for or on behalf of any person, group, entity or nation named by the United States Treasury Department as a Specially Designated National and Blocked Person, or for or on behalf of any person, group, entity or nation designated in Presidential Executive Order 13224 as a person who commits, threatens to commit, or supports terrorism, and neither Consultant nor any person affiliated with Consultant or that has an economic interest in Consultant or that has or will have an interest in the Services or will participate, in any manner whatsoever,



in the Services is, directly or indirectly, engaged in, or facilitating, the Services on behalf of any such person, group, entity or nation.

#### **7.4 Assignment**

Consultant shall not (1) assign this Contract in whole or in part, (2) assign any of Consultant's rights or obligations under this Contract, or (3) assign any payment due or to become due under this Contract without the prior express written approval of Owner, which approval shall not be unreasonably withheld; provided, however, that Owner's prior written approval shall not be required for assignments of accounts, as defined in the Illinois Commercial Code, if to do so would violate Section 9-318 of the Illinois Commercial Code, 810 ILCS 5/9-318. Owner may assign this Contract, in whole or in part, or any or all of its rights or obligations under this Contract, without the consent of Consultant.

#### **7.5 Confidential Information**

All information supplied by Owner to Consultant for or in connection with this Contract or the Services shall be held confidential by Consultant and shall not, without the prior express written consent of Owner, be used for any purpose other than performance of the Services. Confidential information shall not include any information of Owner that is required by law to be disclosed to any governmental agency, provided that before making such disclosure, Consultant shall give Owner a written notice and an opportunity to object to the disclosure or take action to assure confidential handling of the information.

#### **7.6 No Waiver**

No examination, inspection, investigation, test, measurement, review, determination, decision, certificate, or approval by Owner, nor any order by Owner for the payment of money, nor any payment for, or use, occupancy, possession, or acceptance of, the whole or any part of the Services by Owner, nor any extension of time granted by Owner, nor any delay by Owner in exercising any right under this Contract, nor any other act or omission of Owner shall constitute or be deemed to be an acceptance of any Services which are defective, nonconforming or incomplete, or contain errors, nor operate to waive or otherwise diminish the effect of any warranty or representation made by Consultant; or of any requirement or provision of this Contract; or of any remedy, power, or right of Owner.

#### **7.7 No Third Party Beneficiaries**

No claim as a third party beneficiary under this Contract by any person, firm, or corporation other than Consultant shall be made or be valid against Owner.

#### **7.8 Notices**

All notices required or permitted to be given under this Contract shall be in writing and shall be deemed received by the addressee thereof when delivered in person on a business day at the address set forth below or on the third business day after being deposited in any main or branch United States post office, for delivery at the address

set forth below by properly addressed, postage prepaid, certified or registered mail, return receipt requested.

Notices and communications to Owner shall be addressed to, and delivered at, the following address:

DuPage Water Commission  
600 East Butterfield Road  
Elmhurst, Illinois 60126-4642  
Attention: **[TBD]**

Notices and communications to Consultant shall be addressed to, and delivered at, the following address:

**HBK Engineering, LLC**  
**616 Enterprise Drive**  
**Oak Brook, IL**  
**60523**

Attention: **Donald N Kleyweg Jr., P.E.**  
**Senior Vice President – Director of Engineering**

The foregoing shall not be deemed to preclude the use of other non-oral means of notification or to invalidate any notice properly given by any such other non-oral means.

By notice complying with the requirements of this Section 7.8, Owner and Consultant each shall have the right to change the address or addressee or both for all future notices to it, but no notice of a change of address or addressee shall be effective until actually received.

#### **7.9 Governing Laws**

This Contract and the rights of Owner and Consultant under this Contract shall be interpreted according to the internal laws, but not the conflict of laws rules, of the State of Illinois.

#### **7.10 Changes in Laws**

Unless otherwise explicitly provided in this Contract, any reference to laws shall include such laws as they may be amended or modified from time to time.

#### **7.11 Compliance with Laws and Grants**

Consultant shall give all notices, pay all fees, and take all other action that may be necessary to ensure that the Services are provided, performed, and completed in accordance with all required governmental permits, licenses, or other approvals and authorizations that may be required in connection with providing, performing, and completing the Services, and with all applicable statutes, ordinances, rules, and regulations, including without limitation the Fair Labor Standards Act; any statutes regarding qualification to do business; any statutes prohibiting discrimination because of, or requiring affirmative action based on, race, creed, color, national origin, age, sex,

or other prohibited classification, including, without limitation, the Americans with Disabilities Act of 1990, 42 U.S.C. §§ 12101 et seq., and the Illinois Human Rights Act, 775 ILCS 5/1-101 et seq. Consultant shall also comply with all conditions of any federal, state, or local grant received by Owner or Consultant with respect to this Contract or the Services.

Consultant shall be solely liable for any fines or civil penalties that are imposed by any governmental or quasi-governmental agency or body that may arise, or be alleged to have arisen, out of or in connection with Consultant's, or its subcontractors', performance of, or failure to perform, the Services or any part thereof.

Every provision of law required by law to be inserted into this Contract shall be deemed to be inserted herein.

### **7.12 Documents**

Drawings, plans, specifications, photos, reports, information, observations, calculations, notes and any other reports, documents, data or information, in any form, prepared, collected, or received by Consultant in connection with any or all of the Services (the "Documents") shall, upon payment to Consultant of all amounts due under this Contract, be and remain the property of Owner and shall not, without the prior express written consent of Owner, be used for any purpose other than performance of the Services; provided, however, that any use thereof by Owner for purposes other than specifically anticipated in Consultant's scope of Services shall be at Owner's sole risk and cost. At Owner's request, or upon termination of this Contract, the Documents shall be delivered promptly to Owner. Consultant shall have the right to retain copies of the Documents for its files. Unless Owner shall consent in writing to the earlier destruction of the Documents, Consultant shall maintain files of all Documents for a period of five years after termination of this Contract. Consultant shall make, and shall cause all of its subcontractors to make, the Documents available for Owner's review, inspection and audit during the entire term of this Contract and for five years after termination of this Contract.

Nothing in this paragraph shall constitute or be constructed to be any representation by the Consultant that the work product is suitable in any way for any other project except the one detailed in this agreement. Any reuse by the Owner shall be at the Owners sole risk and without liability or legal exposure to Consultant.

### **7.13 Time**

The Contract Time is of the essence of this Contract. Except where otherwise stated, references in this Contract to days shall be construed to refer to calendar days.

### **7.14 Severability**

The provisions of this Contract shall be interpreted when possible to sustain their legality and enforceability as a whole. In the event any provision of this Contract shall be held invalid, illegal, or unenforceable by a court of competent jurisdiction, in whole or in part, neither the validity of the remaining part of such provision, nor the validity of any other provisions of this Contract shall be in any way affected thereby.

**7.15 Entire Agreement**

This Contract sets forth the entire agreement of Owner and Consultant with respect to the accomplishment of the Services and the payment of the Contract Price therefor, and there are no other understandings or agreements, oral or written, between Owner and Consultant with respect to the Services and the compensation therefor.

**7.16 Amendments**

No modification, addition, deletion, revision, alteration, or other change to this Contract shall be effective unless and until such change is reduced to writing and executed and delivered by Owner and Consultant.

IN WITNESS WHEREOF, Owner and Consultant have caused this Contract to be executed in two original counterparts as of the day and year first written above.

Attest/Witness:

**DUPAGE WATER COMMISSION**

By: \_\_\_\_\_

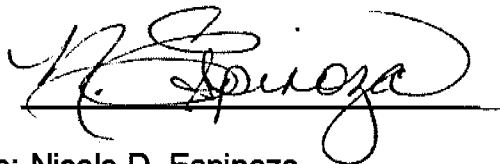
By: \_\_\_\_\_

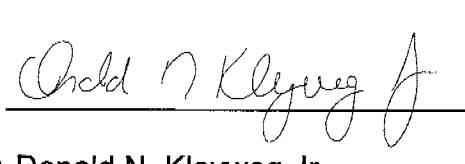
Clerk

General Manager

Attest/Witness:

**HBK Engineering, LLC**

By: 

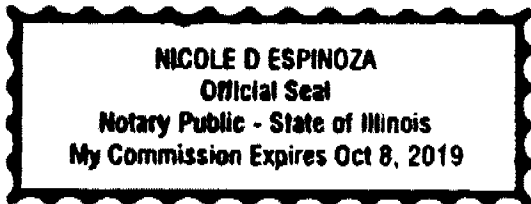
By: 

Name: Nicole D. Espinoza

Name: Donald N. Kleyweg Jr.

Title: Notary Public – HBK Project Coordinator

Title: SVP – Director of Engineering



ATTACHMENT A

**SUPPLEMENTAL SCHEDULE OF CONTRACT TERMS**

1. **Project:**

***[INSERT NAME OF PROJECT]***

2. **Approvals and Authorizations:**

***[INSERT ANY REQUIRED BY THE PROJECT]***

3. **Commencement Date:**

***[INSERT THE DATE OF EXECUTION OF THE CONTRACT BY OWNER]***

4. **Completion Date:**

**To be agreed upon before contract execution:**

A. **Task 1:** \_\_\_\_ days following the Commencement Date plus extensions, if any, authorized by a Change Order issued pursuant to Section 2.1 of the Contract.

B. **Task 2:** \_\_\_\_ days following issuance of Notice to Proceed by Owner plus extensions, if any, authorized by a Change Order issued pursuant to Section 2.1 of the Contract.

C. **Task 3:** \_\_\_\_ days following issuance of Notice to Proceed by Owner plus extensions, if any, authorized by a Change Order issued pursuant to Section 2.1 of the Contract.

5. **Insurance Coverages:**

A. **Worker's Compensation and Employer's Liability** with limits not less than:

(1) **Worker's Compensation:** Statutory;

(2) **Employer's Liability:**

\$500,000 injury-per occurrence

\$500,000 disease-per employee

\$500,000 disease-policy limit

Such insurance shall evidence that coverage applies in the State of Illinois and provide a waiver of subrogation in favor of Owner. By entering into this agreement, the parties acknowledge that this limitation of liability clause has been reviewed, understood, is a

material part of this agreement, and each party has had the opportunity to seek legal advice regarding this provision.”

- B. Commercial Motor Vehicle Liability with a combined single limit of liability for bodily injury and property damage of not less than \$1,000,000 for vehicles owned, non-owned, or rented.

All employees shall be included as insureds.

- C. Commercial General Liability with coverage written on an “occurrence” basis and with limits no less than:

- (1) Each Occurrence: \$1,000,000
- (2) General Aggregate: \$2,000,000
- (3) Completed Operations Aggregate: \$2,000,000
- (4) Personal Injury: \$1,000,000

Coverages shall include:

- Broad Form Property Damage Endorsement
- Blanket Contractual Liability

- D. Professional Liability Insurance. With a limit of liability of not less than \$2,000,000 per claim and covering Consultant against all sums that Consultant may be obligated to pay on account of any liability arising out of Consultant’s negligent acts, errors, and omissions under the Contract. Such insurance, or such insurance as may then be commercially available in the marketplace, shall be maintained for a three year period from and after Final Payment.

- E. Umbrella Policy. The required coverages may be in any combination of primary, excess, and umbrella policies. Any excess or umbrella policy must provide excess coverage over underlying insurance on a following-form basis such that when any loss covered by the primary policy exceeds the limits under the primary policy, the excess or umbrella policy becomes effective to cover such loss.

- F. Owner as Additional Insured. Owner shall be named as an Additional Insured on the following policies:

Commercial Motor Vehicle Liability

Commercial General Liability

Each such additional Insured endorsement shall identify Owner as follows:  
The DuPage Water Commission, including its Board members and elected

and appointed officials, its officers, employees, named agents, including Owner's attorneys and representatives, as related to Consultant's Services under the Contract.

6. **Contract Price:**

**SCHEDULE OF PRICES**

For providing, performing, and completing each phase of Services, an amount equal to Consultant's Direct Labor Costs times a factor of **[X.XX]** for all Services for all Services rendered by principals and employees engaged directly on the Project, plus an amount equal to the actual costs of all Reimbursable Expenses, but not to exceed, in each phase of Services, the following not to exceed amount set forth opposite each such phase except as adjusted by a Change Order issued pursuant to Section 2.1 of the Contract:

<u>Phase</u>	<u>Not to Exceed</u>
<b>Task 1</b>	<b>\$ TDB</b>
<b>Task 2</b>	<b>\$ TDB</b>
<b>Task 3</b>	<b>\$ TDB</b>

7. **Payments:**

For purposes of payments to Consultant, the value of the Services shall be determined as follows:

Direct Labor Costs shall mean actual wages paid to those members of staff who are classified as officers, engineers, technicians, draftsmen, and field party personnel engaged directly on the Project plus state and federal taxes, social security, employment and retirement benefits as defined in the American Society of Civil Engineers (ASCE) Manual No. 45.

Reimbursable Expenses shall mean the actual expenses incurred by Consultant directly or indirectly in connection with the Project, including expenses for transportation, telephone, postage, computer time and other highly specialized equipment, reproduction and similar Project related items.

ATTACHMENT B

**SCOPE OF SERVICES**

***[INSERT DESCRIPTION OF SERVICES]***

Consultant shall cooperate and work closely with representatives of Owner and other parties involved in the Project. Consultant shall meet with Owner and such other parties, and shall provide such consultation, advice, and reports, as required to adequately perform its responsibilities under the Contract. Consultant shall produce and deliver to Owner the results of its Services, plus any reports, documents, data, information, observations, or opinions set forth below or requested by Owner, in form or format as set forth below or, if none, in form or format of Owner's choosing.

1. Basic Services.

***[INSERT LIST OF TASKS ASSOCIATED WITH PROJECT]***

- a. Task 1
- b. Task 2
- c. Task 3



ATTACHMENT C

**SUBMITTAL SCHEDULE**

Submittal:

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Due Date:

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ATTACHMENT D

**SPECIAL PROJECT REQUIREMENTS**

1. Key Project Personnel:

Name:

Telephone:

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2. Security:

A. Description. For security purposes, Owner investigates the background of personnel at its facilities and personnel engaged to perform services of a similar nature to the Services to be provided under the Contract and implements other security measures as it determines are necessary from time to time ("Security Program"). To obtain authorization to work at Owner's facilities or to be engaged to perform the Services, Consultant and its subcontractors must comply with the requirements of Owner's Security Program. Consultant shall remain as fully responsible and liable for the acts and omissions of all subcontractors and their respective agents and employees in connection with Owner's Security Program as it is for its own acts and those of its agents and employees, as set forth in the Contract.

B. Background Investigations. Consultant personnel, including subcontractor personnel that will require access to Owner's facilities or that will be engaged to perform the Services shall submit all information requested by Owner in order to perform the necessary background investigations. Background information required by Owner may include:

- a. Information needed to complete a Conviction Information Request Non-Fingerprint Form (for background checks, including conviction information, conducted by the Illinois State Police Bureau of Identification)
- b. Education History
- c. Military Service
- d. Character and Reputation References
- e. Verification of Identity
- f. Fingerprints

No Consultant personnel, including subcontractor personnel, will be granted unescorted access to Owner's facilities, nor shall any Consultant personnel, including subcontractor personnel, be engaged to perform the Services, until any background investigation required by Owner has been successfully completed. Owner reserves the right to order Consultant to remove from Owner's facilities any personnel, including subcontractor personnel, who Owner determines pose a threat to the security of Owner or its facilities. Any such person so removed shall not be engaged again on the Services.

- C. Search. Consultant personnel and vehicles, including subcontractor personnel and vehicles, allowed on Owner's property shall be subject to search when entering and leaving the property. By entering the property, Consultant personnel, including subcontractor personnel, authorize Owner to perform or have performed such searches of their persons or vehicles.
- D. Identification Badges. Owner shall issue identification badges to all Consultant personnel, including subcontractor personnel. All such personnel shall pick up their identification badges prior to entry onto Owner's property and shall return the badges at the end of each work day. All such personnel shall wear the identification badges in a prominent manner at all times when working on Owner property.
- E. No Liability. Neither Owner, nor any official or employee of Owner, nor any authorized assistant or agent of any of them, shall be responsible for the adequacy of Owner's security precautions and programs or any liability arising there from.

3. Facility Locations and Testing Results and Analysis:



Information as to the location of Owner's existing facilities and test results and recommendations received from other consultants have been indicated or provided solely for the convenience of Consultant. Owner assumes no responsibility whatever in respect to the sufficiency or accuracy of such information and such information is not guaranteed.

ATTACHMENT E

**TYPICAL PLANS, SECTIONS, SCHEDULES, NOTES AND DETAILS**

DATE: March 9, 2017

# REQUEST FOR BOARD ACTION

<b>AGENDA SECTION</b>	New Business	<b>ORIGINATING DEPARTMENT</b>	Pipeline																																
<b>ITEM</b>	To Authorize Approval of Requisition No. 45349 to Friendly Ford For The Purchase of One Ford F-150 Supercab Pick Up Truck In The Amount of \$26,050.00	<b>APPROVAL</b>	 																																
<p>Account No. 01-60-686800</p> <p>The FY2016/2017 Annual Budget includes an amount of \$30,500.00 for the purchase of one Ford F-150 XL Supercab Pick Up Truck. This vehicle is intended to replace a 2009 Ford Escape currently with 97,200 miles.</p> <p>This vehicle is being replaced in accordance with the guidelines outlined in the Commission's Vehicle Replacement Program Memorandum of March 31, 2008 and adopted as Commission Policy by the Board at the regular Commission Meeting of May 8, 2008.</p> <p>Proposals were solicited by delivering RFP's to local area Ford dealers, and by posting the RFP on the Commission's website. Of the 12 proposals delivered 7 were received and the results are shown in the bid tabulation below.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-bottom: 10px;"> <thead> <tr> <th style="width: 25%;">Dealer</th> <th style="width: 25%;">F-150 XL SuperCab</th> <th style="width: 25%;">Trade-in Value</th> <th style="width: 25%;">Net Cost</th> </tr> </thead> <tbody> <tr> <td>Friendly Ford</td> <td>\$29,050.00</td> <td>\$3,000.00</td> <td>\$26,050.00</td> </tr> <tr> <td>Packey-Webb Ford</td> <td>\$28,983.00</td> <td>\$2,000.00</td> <td>\$26,983.00</td> </tr> <tr> <td>Haggerty Ford</td> <td>\$29,601.30</td> <td>\$2,000.00</td> <td>\$27,601.30</td> </tr> <tr> <td>Larry Roesch Ford</td> <td>\$29,425.00</td> <td>\$1,000.00</td> <td>\$28,425.00</td> </tr> <tr> <td>Willowbrook Ford</td> <td>\$30,952.39</td> <td>\$1,500.00</td> <td>\$29,452.39</td> </tr> <tr> <td>Joe Cotton Ford</td> <td>\$30,531.24</td> <td>N/A</td> <td>N/A</td> </tr> <tr> <td>Westfield Ford</td> <td>\$29,872.00</td> <td>\$6,200.00</td> <td>\$23,974.15</td> </tr> </tbody> </table> <p>The proposals of Joe Cotton Ford and Westfield Ford were considered non-responsive bids as they were incomplete, were not submitted on the bid proposal form, and/or contained conditional sales term language.</p> <p>Based upon the results of the bid tabulation, Staff is recommending approval of requisition No. 45349 in the amount of \$26,050.00 to Friendly Ford for the purchase of one Ford F-150 XL SuperCab Pick Up Truck.</p> <p><b>MOTION:</b> To authorize approval of requisition No. 45349 in the amount of \$26,050.00 to Friendly Ford.</p>				Dealer	F-150 XL SuperCab	Trade-in Value	Net Cost	Friendly Ford	\$29,050.00	\$3,000.00	\$26,050.00	Packey-Webb Ford	\$28,983.00	\$2,000.00	\$26,983.00	Haggerty Ford	\$29,601.30	\$2,000.00	\$27,601.30	Larry Roesch Ford	\$29,425.00	\$1,000.00	\$28,425.00	Willowbrook Ford	\$30,952.39	\$1,500.00	\$29,452.39	Joe Cotton Ford	\$30,531.24	N/A	N/A	Westfield Ford	\$29,872.00	\$6,200.00	\$23,974.15
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# DuPage Water Commission

## MEMORANDUM

TO: John Spatz, General Manager

FROM: Cheryl Peterson, Financial Administrator *CP*

DATE: March 7, 2017

SUBJECT: Accounts Payable Listings

Following is a summary of the Accounts Payable to be considered at the March 16, 2017 Commission meeting:

February 8, 2017 to March 7, 2017 A/P Report	\$7,106,116.68
Accrued and estimated payments required before April 2017 Commission meeting	<u>1,030,625.00</u>
Total	<u>\$8,136,741.68</u>

cc: Chairman and Commissioners



Payable Number	Description	Post Date	Payable Amount	Payable Count	Net Amount
<b>Payable Account: 01-211000 - ACCOUNTS PAYABLE</b>					
<b>Vendor: 1507</b> 26375	<b>ACCURATE TANK TECHNOLOGIES, INC.</b> Service Call	02/28/2017	392.00	<b>Payable Count: (1)</b>	<b>392.00</b> 392.00
<b>Vendor: 2083</b> 132771	<b>AIR COMFORT CORPORATION</b> HVAC services	02/21/2017	2,006.25	<b>Payable Count: (1)</b>	<b>2,006.25</b> 2,006.25
<b>Vendor: 1516</b> 8527578	<b>ARAMARK REFRESHMENTS</b> Supplies	03/07/2017	168.64	<b>Payable Count: (1)</b>	<b>168.64</b> 168.64
<b>Vendor: 1052</b> 2076493	<b>ARTHUR J. GALLAGHER RISK MANAGEMENT SERVICES, INC.</b> Public official bond	03/03/2017	1,300.00	<b>Payable Count: (1)</b>	<b>1,300.00</b> 1,300.00
<b>Vendor: 2159</b> 10192	<b>ASA Chicago</b> Construction Expo & Safety Conference 2017	02/28/2017	120.00	<b>Payable Count: (1)</b>	<b>120.00</b> 120.00
<b>Vendor: 1802</b> BT1064410	<b>BAKER TILLY VIRCHOW KRAUSE, LLP</b> PROFESSIONAL SERVICES 2/2/17-2/14/17	02/28/2017	1,955.25	<b>Payable Count: (1)</b>	<b>1,955.25</b> 1,955.25
<b>Vendor: 1692</b> 27925	<b>BRIDGEPOINT TECHNOLOGIES</b> Hosting Services: March 2017	03/03/2017	45.00	<b>Payable Count: (1)</b>	<b>45.00</b> 45.00
<b>Vendor: 1091</b> 8403072402	<b>CINTAS FIRST AID &amp; SAFETY</b> Safety Supplies	02/28/2017	811.32	<b>Payable Count: (1)</b>	<b>811.32</b> 811.32
<b>Vendor: 2142</b> 186662 186838	<b>CITY OF AURORA</b> Monthly Microbial Analysis Monthly Microbial	02/28/2017 02/28/2017	50.00 50.00	<b>Payable Count: (2)</b>	<b>100.00</b> 50.00 50.00
<b>Vendor: 1134</b> INV0001647 INV0001648	<b>CITY OF CHICAGO DEPARTMENT OF WATER</b> LEX PUMP STATION LABOR: December 2016 LEX PUMP STATION LABOR: January 2017	02/28/2017 02/28/2017	35,299.63 32,480.76	<b>Payable Count: (2)</b>	<b>67,780.39</b> 35,299.63 32,480.76
<b>Vendor: 1135</b> INV0001658	<b>CITY OF CHICAGO SUPERINTENDENT OF WATER COLLECTION</b> WATER BILLING: February 2017	02/28/2017	7,012,497.60	<b>Payable Count: (1)</b>	<b>7,012,497.60</b> 7,012,497.60
<b>Vendor: 2160</b> 17319-1	<b>Comet Neon, Inc.</b> Replacement sign at visitors entrance	02/28/2017	2,914.44	<b>Payable Count: (1)</b>	<b>2,914.44</b> 2,914.44
<b>Vendor: 2003</b> 6058	<b>ECO CLEAN MAINTENANCE, INC.</b> JANITORIAL SERVICE: February 2017	02/28/2017	1,725.50	<b>Payable Count: (1)</b>	<b>1,725.50</b> 1,725.50
<b>Vendor: 1097</b> 41192	<b>ELMHURST PLAZA STANDARD INC.</b> Vehcile Maintenance: M63637	02/21/2017	119.50	<b>Payable Count: (1)</b>	<b>119.50</b> 119.50
<b>Vendor: 2143</b> 39159	<b>Gas Depot</b> Gasoline	02/21/2017	1,214.80	<b>Payable Count: (1)</b>	<b>1,214.80</b> 1,214.80
<b>Vendor: 1892</b> INV0001683	<b>GORSKI &amp; GOOD, LLP</b> ATTORNEY FEE: February 2017	02/28/2017	4,334.13	<b>Payable Count: (1)</b>	<b>4,334.13</b> 4,334.13
<b>Vendor: 1064</b> 2845570	<b>GOVERNMENT FINANCE OFFICERS ASSOCIATION</b> GFOA Internet Training	02/21/2017	85.00	<b>Payable Count: (1)</b>	<b>85.00</b> 85.00
<b>Vendor: 1068</b> 10328311	<b>HACH COMPANY</b> Monthly Chemicals	02/28/2017	775.67	<b>Payable Count: (1)</b>	<b>775.67</b> 775.67
<b>Vendor: 1389</b> 3499587	<b>HENRY PRATT COMPANY</b> Valve repair parts	03/07/2017	617.00	<b>Payable Count: (1)</b>	<b>617.00</b> 617.00

Board Open Payable Report

As Of 03/07/2017

Payable Number	Description	Post Date	Payable Amount		Net Amount
<b>Vendor: 2040</b>	<b>HUGHES LOCK AND SAFE COMPANY LLC</b>			<b>Payable Count: (2)</b>	<b>440.00</b>
<u>INV0001681</u>	Construction lock cylinders at tank sites	03/07/2017	330.00		330.00
<u>INV0001684</u>	Replace Lock Cylinder	03/07/2017	110.00		110.00
<b>Vendor: 2161</b>	<b>KnowledgeNet Enterprises, LLC</b>			<b>Payable Count: (1)</b>	<b>1,295.00</b>
<u>36408</u>	Technical knowledge training and resource	02/28/2017	1,295.00		1,295.00
<b>Vendor: 2154</b>	<b>Networkfleet, Inc.</b>			<b>Payable Count: (1)</b>	<b>265.30</b>
<u>OSV0000010111109</u>	Monthly Diagnostics - February	02/28/2017	265.30		265.30
<b>Vendor: 1395</b>	<b>OFFICE DEPOT</b>			<b>Payable Count: (3)</b>	<b>1,439.98</b>
<u>902317151001</u>	Office Supplies	02/28/2017	14.39		14.39
<u>909295450001</u>	Office Supplies	03/07/2017	1,405.55		1,405.55
<u>909295642001</u>	Office Supplies	03/07/2017	20.04		20.04
<b>Vendor: 1059</b>	<b>RED WING SHOE STORE</b>			<b>Payable Count: (1)</b>	<b>182.74</b>
<u>000000015-S045</u>	Safety Shoes: Hansen	02/28/2017	182.74		182.74
<b>Vendor: 1950</b>	<b>RORY GROUP, LLC.</b>			<b>Payable Count: (1)</b>	<b>1,500.00</b>
<u>2519</u>	CONSULTING FEE: March 2017	03/07/2017	1,500.00		1,500.00
<b>Vendor: 1523</b>	<b>SAF-T-GARD INTERNATIONAL, INC.</b>			<b>Payable Count: (1)</b>	<b>51.32</b>
<u>1697054-00</u>	Electrical Gloves Testing	03/07/2017	51.32		51.32
<b>Vendor: 1043</b>	<b>SOOPER LUBE</b>			<b>Payable Count: (2)</b>	<b>104.89</b>
<u>282502</u>	Vehicle Maint: M78556	02/21/2017	43.95		43.95
<u>282816</u>	Vehicle Maintenance: M153835	02/28/2017	60.94		60.94
<b>Vendor: 1040</b>	<b>SPECIALTY MAT SERVICE</b>			<b>Payable Count: (4)</b>	<b>624.96</b>
<u>856509</u>	MAT SERVICE: 2/2/17	02/28/2017	156.24		156.24
<u>857595</u>	MAT SERVICE: 2/9/17	02/28/2017	156.24		156.24
<u>858705</u>	MAT SERVICE: 2/16/17	02/28/2017	156.24		156.24
<u>859801</u>	MAT SERVICE: 2/23/17	02/28/2017	156.24		156.24
<b>Vendor: 2096</b>	<b>William A. Fates</b>			<b>Payable Count: (1)</b>	<b>1,250.00</b>
<u>INV0001664</u>	Service as Treasurer: March 2017	03/03/2017	1,250.00		1,250.00
<b>Payable Account 01-211000</b>				<b>Payable Count: (38)</b>	<b>Total: 7,106,116.68</b>



**Payable Account Summary**

<b>Account</b>	<b>Count</b>	<b>Amount</b>
01-211000 - ACCOUNTS PAYABLE	38	7,106,116.68
<b>Report Total:</b>	<b>38</b>	<b>7,106,116.68</b>

**Payable Fund Summary**

<b>Fund</b>	<b>Count</b>	<b>Amount</b>
01 - WATER FUND	38	7,106,116.68
<b>Report Total:</b>	<b>38</b>	<b>7,106,116.68</b>

**DUPAGE WATER COMMISSION  
ITEMS TO BE PAID BY 4-20-17  
Board Meeting Date: March 16, 2017**

Estimate Amount	Description	Check Number	Payment Date	Payment Amount
52,000.00	Blue Cross Blue Shield - Health Insurance			
6,000.00	Euclid Managers - Dental Insurance			
10,000.00	Illinois Public Risk Fund - Workers Comp.			
200.00	Envision Health Care - Administration Fees			
300.00	Healthiest You			
20,000.00	ComEd - Utility Charges			
300,000.00	Constellation (Exelon Energy) - Utility Charges			
180,000.00	City of Chicago - Lexington. Electric			
21,000.00	City of Chicago - Repairs & Maintenance			
2,000.00	City of Naperville -Meter Station Electric Bills			
15,000.00	Nicor - Gas			
250.00	Comcast - Internet Service			
2,000.00	AT & T - Telephone Charges			
2,600.00	AT & T - Scada Backhaul Network			
1,000.00	Fed - Ex - Postage/Delivery			
5,000.00	Procurement Card Charges			
200.00	Anderson - Pest Control			
400.00	Republic Services - Disposal Services			
200.00	Elecsys - Cell Data Services			
1,500.00	Konica Minolta - Copy and Lease Charges			
525.00	Pitney Bowes - Postage			
8,000.00	Grainger - Supplies for Operations			
2,500.00	Verizon - Wireless Service			
1,250.00	William Fates - Treasurer			
5,200.00	Baker Tilly			
2,000.00	Gorski & Good			
1,500.00	Rory Group			
6,000.00	John J. Millner & Assoc			
7,000.00	IT Savvy - Network Support			
200.00	Edward Coughlin/Ken Lafin - Security			
250.00	Storino Ramello & Durkin			
500.00	Local 399 Training courses			
5,000.00	Illinois Director of Emp Security - 1st Quarter			
60,000.00	City of Elmhurst - Annual Special Service Fee			
8,000.00	Action Automation - Rebuild/install Actuator			
150.00	Amazon - Code Book			
200.00	AWWA - Manuals			
1,500.00	City of Elmhurst - Vehicle Stickers			

DUPAGE WATER COMMISSION  
ITEMS TO BE PAID BY 4-20-17  
Board Meeting Date: March 16, 2017

3,000.00 Comet Neon - Replacement DWC sign  
900.00 Construction Safety Council - Training  
150.00 Contractor's Solutions - Uniforms  
300.00 Elmhurst Photo - VHS to DVD  
500.00 Employee Reimbs for Purdue Training Expenses  
1,200.00 Fastenal - Heavy Duty Tarps  
1,100.00 Five Star - Repair SRL/Annual cal and cert  
800.00 Hach - Monthly Chemicals/Annual cal and cert  
17,500.00 HD Supply - Replacement Meters and Valves  
100.00 Hi-Line - Meter Station Parts  
5,000.00 HSQ Technology - Configuration Support  
1,500.00 IL Fire and Safety - Fire Ext. Training  
300.00 ISAWWAC - Training  
50,000.00 IT Savvy - Implementing IT Projects  
500.00 IT Savvy - Symantec Endpoint Protection  
1,500.00 Joliet Jr. College - Safety Training  
50.00 Office Depot - Office Supplies  
300.00 Pro-Quip - Gauges  
700.00 Randall - Batteries  
1,000.00 Staples - Office Supplies  
100.00 Saf-T-Gard - Glove Testing  
600.00 Specialty Mat - Cleaning Supplies  
800.00 Transcat - Annual Cal and Data  
1,300.00 Villa Park Electric - Replacement Breakers  
55,000.00 Dreisilker - Large Motor Repair  
27,000.00 Friendly Ford - Truck Purchase  
75,000.00 Mertes - Masonry/Window Rehab  
55,000.00 Patten - Service Coverage Agreement

1,030,625.00



# DuPage Water Commission

## MEMORANDUM

TO: Chairman Zay and Commissioners

FROM: John F. Spatz  
General Manager

A handwritten signature in black ink, appearing to be "JS", is written to the right of the name "John F. Spatz".

DATE: March 9, 2017

SUBJECT: February 2017 Invoice

I reviewed the Gorski & Good, LLP (Schrott, Luetkehans & Garner) February 2017 invoice for services rendered during the period February 1, 2017 – February 28, 2017 and recommend it for approval. This invoice should be placed on the March 16, 2017 Commission meeting accounts payable.

February 2017  
 Gorski & Good /  
 (Schriott, Luetkehans & Garner)

CATEGORY	<u>FEES</u>	<u>HOURS BILLED</u>	<u>AVERAGE HOURLY RATE</u>	<u>ATTORNEYS &amp; PARALEGALS EMPLOYED</u>	<u>MAJOR ACTIVITIES</u>
General	\$4,320.00	21.6	\$200.00	Good (1.5 @ \$200/hr.) Luetkehans (5.4 @ \$200/hr.) Armstrong (14.7 @ \$200/hr.)	various (meetings, review contracts, correspondence, Board packet material and attend Board meeting)
Misc:	<u>\$14.13</u>	<u>21.6</u>	<u>\$200.00</u>		
	\$4,334.13				